

Australian Government

**Registered Organisations Commission** 

31 January 2023

Ben Carpenter National Secretary Treasurer Australian Hotels Association - Tasmanian Hospitality Association Sent via email: ben@beachhotelburnie.com.au



### IMMEDIATE ACTION REQUIRED:

You must take steps after your recent election E2022/205

Dear Ben Carpenter,

An election has recently been completed by the Australian Electoral Commission (**AEC**) for the Australian Hotels Association - Tasmanian Hospitality Association.

This letter explains the next steps you must take and what information you need to lodge with the Registered Organisations Commission (**ROC**).

### What you must do right now

- Let your members know about the election report issued by the AEC
- Arrange financial training for officers newly elected to an office with financial management duties. (This includes all members of the committee of management).
- Complete the notification of change and email it to <a href="mailto:regorgs@roc.gov.au">regorgs@roc.gov.au</a>

This letter explains these steps and the tools available to help you.

### **STEP 1: Notify members**

You must put a notice on your website once you receive your post-election report. It must tell your members they can request a copy of the post-election report from you or the AEC (r. 141). The notice must be on your website for at least 3 months.



### Can I put more information on our website?

Yes! Being transparent is part of good governance. You can publish the whole report or the declaration of results, as well as your notice and leave them up longer than 3 months. You can always do more than the regulatory minimum.

The ROC will also publish your declaration of results on our website.

### **STEP 2: Financial training**

You may have to arrange financial training for people elected to new roles.

All officers with financial management duties must complete financial training (s 293K). For information on when officers must redo training and hints on when to book training for officers taking up multiple offices <u>please see our fact sheet</u>.

There are face to face and online financial training packages on the ROC website.

You must act on this now, as affected officers MUST complete approved financial training OR been granted an <u>exemption by the ROC</u> within 6 months of beginning to hold office.



### Good governance tip:

Organisations should instruct officer holders about their other obligations. This is especially important for committee of management members.

Our podcast covers the <u>benefits of officer induction</u>. Officers have responsibilities under the rules, the RO Act, and disclosure requirements around conflicts of interest, remuneration and material personal interests. Officers will benefit from our <u>officer induction kit</u> and our <u>podcast</u>, such as episode 17 - conflicts of interest.

### **STEP 3: Notifications of change**

A notification of change must be lodged with the ROC within **35 days** of the change occurring.



We have a <u>template</u> to help you with this, which you can send to <u>regorgs@roc.gov.au</u>. An officer must sign the completed notification template.

You must lodge a notification of change if:

- any of your officers have not been re-elected;
- officers have changed offices; or
- you have new officers.

Only notify us of changes that have **already occurred.** If people take up office in the future, you must wait until the change happens before lodging the notification within 35 days of the change.

Note: One election may result in many notifications of change.



### Penalties apply

Failing to lodge your notifications of change on time can expose your organisation and its officers to significant penalties.

### ROC v CEPU

The organisation failed to make a series of notifications of change on time.

The Full Court said 'The record-keeping obligations imposed under the Registered Organisations Act are treated by the legislature as important and serious, and contravention of those obligations are correspondingly serious.'

The organisation was ordered to pay a penalty. More information is available in our <u>case summary</u>.

### Questions?



# Why do I need to tell you the results of the election when you've already received them?

The RO Act requires that **you** tell us this information about your officers – it is a legal obligation. The notification of change also contains information that is not in the declaration of results.

### What if the officer has already done training?

Check out our <u>fact sheet</u> for when officers need to redo training, when they can get an exemption and when further training isn't required.

If you have any queries we can be contacted on 1300 341 665 or by email at regorgs@roc.gov.au.

Yours sincerely

### **Registered Organisations Commission**



The ROC has a <u>Compliance Policy</u> which explains how we respond to issues of non-compliance. The policy is available on our website if you would like further information on the steps the compliance team may take in response to non-lodgement of a financial report.

