



7 March 2022

Julia Angrisano  
National Secretary  
Finance Sector Union of Australia  
Sent via email: [julia.angrisano@fsunion.org.au](mailto:julia.angrisano@fsunion.org.au)

### **Notification of changes – Action required**

Dear Julia Angrisano,

We acknowledge receipt of a Notification of Change to the office holders of the Finance Sector Union of Australia (AR2021/9).

A list of those changes has been summarised in Appendix A at the end of this letter.

#### **What you need to do right now**

- Any person who has been elected or appointed to a new office that has financial management duties (which usually includes all the committee of management) **MUST** do [financial training](#) or [obtain an exemption](#)
- Inform all officers of their [disclosure obligations](#)
- Consider giving your new officers the link to the ROC's [Officer Induction Kit](#)

These next steps are explained below in more detail.

#### **Financial training must be done within 6 months**

Any officer who has been elected or appointed to a new office that has financial management duties must complete [financial training](#) (or obtain an exemption) **within 6 months** after taking office (s.293K of the Fair Work (Registered Organisations) Act 2009 (RO Act)).

The organisation is responsible for this requirement. You should arrange for the relevant officers to have training as soon as possible.

Good governance processes around financial training are highly recommended. These include:

- keeping a list of all offices that have financial management duties
- having a single person or officer responsible for overseeing the process

- collecting records of who has done training or obtained an exemption, including any certificates and exemption letters
- doing refresher courses for officers who have been re-elected to the same office (and are not required to do training under the RO Act)
- extending training to non-officers who are essential to your financial processes



#### **Officer financial training audit**

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To help with this we have an [officer training online panel](#) with examples explaining what triggers the need to do training and how to identify the offices that require it.

Further information about training is provided in our [officer financial training fact sheet](#).

### **Help for new officers: Officer Induction Kit**

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Please direct your officers to the kit which is on the ROC's [officers and disclosures](#) page.

### **Disclosure obligations: what officers must do**

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The organisation and officers are both responsible for meeting this obligation.

### **Time frames for notifications of change**

Notifications of change must be lodged within 35 days of the change occurring (r 151 Fair Work (Registered Organisations) Regulations 2009).

### **Resources to help you make your notifications of change**

Notifications of change are vitally important to maintain the transparency of an organisation to its members. They empower members to hold the organisation properly to account for its decisions.

To ensure that organisations get these notifications right we have created resources to help you understand the notification of change requirement and complete it quickly.

These resources include:

- [notification of change template](#)
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### **eLearning module – notifications of change**

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Yours sincerely

**Registered Organisations Commission**

## Appendix A

The table below outlines the changes notified and when they were lodged.

Our records have been updated.

The notification of change and this filing letter can be viewed on the ROC Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
15/02/2022	VIC/TAS	National Conference Delegate vacancies (2)	Yes
15/02/2022	NSW/ACT	National Conference Delegate vacancies (2)	Yes
15/02/2022	National	National Executive Delegate vacancy	Yes

**15 February 2022**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

**FINANCE SECTOR UNION OF AUSTRALIA**

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Julia Angrisano, being the National Secretary of the Finance Sector Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed: 

**Julia Angrisano**  
National Secretary

Dated: 15 February 2022

## ANNEXURE A

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Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
VIC/TAS	31/01/2022	Local Enterprise Council President Westpac (National Conference Delegate)	Daniel Dalsanto	Vacant		
VIC/TAS	07/02/2022	Local Enterprise Council President ANZ (National Conference Delegate)	Antonia Psarras	Vacant		
NSW/ACT	13/02/2022	Local Enterprise Council President NAB (National Conference Delegate)	Myrna Ellery	Vacant		
NSW/ACT	15/02/2022	Local President Insurance Section (National Conference Delegate)	Dustin Greenfield	Vacant		
National	15/02/2022	National Executive Delegate (President of the National Enterprise Council) Insurance	Dustin Greenfield	Vacant		



12 January 2022

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National Secretary  
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13/12/2021	NSW/ACT	National Enterprise Council Member RBA (2)	Yes

**13 December 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

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National Secretary

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WA	03/12/2021	Local Enterprise Council President ANZ (National Conference Delegate)	Jacqueline Oates	Vacant		
NSW/ACT	10/12/2021	National Enterprise Council Member RBA	Vacant	Luke Latter	c/- 341 Queen Street, Melbourne	Finance Worker
NSW/ACT	10/12/2021	National Enterprise Council Member RBA	Vacant	Sarah Gallagher	c/- 341 Queen Street, Melbourne	Finance Worker



7 December 2021

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1/12/2021	NSW/ACT	Local President General Section (National Conference Delegate)	Yes
1/12/2021	VIC/TAS	Local Executive President vacancy, Local Enterprise Council President CBA (National Conference Delegate) vacancy	Yes



**1 December 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

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NSW/ACT	01/11/2021	Local President General Section (National Conference Delegate)	Vacant	Stephen Holloway	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	30/11/2021	Local Executive President	Daniel McMillan	Vacant		
VIC/TAS	30/11/2021	Local Enterprise Council President CBA (National Conference Delegate)	Daniel McMillan	Vacant		



17 November 2021

Julia Angrisano  
National Secretary  
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1/11/2021	VIC/TAS	Local President Insurance Section (National Conference Delegate)	Yes
1/11/2021	NSW/ACT	RBA NEC President and NEC Member, Local President General Section (National Conference Delegate) vacancy	Yes
1/11/2021	National	National Executive Delegate (President of the National Enterprise Council) RBA (National Conference Delegate)	Yes

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QLD	29/09/2021	Local Enterprise Council President NAB (National Conference Delegate)	Vacant	Justine Sherwood	c/- 341 Queen Street, Melbourne	Finance Worker
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24 August 2021

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Please contact 1300 341 665 or [regorgs@roc.gov.au](mailto:regorgs@roc.gov.au) if you have any questions or would like to discuss this matter further.

Yours sincerely

**Registered Organisations Commission**

## Appendix A

The table below outlines the changes notified and when they were lodged.

Our records have been updated.

The notification of change and this filing letter can be viewed on the ROC Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
9/08/2021	SA/NT	CBA NEC Member, Local Enterprise Council President CBA (National Conference Delegate)	Yes

**9 August 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

**FINANCE SECTOR UNION OF AUSTRALIA**

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Julia Angrisano, being the National Secretary of the Finance Sector Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed: 

**Julia Angrisano**  
National Secretary

Dated: 9 August 2021

## ANNEXURE A

### THIS IS A PUBLIC DOCUMENT THAT WILL BE PUBLISHED ON THE WEBSITE

- Changes to Offices and Office Holders in the Organisation and its Branches:

*Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.*

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
SA/NT	29/07/2021	CBA NEC Member	Vacant	Damian Bartlett	c/- 341 Queen Street, Melbourne	Finance Worker
SA/NT	29/07/2021	Local Enterprise Council President CBA (National Conference Delegate)	Vacant	Damian Bartlett	c/- 341 Queen Street, Melbourne	Finance Worker



30 July 2021

Julia Angrisano  
National Secretary  
Finance Sector Union of Australia  
Sent via email: [julia.angrisano@fsunion.org.au](mailto:julia.angrisano@fsunion.org.au)

cc: [fsuinfo@fsunion.org.au](mailto:fsuinfo@fsunion.org.au)

### **Notification of changes – Action required**

Dear Julia Angrisano,

We acknowledge receipt of Notifications of Change to the office holders of the Finance Sector Union of Australia (AR2021/9).

A list of those changes has been summarised in Appendix A at the end of this letter.

#### **What you need to do right now**

- Any person who has been elected or appointed to a new office that has financial management duties (which usually includes all the committee of management) **MUST** do [financial training](#) or [obtain an exemption](#).
- Inform all officers of their [disclosure obligations](#).
- Consider giving your new officers the link to the ROC's [Officer Induction Kit](#).

These next steps are explained below in more detail.

#### **Financial training must be done within 6 months**

Any officer who has been elected or appointed to a new office that has financial management duties must complete [financial training](#) (or obtain an exemption) **within 6 months** after taking office (s.293K of the Fair Work (Registered Organisations) Act 2009 (RO Act)).

The organisation is responsible for this requirement. You should arrange for the relevant officers to have training as soon as possible.

Good governance processes around financial training are highly recommended. These include:

- keeping a list of all offices that have financial management duties
- having a single person or officer responsible for overseeing the process

- collecting records of who has done training or obtained an exemption, including any certificates and exemption letters
- doing refresher courses for officers who have been re-elected to the same office (and are not required to do training under the RO Act)
- extending training to non-officers who are essential to your financial processes

#### **Officer financial training audit**



An audit by the ROC into officer training found that organisations frequently misunderstood **which** officers are required to do training.

To help with this we have an [officer training online panel](#) with examples explaining what triggers the need to do training and how to identify the offices that require it.

Further information about training is provided in our [officer financial training fact sheet](#).

### **Help for new officers: Officer Induction Kit**

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit has resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates, disclosures and other reporting obligations.

Please direct your officers to the kit which is on the ROC's [officers and disclosures](#) page.

### **Disclosure obligations: what officers must do**

All officers are required to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

The organisation and officers are both responsible for meeting this obligation.

### **Time frames for notifications of change**

Notifications of change must be lodged within 35 days of the change occurring (r 151 Fair Work (Registered Organisations) Regulations 2009).

### **Resources to help you make your notifications of change**

Notifications of change are vitally important to maintain the transparency of an organisation to its members. They empower members to hold the organisation properly to account for its decisions.

To ensure that organisations get these notifications right we have created resources to help you understand the notification of change requirement and complete it quickly.

These resources include:

- [notification of change template](#)
- a podcast that answers the question – [who is an officer?](#)
- and a [fact sheet on notifications of change](#) that explains the requirement





### **eLearning module – notifications of change**

The ROC has launched an eLearning module to assist organisations in understanding when a notification of change is required.

The [eLearning module](#) is available on our website.

It will take approximately 20 minutes and has a short quiz at the end to help you test your knowledge.

Please contact 1300 341 665 or [regorgs@roc.gov.au](mailto:regorgs@roc.gov.au) if you have any questions or would like to discuss this matter further.

Yours sincerely

**Registered Organisations Commission**

## Appendix A

The table below outlines the changes notified and when they were lodged.

Our records have been updated.

The notification of change and this filing letter can be viewed on the ROC Website through the [list of Registered Organisations](#).

Date of lodgement	Branch/Office	Change	Within prescribed period (Yes/No).
5 May 2021	Vic/Tas - Local Enterprise Council President Westpac (National Conference Delegate)	changes to office holder	yes
5 May 2021	Vic/Tas - Local Enterprise Council President ANZ (National Conference Delegate)	changes to office holder	yes
5 May 2021	Vic/Tas - Local Enterprise Council President CBA (National Conference Delegate)	changes to office holder	yes
15 June 2021	National Executive Delegate (President of the National Enterprise Council) CBA	changes to office holders	yes
15 June 2021	National Executive Delegate (President of the National Enterprise Council) St George/Bank SA	changes to office holder	yes
15 June 2021	NSW/ACT - Local Executive President	changes to office holder	yes
13 July 2021	NSW/ACT – CBA NEC Member	changes to office holder	yes
13 July 2021	Vic/Tas – Local Executive President	changes to office holders	yes
13 July 2021	WA – Local President Insurance Section (National Conference Delegate)	changes to office holder	yes

**13 July 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

**FINANCE SECTOR UNION OF AUSTRALIA**

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Julia Angrisano, being the National Secretary of the Finance Sector Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed: 

**Julia Angrisano**  
National Secretary

Dated: 13 July 2021

## ANNEXURE A

### THIS IS A PUBLIC DOCUMENT THAT WILL BE PUBLISHED ON THE WEBSITE

- Changes to Offices and Office Holders in the Organisation and its Branches:

*Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.*

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW/ACT	17/06/2021	CBA NEC Member	Allison Cruze	Vacant		
VIC/TAS	30/06/2021	Local Executive President	Steven Howland	Daniel McMillan	c/- 341 Queen Street, Melbourne	Finance Worker
WA	25/06/2021	Local President Insurance Section (National Conference Delegate)	Vacant	Karen Anthony	c/- 341 Queen Street, Melbourne	Finance Worker

**15 June 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

**FINANCE SECTOR UNION OF AUSTRALIA**

**NOTIFICATION OF CHANGES TO RECORDS** (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Julia Angrisano, being the National Secretary of the Finance Sector Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:



**Julia Angrisano**  
National Secretary

Dated: 15 June 2021

## ANNEXURE A

### THIS IS A PUBLIC DOCUMENT THAT WILL BE PUBLISHED ON THE WEBSITE

- Changes to Offices and Office Holders in the Organisation and its Branches:

*Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.*

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
National	21/05/2021	National Executive Delegate (President of the National Enterprise Council) CBA	Allison Cruze	James Dixon	c/- 341 Queen Street, Melbourne	Finance Worker
National	21/05/2021	National Executive Delegate (President of the National Enterprise Council) St George/Bank SA	Susanne Pearce	Vacant		
NSW/ACT	21/05/2021	Local Executive President	Susanne Pearce	Maurice Farrell	c/- 341 Queen Street, Melbourne	Finance Worker

**5 May 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

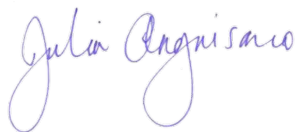
**FINANCE SECTOR UNION OF AUSTRALIA**

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Julia Angrisano, being the National Secretary of the Finance Sector Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:



**Julia Angrisano**  
National Secretary

Dated: 5 May 2021

## ANNEXURE A

### THIS IS A PUBLIC DOCUMENT THAT WILL BE PUBLISHED ON THE WEBSITE

- Changes to Offices and Office Holders in the Organisation and its Branches:

*Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.*

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
VIC/TAS	13/04/2021	Local Enterprise Council President Westpac (National Conference Delegate)	Sacha Hammersley	Daniel Dalsanto	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	13/04/2021	Local Enterprise Council President ANZ (National Conference Delegate)	Kolby Newland	Antonia Psarras	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	13/04/2021	Local Enterprise Council President CBA (National Conference Delegate)	Valerie Street	Daniel McMillan	c/- 341 Queen Street, Melbourne	Finance Worker





**Australian Government**  
**Registered Organisations Commission**

9 July 2021

Julia Angrisano  
National Secretary  
Finance Sector Union of Australia  
Sent via email: [julia.angrisano@fsunion.org.au](mailto:julia.angrisano@fsunion.org.au)

c.c. Hall Payne Lawyers via email to [daleb@hallpayne.com.au](mailto:daleb@hallpayne.com.au) ; [johnp@hallpayne.com.au](mailto:johnp@hallpayne.com.au)

Dear Julia Angrisano

**The Finance Sector Union of Australia's annual return of information (Annual Return) lodged under subsection 233(1) of the RO Act**

Thank you for providing your declarations and information (Annual Return) lodged under subsection 233(1) of the Fair Work (Registered Organisations) Act 2009 (the RO Act).

**Summary of your Annual Return and Review Outcome**

Year	2021
Matter number	AR2021/9
Lodgement date	30 March 2021
Review	Advanced Review
Result	FILED

**Your advanced review**

Your Annual Return had an **advanced review** which is a comprehensive review that evaluates compliance with the requirements of the RO Act.



**Your Annual Return satisfied the requirements of the advanced review and has been uploaded to the ROC website.**

## **The ROC's risk-based approach**

Over a five year period the Annual Return of every organisation will be subject to at least one advanced review. An advanced review is a more comprehensive review.

The ROC's [advanced review checklists](#) are published on our website.

This year your Annual Return was assessed against an advanced review.

## **Correspondence from Hall Payne Lawyers**

We note the correspondence from Hall Payne Lawyers dated 26 March 2021 regarding the Local Presidents of the Insurance and General Sections, in particular the question of whether they are officers.

We agree with the approach that the Local Presidents of the Insurance and General Sections, as *ex officio* members of the National Conference, are officers of the organisation within the meaning of section 9 of the RO Act. They should therefore be listed as offices of the organisation in all Annual Returns from this point forward.

We note that Local Presidents were listed in the 2021 Annual Return as officers. The list of National Conference Delegates as provided in Appendix F can be submitted as supplementary information.

The organisation did not list these roles as offices in previous Annual Returns. The reason for their non-inclusion is that the organisation has, until recently, regarded these Local Presidents as non-office positions.

The organisation appears to have acted in good faith in relation to its previous Annual Returns and the non-inclusion of the Local Presidents. The ROC does not propose to take any further action in relation to this historical distinction.

However, we draw the organisation's attention to the fact that there seems to the ROC to be the potential for confusion or lack of clarity in the organisation's rules in respect of the composition of the National Conference, in that rule 21 appears to list the persons who are members of National Conference and yet sub-rules 42.5 and 42.6 list further persons entitled to be members of National Conference. Accordingly, the organisation may wish to consider alterations to its rules to clarify this specific issue.

## **Do you have questions?**

Information and education materials are available on our [website](#). These materials are regularly updated. We recommend you [subscribe to the ROC's subscription service](#).

If you have any queries we can be contacted on 1300 341 665 or by email at [regorgs@roc.gov.au](mailto:regorgs@roc.gov.au).

Yours sincerely

**Registered Organisations Commission**

**ANNUAL RETURN OF INFORMATION (organisation with branches) in accordance with Regulation 147 Fair Work (Registered Organisations) Regulations 2009 and Sections 230 and 233 Fair Work (Registered Organisations) Act 2009**

I, Julia Angrisano being the National Secretary of the Finance Sector Union of Australia, declare the following:

1. I am authorised to make this declaration.
2. The register of members has, during the immediately preceding calendar year, been kept and maintained as required by s.230(1)(a) and s.230(2) of the Fair Work (Registered Organisations) Act 2009 (the Act).
3. The following is a correct statement of the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Act:
  - The address of the organisation is Level 1, 341 Queen Street Melbourne, 3000<sup>1</sup>
  - The name and address of each branch of the organisation is:<sup>2</sup>
    - Finance Sector Union of Australia  
CBA Section  
Level 1, 341 Queen Street  
Melbourne Vic 3000
    - Finance Sector Union of Australia  
RBA Section  
Level 1, 341 Queen Street  
Melbourne Vic 3000
  - On 31 December in the previous year the number of members was 27,067.<sup>3</sup>
  - A list of offices and the names, postal addresses and occupations of persons holding those offices as at the date of this declaration is attached at Annexure A and forms part of this declaration.
  - Under the rules of the organisation, the following elections must be held between 1 January and 31 December 2021:<sup>4</sup>
    - No elections are due to be held under the rules in 2021. As the organisation's 2020 scheduled election did not occur due to the AEC's suspension of elections during the COVID-19 pandemic, the 2020 election is to occur in 2021 following the AEC's announcement in October 2020 that elections would recommence.

---

<sup>1</sup> s.230(1)(d); reg.147(d)

<sup>2</sup> s.230(1)(d); reg.147(a) & (d)

<sup>3</sup> s.230(1)(d); reg.147(f)

<sup>4</sup> s.230(1)(d); reg.147(e)

- During the previous 12 months:<sup>5</sup>
  - NO branches COMMENCED or CEASED operation.
- The organisation:
  - Has not entered into an agreement under s.151(1) of the Act with a state union.

Signed:



**Julia Angrisano**  
National Secretary

Dated: 30 March 2021

*[PLEASE NOTE: This declaration must be lodged with the Registered Organisations Commission by 31 March. It can be submitted to [regorgs@roc.gov.au](mailto:regorgs@roc.gov.au). If at any time in the year this information changes a Notification of Changes must be lodged with the ROC within **35 days** of the change.<sup>6</sup>]*

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<sup>5</sup> s.230(1)(d); reg.147(b) & (c)

<sup>6</sup> s.233(2); reg. 151

## ANNEXURE A

- Offices and Office Holders in the Organisation and its Branches:

Branch	Name of Office (include any offices that are vacant)	Name of Office Holder	Postal Address of Office Holder	Occupation of Office Holder
National	National Secretary (National Conference Delegate)	Julia Angrisano	c/- 341 Queen Street, Melbourne	Paid Official
National	National Assistant Secretary (National Conference Delegate)	Vacant		
National	National President (National Conference Delegate)	Johanna Tran	As Above	Finance Worker
NSW/ACT	Local Executive Secretary	Rebecca Reilly	As Above	Paid Official
VIC/TAS	Local Executive Secretary	Nicole McPherson	As Above	Paid Official
QLD	Local Executive Secretary	Wendy Streets	As Above	Paid Official
SA/NT	Local Executive Secretary	Jason Hall	As Above	Paid Official
WA	Local Executive Secretary	Dianne Marshall	As Above	Paid Official
National	National Executive Delegate (President of the National Enterprise Council) ANZ	Maurice Farrell	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) CBA	Allison Cruze	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) Insurance	Dustin Greenfield	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) Midsized Banks (National Conference Delegate)	Adam Fay	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) NAB	Paul Ferrari	As Above	Finance Worker

Branch	Name of Office (include any offices that are vacant)	Name of Office Holder	Postal Address of Office Holder	Occupation of Office Holder
National	National Executive Delegate (President of the National Enterprise Council) St George/Bank SA	Susanne Pearce	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) RBA (National Conference Delegate)	Peter Tancred	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) Westpac	Sacha Hammersley	As Above	Finance Worker
National	National President of the General Section	Matthew Rowe	As Above	Finance Worker
NSW/ACT	CBA NEC President and NEC Member	Allison Cruze	As Above	Finance Worker
QLD	CBA NEC Member	James Dixon	As Above	Finance Worker
VIC/TAS	CBA NEC Member	Jo-Anne Dodson	As Above	Finance Worker
SA/NT	CBA NEC Member	Vacant		
WA	CBA NEC Member	Charmane Hollyock	As Above	Finance Worker
NSW/ACT	RBA NEC President and NEC Member	Peter Tancred	As Above	Finance Worker
NSW/ACT	RBA NEC Member	Jason Jux	As Above	Finance Worker
NSW/ACT	RBA NEC Member	David Symonds	As Above	Finance Worker
NSW/ACT	RBA NEC Member	Benjamin Griffiths	As Above	Finance Worker
NSW/ACT	Local Executive President	Susanne Pearce	As Above	Finance Worker
VIC/TAS	Local Executive President	Steven Howland	As Above	Finance Worker
QLD	Local Executive President	Paul Selke	As Above	Finance Worker
SA/NT	Local Executive President	Tracy Piltz	As Above	Finance Worker
WA	Local Executive President	Adam Fay	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President NAB (National Conference Delegate)	Myrna Ellery	As Above	Finance Worker

<b>Branch</b>	<b>Name of Office (include any offices that are vacant)</b>	<b>Name of Office Holder</b>	<b>Postal Address of Office Holder</b>	<b>Occupation of Office Holder</b>
VIC/TAS	Local Enterprise Council President NAB (National Conference Delegate)	Steven Howland	As Above	Finance Worker
QLD	Local Enterprise Council President NAB (National Conference Delegate)	Vacant		
SA/NT	Local Enterprise Council President NAB (National Conference Delegate)	Vacant		
WA	Local Enterprise Council President NAB (National Conference Delegate)	Meghala Nair	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President ANZ (National Conference Delegate)	Maurice Farrell	As Above	Finance Worker
VIC/TAS	Local Enterprise Council President ANZ (National Conference Delegate)	Kolby Newland	As Above	Finance Worker
QLD	Local Enterprise Council President ANZ (National Conference Delegate)	Vacant		
SA/NT	Local Enterprise Council President ANZ (National Conference Delegate)	Vacant		
WA	Local Enterprise Council President ANZ (National Conference Delegate)	Jacqueline Oates	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President Westpac (National Conference Delegate)	Penny Stefanou	As Above	Finance Worker
VIC/TAS	Local Enterprise Council President Westpac (National Conference Delegate)	Sacha Hammersley	As Above	Finance Worker
QLD	Local Enterprise Council President Westpac (National Conference Delegate)	Paul Selke	As Above	Finance Worker
SA/NT	Local Enterprise Council President Westpac (National Conference Delegate)	Frank Brady	As Above	Finance Worker

Branch	Name of Office (include any offices that are vacant)	Name of Office Holder	Postal Address of Office Holder	Occupation of Office Holder
WA	Local Enterprise Council President Westpac (National Conference Delegate)	Melissa Chester	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President CBA (National Conference Delegate)	Vacant		
VIC/TAS	Local Enterprise Council President CBA (National Conference Delegate)	Valerie Street	As Above	Finance Worker
QLD	Local Enterprise Council President CBA (National Conference Delegate)	James Dixon	As Above	Finance Worker
SA/NT	Local Enterprise Council President CBA (National Conference Delegate)	Vacant		
WA	Local Enterprise Council President CBA (National Conference Delegate)	Charmane Hollyock	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President St George/BSA (National Conference Delegate)	Christine Otto	As Above	Finance Worker
SA/NT	Local Enterprise Council President St George/BSA (National Conference Delegate)	Tracy Piltz	As Above	Finance Worker
VIC/TAS, QLD, WA	Local Enterprise Council President St George/BSA (National Conference Delegate)	Isobel Fish	As Above	Finance Worker
NSW/ACT	Local President Insurance Section * (National Conference Delegate)	Dustin Greenfield	As Above	Finance Worker
VIC/TAS	Local President Insurance Section * (National Conference Delegate)	Jayden Crozier	As Above	Finance Worker
QLD	Local President Insurance Section * (National Conference Delegate)	Adam Woodward	As Above	Finance Worker
SA/NT	Local President Insurance Section * (National Conference Delegate)	Bruno Aloï	As Above	Finance Worker



Branch	Name of Office (include any offices that are vacant)	Name of Office Holder	Postal Address of Office Holder	Occupation of Office Holder
WA	Local President Insurance Section * (National Conference Delegate)	Vacant		
NSW/ACT	Local President General Section * (National Conference Delegate)	Cameron Simersall	As Above	Finance Worker
VIC/TAS	Local President General Section * (National Conference Delegate)	Keir Paterson	As Above	Finance Worker
QLD	Local President General Section * (National Conference Delegate)	Paul Everson	As Above	Finance Worker
SA/NT	Local President General Section * (National Conference Delegate)	Gary Bridle	As Above	Finance Worker
WA	Local President General Section * (National Conference Delegate)	Vacant		

**\* Please see Annexure B: Correspondence from Hall Payne Lawyers to the Registered Organisations Commission dated 26 March 2021.**

## **ANNEXURE B**

- Correspondence from Hall Payne Lawyers to the Registered Organisations Commission, dated 26 March 2021.

26 March 2021

Mr Chris Enright  
Delegate to the Commissioner  
Registered Organisations Commission

Attn: Bill Stinson  
By Email: [Bill.Steenson@roc.gov.au](mailto:Bill.Steenson@roc.gov.au)

**Contact**  
Dale Blackmore  
Associate  
daleb@hallpayne.com.au

Ashleigh Ridder  
Legal Assistant  
Phone: 07 3017 2473  
ashleighr@hallpayne.com.au

Our Ref: DAB:4210216  
Your Ref:

Dear Mr Enright

**Re: General and Insurance Section Local Presidents of the FSU**

We enclose:

- **attachment A** - email 7 November 2016 from Ms Wilkins;
- **attachment B** - list of positions;
- **attachment C** - email 22 February 2017 from Ms Ball;
- **attachment D** - list of positions;
- **attachment E** - Annual Return of Information for year 2017; and
- **attachment F** - proposed alterations to record of officers.

We are instructed, by the Finance Sector Union of Australia ('FSU') to alert the Registered Organisations Commission ('Commission') to an issue that has arisen in relation to the reporting of change in officers of the FSU.

We set out, as follows, that issue and the FSU's proposal for remedy:

1. The FSU rules provide for a:
  - (a) Local President of the General Section; and
  - (b) Local President of the Insurance Section,
 in each relevant State/Territory.
2. We collectively refer to the persons who are respectively Local Presidents of the General Section or Local Presidents of the Insurance Section, as '**Local Presidents**'.
3. Local Presidents are elected – FSU rules 30.7.1 and 30.7.2.
4. Section 233 of the Fair Work (Registered Organisations) Act 2009 (Cth) ('**FW(RO)A**') relevantly provides:

**233 Obligation to lodge information with the Commissioner**

- (1) *An organisation must lodge with the Commissioner once in each year, at such time as is prescribed:*

...

- (b) *a copy of the records required to be kept under paragraphs 230(1)(b), (c) and (d), certified by declaration by the secretary or other prescribed officer of the organisation to be a correct statement of the information contained in those records.*

...

- (2) *An organisation must, within the prescribed period, lodge with the Commissioner notification of any change made to the records required to be kept under paragraphs 230(1)(b), (c) and (d), certified by declaration signed by the secretary or other prescribed officer of the organisation to be a correct statement of the changes made.*

5. On 7 November 2016 Ms Wilkins (an employee of the FWC) emailed the FSU (attachment 'A') and relevantly advised:

*Thank you for speaking with us last week. Catherine and I have put together the attached list, which summarises the positions we think are offices and which are not. This should assist you with the annual report. Please let us know if you have any feedback.*

...

6. Relevantly the list referred to in the email (attachment 'B') provided that:

...  
Insurance – Local President  
General – Local President  
...

, were not offices, but rather positions.

7. On 22 February 2017 Ms Ball (an employee of the FWC) emailed the FSU (attachment 'C') and relevantly advised:

...  
*However I note that a number of non-office bearers were incorrectly identified as office holders in both the 2015 and 2016 annual returns. In 2016, consultations were held between staff of the Fair Work Commission and the Finance Sector Union and a definitive list of office holders has been finalised.*

*I attached this list for your convenience, please ensure that the correct office holders and any branches are identified in the 2017 Annual Return.*

...

8. Relevantly the list referred to in the email (attachment 'D') provided that:

...  
Insurance – Local President  
General – Local President  
...

, were not offices, but rather positions.

9. The FSU, noting the view of the FWC consistently expressed by Ms Wilkins and Ms Ball, thereafter reported to the Commission on the basis that the Local Presidents held a position and not an office.
10. The FSU, as a consequence, has not recorded changes to Local Presidents in compliance with section 233(2) – see for example the FSU Annual Return of Information for year 2017 (attachment 'E').
11. We were recently requested, by the FSU, to consider the FWC's employees' advice.
12. FSU rule 30.4.1 provides:

30.4 A Local Executive shall consist of:

30.4.1 *The Presidents of each LEC constituted in the same State/Territory in which the Local Executive is constituted provided that the President of the LEC of the St George/Bank SA Section constituted for the States of Victoria/Tasmania – Queensland – WA shall be a member of the Local Executive in the State/Territory in which that President resides.*

*(emphasis added)*

13. Appropriately, a person reading the FSU rules would expect that rule 30.4.1 does the work that it holds itself out to perform, namely setting out the complement of the persons who comprise the Local Executives<sup>1</sup>.
14. There is, however, an apparent disconformity as, whilst rule 30.4.1 does not provide for the Local Presidents, rule 30.4.1 must be read in the context of FSU rules 42.5 and 42.6:

42.5 *A Local President of the General Section shall:*

42.5.1 *be a member of Local Executive constituted in the relevant State/Territory;*

42.5.2 *be a member of a National Conference as provided for in 21.1.3;*

42.5.3 *comply with the directions of the National Conference and National Executive and act at all times in a manner consistent with the decisions of National Conference and National Executives;*

42.5.4 *represent and promote the interests of the members of the Union attached to Local Executive and the relevant Section;*

42.5.5 *generally ensure the well-being of the Union and its members in the relevant State/Territory attached to that Section.*

42.6 *The Local President of the Insurance Section shall:*

42.6.1 *be a member of Local Executive constituted in the relevant State/Territory;*

42.6.2 *be a member of the Insurance Section NEC;*

42.6.3 *be a member of a National Conference as provided for in 21.1.3;*

42.6.4 *comply with the directions of the National Conference and National Executive and act at all times in a manner consistent with the decisions of National Conference and National Executives;*

42.6.5 *represent and promote the interests of the members of the Union attached to Local Executive and the relevant Section;*

42.6.6 *generally ensure the well-being of the Union and its members in the relevant State/Territory attached to that Section.*

*(emphasis added)*

15. It is patent that a Local President is a member of the Local Executive in the relevant State/Territory.
16. The powers of the Local Executive of a relevant State/Territory are provided by FSU rule 31.5:

31.5 *A Local Executive and its members shall, subject to these Rules, have the following responsibilities, functions, powers and duties:*

31.5.1 *to act at all times and conduct its business in a manner consistent with the decisions of the National Conference and National Executive and shall maintain close liaison with the National Executive;*

31.5.2 *to ascertain and identify the concerns, ideas and interests of the members allocated to that Local Executive (its Union members) and to regularly consult with each LEC in the relevant State/Territory (and in NSW/ACT with the NEC of the RBA Section and in the case of the Western Australian Local Executive reports from the NEC of the Midsized Bank Section);*

31.5.3 *to actively contribute to the development, implementation and review of the Union's strategies and plans;*

31.5.4 *to ensure that it is fully informed of the concerns, ideas and interests of its Union members;*

31.5.5 *to assist each LEC in the relevant State/Territory (and in the case of the NSW/ACT Local Executive also to assist the NEC of the RBA Section and in the case of the Western Australian Local Executive also to assist the NEC of the Midsized Bank Section) in its role in the Union;*

31.5.6 *to be committed to and actively support the recruitment and retention of its Union members;*

<sup>1</sup> We are not aware as to the basis of the advice of the FWC employees as the relevant officers/officials are no longer with the FSU.

- 31.5.7 to ensure the local implementation of the Union's organising and campaigning strategies;
  - 31.5.8 to develop and implement initiatives regarding its Union members consistent with the Union's policies and decisions of the National Conference and National Executive;
  - 31.5.9 to oversee, consistent with the Union's national plans, the deployment of local resources;
  - 31.5.10 to develop, implement and review local plans that are consistent with the Union's plans;
  - 31.5.11 to appoint a person temporarily to act in the position of Secretary of that Local Executive if that Secretary is temporarily unable or unavailable to perform in that office;
  - 31.5.12 to provide a forum for the members of the Local Executive to discuss broader industry issues;
  - 31.5.13 to receive reports from each LEC within that Local Executive's State/Territory (and in the case of NSW/ACT Local Executive, reports from the NEC of the RBA Section and in the case of the Western Australian Local Executive reports from the NEC of the Midsized Bank Section);
  - 31.5.14 to transact all the business of the Local Executive;
  - 31.5.15 through its Secretary and/or its President, to provide annually to its Union members a full report of the business of the preceding year;
  - 31.5.16 to fully investigate all grievances and disputes of its Union members which may be brought before it;
  - 31.5.17 to make by-laws for the conduct and administration of its affairs and alter, amend or rescind the same, provided always that no by-laws shall conflict with these Rules or the Act or decisions of the National Conference or the National Executive and if any by-law does so conflict it shall have no force or effect;
  - 31.5.18 to appoint FSU Representatives in accordance with Rule 50;
  - 31.5.19 to appoint as an LEC Associate Representative such number of LEC members from each LEC within its State/Territory as it considers necessary to attend meetings of the Local Executive and to permit upon invitation by the Chairperson or resolution of that Local Executive any such LEC Associate Representative to speak (but not to vote) at such meetings. The Local Executive may at its discretion at any time remove such an LEC Associate Representative from that position; and
  - 31.5.20 to establish such committees or sub-committees as it may from time to time determine, provided that any such committee or sub-committee shall not exercise any executive powers but shall have and exercise only advisory powers.
17. FSU rule 32 provides for the entitlement of a member of a Local Executive to vote at a meeting of the Local Executive.
18. FSU rule 19.11 provides:
- 19.11 There shall be a National Conference of the Union for which further provision is made in Rules 21, 22, 23, 24 and 25.
19. FSU rule 21 provides for the complement of the persons who comprise the National Conference and is consistent with FSU rules 42.5.2 and 42.6.3:
- 21.1 The National Conference of the Union shall consist of:
    - 21.1.1 The National President,
    - 21.1.2 The National Secretary, and
    - 21.1.3 The National Assistant Secretary
    - 21.1.4 The Members of each Local Executive<sup>2</sup> (other than the Secretary) who shall for the purposes of the National Conference be called National Conference Delegates, all of whom collectively shall be referred to as National Conference Members.
  - 21.2 Each Local Executive Secretary shall be able to attend any meeting of National Conference and may, if invited by the Chairperson or by resolution, speak to the National Conference but shall not vote.

<sup>2</sup> which rule is consistent with respect to FSU rules 42.5.2 and 42.6.3

*(emphasis added)*

20. Rule 45.1.2 provides for the entitlement of a National Conference Delegate (being a National Conference Member) to vote at a meeting of the National Conference.

21. FSU rule 22 provides for the powers of the National Conference:

**22 - POWERS OF NATIONAL CONFERENCE**

- 22.1 *In addition to any powers otherwise conferred upon it by these Rules, the National Conference shall be the supreme governing body of the Union and shall have the management and control of the affairs of the Union and, without limiting the generality of the foregoing, shall in particular have power:*
    - 22.1.1 *to determine and direct the policy of the Union in all matters;*
    - 22.1.2 *to make, add to, amend and rescind these Rules;*
    - 22.1.3 *to determine the remuneration (if any) to be paid to the FSU Returning Officer and/or any FSU Deputy Returning Officer;*
    - 22.1.4 *to appoint a National Auditor and to fix the remuneration to be paid to the same;*
    - 22.1.5 *to submit any matter that in its opinion is of sufficient importance to the financial members of the Union or any part of the Union for decision by ballot;*
    - 22.1.6 *to act as an arbitrator and to settle all disputes and disagreements between bodies of the Union.*
    - 22.1.7 *to set up any committees or sub-committees as it may from time to time determine provided that any such committee or sub-committee shall not exercise any executive powers but shall have and exercise only advisory and/or recommendatory powers; and*
    - 22.1.8 *to coordinate the activities of all bodies of the Union.*
  - 22.2 *The powers of the National Conference in respect of the CBA Section and the RBA Section shall be exercised only in conformity with sub-rules 31.3 and 31.4 where those sub-rules are applicable to the circumstances of the exercise of the powers.*
  - 22.3 *All decisions of the National Conference shall be final and shall remain in force unless and until varied, amended or annulled by the National Conference or by plebiscite of financial members of the Union conducted in accordance with these Rules.*
22. A person elected as Local Presidents is also an *ex-officio* officer in their capacity as a National Conference Delegate.
23. The persons elected as a Local President of the Insurance Section are also members of the Insurance Section National Enterprise Committee<sup>3</sup> – see FSU rule 42.6.2.
24. The powers of the Insurance Section National Enterprise Committee are provided by FSU rule 31.2:
- 31.2 **National Enterprise Councils**
    - 31.2.1 *An NEC and its NEC members shall, subject to these Rules, have the following responsibilities, functions, powers and duties:*
      - 31.2.1.1 *to act at all times and conduct its business in a manner consistent with the decisions of the National Conference and the National Executive and shall maintain close liaison with the National Executive;*
      - 31.2.1.2 *to ascertain and identify the concerns, ideas and interests of the members allocated to that NEC (its Union members);*
      - 31.2.1.3 *to actively contribute to the development, implementation and review of the Union's strategies and plans;*
      - 31.2.1.4 *to ensure that each LEC in the same Section as that NEC is fully informed of the concerns, ideas and interests of its Union members;*
      - 31.2.1.5 *to be committed to and actively support the recruitment and retention of its Union members;*

<sup>3</sup> The Insurance Section National Enterprise Council

- 31.2.1.6 *to establish such committees or sub-committees as it may from time to time determine, provided that any committee or sub-committee shall not exercise any executive powers but shall have and exercise only advisory powers;*
- 31.2.1.7 *to appoint for the purposes of any of its meetings one of its NEC members to act as Chairperson in the absence of its President or in the event that the President vacates the chair;*
- 31.2.1.8 *to actively contribute to the development and implementation of the policies of the Union;*
- 31.2.1.9 *to co-ordinate the activities of the Local Enterprise Councils in its Section;*
- 31.2.1.10 *to determine whether or not to consent to the Union entering into industrial agreements as provided in Rule 49 and prior to doing so, take into account any opinions expressed by any LEC in its Section;*
- 31.2.1.11 *where at any time or from time to time an office of NEC member is vacant, to allow, upon the request of the relevant Local Executive, a Union member allocated to that Local Executive or an employee of the Union selected by that Local Executive to attend an NEC meeting and, if invited by the Chairperson or by resolution, to speak at that meeting, but not to vote at it;*
- 31.2.1.12 *to receive reports from any body in the Union; and*
- 31.2.1.13 *to make by-law for the conduct and administration of its affairs and alter, amend or rescind the same, provided always that no by-law shall conflict with the Rules or the Act or decisions of the National Conference or the National Executive and, if any by-law does so conflict it shall have not force or effect.*

25. Section 9 of the FW(RO)A relevantly provides:

*Meaning of office*

(1) In this Act, office, in relation to an organisation or a branch of an organisation means:

...; or

(b) the office of a voting member of a collective body of the organisation or branch, being a collective body that has power in relation to any of the following functions:

(i) the management of the affairs of the organisation or branch;

(ii) the determination of policy for the organisation or branch;

(iii) the making, alteration or rescission of rules of the organisation or branch;

(iv) the enforcement of rules of the organisation or branch, or the performance of functions in relation to the enforcement of such rules; or

....

(emphasis added)

- 26. The Local Executives of the relevant States/Territories are collective bodies for the purpose of section 9(1)(b) of the FW(RO)A and the members of the Local Executives are, as voting members of the Local Executive, officers of the FSU.
- 27. The National Conference is a collective body for the purpose of section 9(1)(b) of the FW(RO)A and the National Conference members are, as a voting member of the National Conference, officers of the FSU.
- 28. That is a person elected as a Local President (an officer) is also *ex-officio* an officer in their capacity as a National Conference Delegate.
- 29. The Insurance Section National Enterprise Council is a not collective body relevantly exercising power for the purpose of section 9(1)(b) of the FW(RO)A and the Insurance Section National Enterprise Council members are consequently, as a voting member of the Insurance Section National Enterprise Council, not officers of the FSU in that capacity.
- 30. In our view the construction of the rules that has previously been accepted is not correct.
- 31. A new record of change should be filed setting out changes in office bearers for:
  - a) Local Presidents; and



b) National Conference Delegate<sup>4</sup>,  
since 2017.

32. We have enclosed a copy of that proposed alteration (attachment 'F').
33. We would be pleased to discuss this matter further once you have had an opportunity to consider the material and whether the Commission requires further submission.
34. We have also suggested that the FSU do not file the proposed change in record until the Commission has had an opportunity to consider the material and this submission as to the correct construction of the rules.

We await your contact.

Yours faithfully

John Payne  
Hall Payne Lawyers



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<sup>4</sup> Noting the office of National Conference Delegate is held *ex officio* by a Local President.

# **Attachment A**

## Ashleigh Ridder

---

**From:** WILKIN, Sarah <Sarah.WILKIN@fwc.gov.au>  
**Sent:** Monday, 7 November 2016 10:10 AM  
**To:** Galati, Angela  
**Subject:** FSU list of offices  
**Attachments:** FSU list of offices and positions 7 11 2016.docx

Hi Angela,

Thank you for speaking with us last week. Catherine and I have put together the attached list, which summarises the positions we think are offices and which are not. This should assist you with the annual report. Please let us know if you have any feedback.

Further to our conversation, it appears from our records that the CBA and RBA hold a consolidation certificate from 14 August 1984. Consequently, it is possible that they would be already exempt from financial reporting.

regards

**SARAH WILKIN**  
Regulatory Compliance Branch

---

**Fair Work Commission**  
Tel: 03 8656 4694  
Fax: 03 9655 0410  
sarah.wilkin@fwc.gov.au

11 Exhibition Street, Melbourne, Victoria 3000  
GPO Box 1994, Melbourne Victoria 3001

[www.fwc.gov.au](http://www.fwc.gov.au)

Working days: Mondays – Thursdays, Fridays AM

**Please be aware that my phone number has changed to 03 8656 4694. Please update your records accordingly.**

Please consider the impact to the environment and your responsibility before printing this email. This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this email in error please notify the sender.

# **Attachment B**

## **OFFICES**

**National President**

**National Secretary**

**National Assistant Secretary**

**Local Executive Secretaries**

- Vic/Tas Local Executive Secretary
- NSW/ACT Local Executive Secretary
- QLD Local Executive Secretary
- SA/NT Local Exec Secretary
- WA Local Exec Secretary

**National Executive Delegates (Presidents of each National Enterprise Council)**

- NAB NEC President
- ANZ NEC President
- Westpac NEC President
- CBA NEC President
- RBA NEC President
- Insurance NEC President
- Midsized NEC President
- General NEC President
- TEMP NEC President
- St George NEC President
- The National President of the General Section.

**Members of the Local Executive (other than Local Executive Secretaries)**

- Vic/Tas Local Exec President
- NSW/ACT Local Exec President
- Qld Local Exec President
- SA/NT Local Exec President
- WA Local Exec President

**National Enterprise Councils – RBA and CBA**

- CBA NEC President
- CBA NEC Deputy National Exec Delegate
- CBA NEC Members
- RBA NEC President
- RBA NEC Deputy National Exec Delegate
- RBA NEC Members

**Presidents of each Local Enterprise Council\* -**

**NAB**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**ANZ**

- Vic/Tas LEC President

- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**Westpac**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**CBA**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**St George/SA**

- Vic/Tas LEC President
- NSW/ACT LEC President
- Qld/SA/NT/WA LEC President

\*these are officers because the National Conference includes the members of each Local Executive excluding Secretaries and the Local Executive include among other things the Presidents of the Local Enterprise Councils.

**POSITIONS**

Members of each Local Enterprise Council:

**NAB**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**ANZ**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**Westpac**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**CBA**

- Vic/Tas LEC Member
- NSW/ACT LEC Member

- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**St George/SA**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- Qld/SA/NT/WA LEC President

Insurance – Local President

Insurance – Deputy National Executive Delegate

General – Local President

General – Deputy National Executive Delegate

**National Enterprise Council Members (Deputy National Executive Delegate, other Members)**

- NAB NEC Deputy National Executive Delegate
- NAB NEC Member/s
- ANZ NEC Deputy National Executive Delegate
- ANZ NEC Member/s
- Westpac NEC Deputy National Executive Delegate
- Westpac NEC Member/s
- Insurance NEC Deputy National Executive Delegate
- Insurance NEC Member/s
- Midsized NEC Deputy National Executive Delegate
- Midsized NEC Member/s
- Temp Deputy National Executive Delegate
- Temp NEC Member/s
- St George/SA Deputy National Executive Delegate
- St George/SA NEC Member/s

# **Attachment C**





22 February 2017

Mr Geoffrey Derrick  
National Assistant Secretary  
Finance Sector Union of Australia

By email: [geoff.derrick@fsunion.org.au](mailto:geoff.derrick@fsunion.org.au)

Dear Mr Derrick ,

**Annual Returns of Information for 2015 and 2016 [AR2015/148 and AR2016/138]**

I acknowledge receipt on 26 February 2015 and 28 January 2016 of your Declarations providing information in accordance with subsection 233(1) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The documents lodged cover most the required information for the 2015 and 2016 Annual Returns of Information of Finance Sector Union of Australia. The documents have been filed and can be viewed on the Fair Work Commission website through the List of Registered Organisations - <http://www.fwc.gov.au/registered-organisations/find-registered-organisations>.

However I note that a number of non-office bearers were incorrectly identified as office holders in both the 2015 and 2016 annual returns. In 2016, consultations were held between staff of the Fair Work Commission and the Finance Sector Union and a definitive list of office holders has been finalised.

I attach this list for your convenience. Please ensure that the correct office holders and any branches are identified in the 2017 Annual Return.

The Commission will accept notifications of change from the relevant branches or from the national office. If the national office of the Finance Sector Union does NOT intend to file notifications of change, please ensure that all branches are aware of their continuing obligation and the requisite time frames regarding notifications of change.

If a branch lodges a notification of change with the Commission, the branch should also provide the information to the national body in order to ensure that the organisation is able to comply with its obligations under section 233(1) of the RO Act.

**Notifications of Change**

Any changes to these records must be notified to the Commission within 35 days of the change. A template Notification of Change Declaration is available on the [fact-sheets](#) page of our website. Finance Sector Union of Australia is encouraged to use this template if any changes occur.

Yours sincerely

11 Exhibition Street  
Melbourne VIC 3000  
GPO Box 1994  
Melbourne VIC 3001

Telephone: (03) 8661 7777  
Email : [orgs@fwc.gov.au](mailto:orgs@fwc.gov.au)  
Internet : [www.fwc.gov.au](http://www.fwc.gov.au)

Debbie Ball  
Regulatory Compliance Branch

# **Attachment D**

## **FINANCE SECTOR UNION OF AUSTRALIA**

### **OFFICES**

National President

National Secretary

National Assistant Secretary

#### Local Executive Secretaries

- Vic/Tas Local Executive Secretary
- NSW/ACT Local Executive Secretary
- QLD Local Executive Secretary
- SA/NT Local Exec Secretary
- WA Local Exec Secretary

#### National Executive Delegates (Presidents of each National Enterprise Council)

- NAB NEC President
- ANZ NEC President
- Westpac NEC President
- CBA NEC President
- RBA NEC President
- Insurance NEC President
- Midsized NEC President
- General NEC President
- TEMP NEC President
- St George NEC President
- The National President of the General Section.

#### Members of the Local Executive (other than Local Executive Secretaries)

- Vic/Tas Local Exec President
- NSW/ACT Local Exec President
- Qld Local Exec President
- SA/NT Local Exec President
- WA Local Exec President

#### National Enterprise Councils – RBA and CBA

- CBA NEC President
- CBA NEC Deputy National Exec Delegate
- CBA NEC Members
- RBA NEC President
- RBA NEC Deputy National Exec Delegate
- RBA NEC Members

#### Presidents of each Local Enterprise Council\* -

##### **NAB**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**ANZ**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**Westpac**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**CBA**

- Vic/Tas LEC President
- NSW/ACT LEC President
- QLD LEC President
- SA/NT LEC President
- WA LEC President

**St George/SA**

- Vic/Tas LEC President
- NSW/ACT LEC President
- Qld/SA/NT/WA LEC President

\*these are officers because the National Conference includes the members of each Local Executive excluding Secretaries and the Local Executive include among other things the Presidents of the Local Enterprise Councils.

**POSITIONS**

Members of each Local Enterprise Council:

**NAB**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**ANZ**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**Westpac**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**CBA**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- QLD LEC Member
- SA/NT LEC Member
- WA LEC Member

**St George/SA**

- Vic/Tas LEC Member
- NSW/ACT LEC Member
- Qld/SA/NT/WA LEC President

Insurance – Local President

Insurance – Deputy National Executive Delegate

General – Local President

General – Deputy National Executive Delegate

**National Enterprise Council Members (Deputy National Executive Delegate, other Members)**

- NAB NEC Deputy National Executive Delegate
- NAB NEC Member/s
- ANZ NEC Deputy National Executive Delegate
- ANZ NEC Member/s
- Westpac NEC Deputy National Executive Delegate
- Westpac NEC Member/s
- Insurance NEC Deputy National Executive Delegate
- Insurance NEC Member/s
- Midsized NEC Deputy National Executive Delegate
- Midsized NEC Member/s
- Temp Deputy National Executive Delegate
- Temp NEC Member/s
- St George/SA Deputy National Executive Delegate
- St George/SA NEC Member/s

# **Attachment E**

22 March 2017

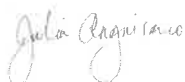
General Manager  
Fair Work Commission  
Level 4  
11 Exhibition Street  
Melbourne Vic 3000

Dear Sir/Madam,

**Re: AR2017 - Finance Sector Union of Australia – Annual Return of Information  
for Year 2017**

Please find attached the Annual Return of Information for the Finance Sector Union of Australia in accordance with Regulation 147 Fair Work (Registered Organisations) Regulations 2009 and Sections 230 and 233 Fair Work (Registered Organisations ) Act 2009.

Yours faithfully,



**Julia Angrisano**  
**National Secretary**

Attach.



**ANNUAL RETURN OF INFORMATION (organisation with branches) in accordance with Regulation 147 *Fair Work (Registered Organisations) Regulations 2009* and Sections 230 and 233 *Fair Work (Registered Organisations) Act 2009***

I, Julia Angrisano, being the National Secretary of the Finance Sector Union of Australia, declare the following:

1. I am authorised to make this declaration.
2. The register of members has, during the immediately preceding calendar year, been kept and maintained as required by s.230(1)(a) and s.230(2) of the *Fair Work (Registered Organisations) Act 2009* (the Act).
3. The following is a correct statement of the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Act:
  - The address of the organisation is Finance Sector Union of Australia, 341 Queen Street, Melbourne 3000.<sup>1</sup>
  - The name and address of each branch of the organisation is:<sup>2</sup>
    1. Finance Sector Union of Australia  
CBA Section  
341 Queen Street  
Melbourne Vic 3000
    2. Finance Sector Union of Australia  
RBA Section  
341 Queen Street  
Melbourne Vic 3000
  - On 31 December in the previous year the number of members was 31,680.<sup>3</sup>
  - A list of offices and the names, postal addresses and occupations of persons holding those offices as at the date of this declaration is attached at Annexure A and forms part of this declaration.

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<sup>1</sup> s.230(1)(d); reg.147(d)

<sup>2</sup> s.230(1)(d); reg.147(a) & (d)

<sup>3</sup> s.230(1)(d); reg.147(f)

- Under the rules of the organisation, the following elections must be held between 1 January and 31 December of THIS YEAR:<sup>4</sup>

Nil

- During the previous 12 months:<sup>5</sup>
  - NO branches COMMENCED or CEASED operation.
- The organisation:
  - Has not entered into an agreement under s.151(1) of the Act with a state union.

Signed:



Dated: 22 March 2017

*[PLEASE NOTE: This declaration must be lodged with the Fair Work Commission by 31 March. It can be submitted to [orgs@fwc.gov.au](mailto:orgs@fwc.gov.au). If at any time in the year this information changes a Notification of Changes must be lodged with the Commission within 35 days of the change.<sup>6</sup>]*

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<sup>4</sup> s.230(1)(d); reg.147(e)

<sup>5</sup> s.230(1)(d); reg.147(b) & (c)

<sup>6</sup> s.233(2); reg. 151

## ANNEXURE A

- Offices and Office Holders in the Organisation and its Branches:

Branch	Name of Office (include any offices that are vacant)	Name of Office Holder	Postal Address of Office Holder	Occupation of Office Holder
National	National Secretary	Julia Angrisano	c/- 341 Queen Street, Melbourne	Paid Official
National	National Assistant Secretary	Geoff Derrick	As Above	Paid Official
National	National President	Louise Arnfield	As Above	Finance Worker
NSW/ACT	Local Executive Secretary	Rebecca Reilly	As Above	Paid Official
VIC/TAS	Local Executive Secretary	Darren Martin	As Above	Paid Official
QLD	Local Executive Secretary	Wendy Streets	As Above	Paid Official
SA/NT	Local Executive Secretary	Jason Hall	As Above	Paid Official
WA	Local Executive Secretary	Dianne Marshall	As Above	Paid Official
National	National Executive Delegate (President of the National Enterprise Council) ANZ	Nadine Jensen	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) CBA	Melissa Carmichael	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) Insurance	Julie Engel	As Above	Finance Worker

National	National Executive Delegate (President of the National Enterprise Council) Midsized Banks	Adam Fay	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) NAB	Paul Ferrari	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) St George/Bank SA	Susanne Pearce	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) RBA	Peter Tancred	As Above	Finance Worker
National	National Executive Delegate (President of the National Enterprise Council) Westpac	Marcia Byrnes	As Above	Finance Worker
National	National President of the General Section	Pauline Olson	As Above	Finance Worker
NSW/ACT	CBA NEC President and NEC Member	Melissa Carmichael	As Above	Finance Worker
QLD	CBA NEC Deputy National Executive Delegate and NEC Member	Scott Brady	As Above	Finance Worker
VIC/TAS	CBA NEC Member	Valerie Smith	As Above	Finance Worker
SA/NT	CBA NEC Member	Kristy Horner	As Above	Finance Worker
WA	CBA NEC Member	Charmane Hollyock	As Above	Finance Worker
NSW	RBA NEC President and NEC Member	Peter Tancred	As Above	Finance Worker
NSW	RBA NEC Deputy National Executive Dologato and NEC Member	Jason Jux	As Above	Finance Worker

NSW	RBA NEC Member	Brian Forward	As Above	Finance Worker
NSW/ACT	Local Executive President	Marcia Byrnes	As Above	Finance Worker
VIC/TAS	Local Executive President	Steven Howland	As Above	Finance Worker
QLD	Local Executive President	Paul Selke	As Above	Finance Worker
SA/NT	Local Executive President	Tracy Piltz	As Above	Finance Worker
WA	Local Executive President	Adam Fay	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President NAB	Dale Mills	As Above	Finance Worker
VIC/TAS	Local Enterprise Council President NAB	Steven Howland	As Above	Finance Worker
QLD	Local Enterprise Council President NAB	Tania McDougall	As Above	Finance Worker
SA/NT	Local Enterprise Council President NAB	Emma Branson	As Above	Finance Worker
WA	Local Enterprise Council President NAB	Vacant	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President ANZ	Mark Barratt	As Above	Finance Worker
VIC/TAS	Local Enterprise Council President ANZ	Suzanne Adamcewicz	As Above	Finance Worker
QLD	Local Enterprise Council President ANZ	Joy Buckland	As Above	Finance Worker
SA/NT	Local Enterprise Council President ANZ	Vacant	As Above	Finance Worker
WA	Local Enterprise Council President ANZ	Marianne Hywood	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President Westpac	Marcia Byrnes	As Above	Finance Worker

VIC/TAS	Local Enterprise Council President Westpac	Sasha Hammersley	As Above	Finance Worker
QLD	Local Enterprise Council President Westpac	Paul Selke	As Above	Finance Worker
SA/NT	Local Enterprise Council President Westpac	Anne O'Connell	As Above	Finance Worker
WA	Local Enterprise Council President Westpac	Jane Fisher	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President CBA	Allison Cruze	As Above	Finance Worker
VIC/TAS	Local Enterprise Council President CBA	Valerie Smith	As Above	Finance Worker
QLD	Local Enterprise Council President CBA	Paul Everson	As Above	Finance Worker
SA/NT	Local Enterprise Council President CBA	Kristy Horner	As Above	Finance Worker
WA	Local Enterprise Council President CBA	Charmane Hollyock	As Above	Finance Worker
NSW/ACT	Local Enterprise Council President St George/BSA	Susanne Pearce	As Above	Finance Worker
SA/NT	Local Enterprise Council President St George/BSA	Tracy Piltz	As Above	Finance Worker
VIC/TAS, QLD, WA	Local Enterprise Council President St George/BSA	Vacant	As Above	Finance Worker

# **Attachment F**

**26 March 2021**

Registered Organisation Commissioner  
Registered Organisation Commission  
GPO Box 2983  
Melbourne VIC 3001

Dear Sir/Madam,

**FINANCE SECTOR UNION OF AUSTRALIA**

**NOTIFICATION OF CHANGES TO RECORDS** (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Julia Angrisano, being the National Secretary of the Finance Sector Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

**Julia Angrisano**  
National Secretary

Dated: 26 March 2021



**ANNEXURE A****THIS IS A PUBLIC DOCUMENT THAT WILL BE PUBLISHED ON THE WEBSITE**

- Changes to Offices and Office Holders in the Organisation and its Branches:

*Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.*

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW/ACT	27/11/2020	Local President Insurance Section (National Conference Delegate)	Julie Engel	Dustin Greenfield	c/- 341 Queen Street, Melbourne	Finance Worker
NSW/ACT	27/11/2020	Local President General Section (National Conference Delegate)	Steve Holloway	Cameron Simersall	c/- 341 Queen Street, Melbourne	Finance Worker
WA	05/07/2019	Local President Insurance Section (National Conference Delegate)	Vacant	Karen Anthony	c/- 341 Queen Street, Melbourne	Finance Worker
WA	27/11/2020	Local President Insurance Section (National Conference Delegate)	Karen Anthony	Vacant		
WA	05/07/2019	Local President General Section (National Conference Delegate)	Vacant	Graeme Shearer	c/- 341 Queen Street, Melbourne	Finance Worker
WA	26/09/2019	Local President General Section (National Conference Delegate)	Graeme Shearer	Vacant		
SA	10/05/2017	Local President Insurance Section (National Conference Delegate)	Vacant	Bruno Aloï	c/- 341 Queen Street, Melbourne	Finance Worker
SA	01/08/2018	Local President General Section (National Conference Delegate)	Gary Bridle	Vacant		
SA	13/03/2019	Local President General Section (National Conference Delegate)	Vacant	Gary Bridle	c/- 341 Queen Street, Melbourne	Finance Worker
QLD	25/06/2018	Local President General Section (National Conference Delegate)	Anne Dixon	Vacant		
QLD	04/02/2019	Local President General Section (National Conference Delegate)	Vacant	Paul Everson	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	01/08/2018	Local President Insurance Section (National Conference Delegate)	Steve Gatti	Kristina Morales	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	19/01/2021	Local President Insurance Section (National Conference Delegate)	Kristina Morales	Jayden Crozier	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	01/08/2018	Local President General Section (National Conference Delegate)	Peter Clifford	Matthew Rowe	c/- 341 Queen Street, Melbourne	Finance Worker
VIC/TAS	19/01/2021	Local President General Section (National Conference Delegate)	Matthew Rowe	Keir Paterson	c/- 341 Queen Street, Melbourne	Finance Worker