# **Post-election report**

# **Australian Hotels Association**

Tasmanian Branch Scheduled election E2022/205



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# Election(s) Covered in this Report

Organisation: AHA - Tasmanian Branch Election: Scheduled Election Decision No/s: E2022/205

### Rules

Rules used for the election: National Rules - 024N: Incorporates alterations of 12 February 2021 [R2020/170]

Rules difficult to apply/interpret: None

Model Rule reference (if any): N/A

For more details see the Model Rules on the AEC website: www.aec.gov.au/ieb/

# Other Matters

Returning Officer actions required (Section 193): No

# Signed

Lachlan Symonds Returning Officer Australian Electoral Commission E: iebevents@aec.gov.au P: 02 9375 6366 31 January 2023 A. Declaration of results for Uncontested Offices

### Australian Hotels Association Tasmanian Branch DECLARATION OF RESULTS - E2022/205 Uncontested Offices

### **Scheduled Election**

The results of the election for the following offices conducted in accordance with the provisions of the *Fair Work (Registered Organisations) Act 2009* and the rules of the organisation are:

#### **Delegate to National Accommodation Hotels Division (1)**

Candidates DABNER John

As the number of nominations accepted did not exceed the number of positions to be filled, I declare the above candidate elected.

Lachlan Symonds Returning Officer Telephone: 02 9375 6366 Email: iebevents@aec.gov.au 31/01/2023





Australian Government Registered Organisations Commission

### DECISION

*Fair Work (Registered Organisations) Act 2009* s.189—Arrangement for conduct of an election

### **Australian Hotels Association**

(E2022/205)

MR STEENSON

### SYDNEY, 15 NOVEMBER 2022

Arrangement for conduct of election.

[1] On 8 November 2022 the Tasmanian Hospitality Association of the Australian Hotels Association (**the Branch**) lodged with the Registered Organisations Commission (**the Commission**) prescribed information for an election to fill the following office for a new term:

Delegate to National Accommodation Hotels Division (1)

(1)

[2] On 4 October 2022, the Commission issued election decision **E2022/176** for the scheduled election of the Branch officers. If possible, the Australian Electoral Commission (**AEC**) is requested to run elections **E2022/176** and **E2022/205** concurrently.

[3] I am satisfied that an election for the abovenamed office is required to be held under the rules of the organisation and, under subsection 189(3) of the *Fair Work (Registered Organisations)* Act 2009, I am making arrangements for the conduct of the election by the AEC.



### DELEGATE OF THE COMMISSIONER

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#### PRESCRIBED INFORMATION FOR ELECTIONS in accordance with Section 189 Fair Work (Registered Organisations) Act 2009 and Regulation 138 Fair Work (Registered Organisations) Regulations 2009

I, Ben Carpenter, being the President of the Australian Hotels Association – Tasmanian Branch make the following statement:

- 1. I am authorised to sign this statement containing prescribed information for the Australian Hotels Association Tasmanian Branch.
- 2. The following information is lodged under subsection 189(1) of the Fair Work (Registered Organisations) Act 2009 (the RO Act).
- 3. The elections that are required are set out in the table in Annexure A.
- 4. No rule alterations are pending that will impact the election.
- 5. This statement **IS** lodged at least 2 months before nominations open for the election(s) in Annexure A.

Signed:

Dated: 8/11/22

**NOTE:** This statement should be lodged with the Registered Organisations Commission at least 2 months prior to nominations opening. It can be submitted to <u>regorgs@roc.gov.au</u>.

### Annexure A

• Elections that are required [insert as many pages as required]

Branch	Name of Office	Number required	Voting System Direct voting system; Collegiate electoral system	Reason for Election Scheduled; Casual vacancy; New office created; Insufficient nominations	Electorate
	Delegates to National Accommodation Division	1	Direct Voting System	Scheduled	Members of the Accommodation Division. Nat R 42c

• Important dates:

	Direct Voting System	Collegiate Electoral System	
Nominations OPEN	Scheduled election – 21 Feb, 2023 (R 14f)	To be determined by the Returning Officer (Preference 21 Feb,	
	(Preference 21 Feb, 2023)	2023) (R 124f)	
Nominations CLOSE	To be determined by the Returning Officer	To be determined by the Returning Officer	
Roll of Voters cut-off date	To be closed 7 days before the day on which nominations for the election open (R 14h)	To be closed 7 days before the day on which nominations for the election open (R 14h)	

**NOTES:** For insufficient nominations and casual vacancies, the date nominations open and close, unless specified in the rules, should be listed as 'To be determined by the returning officer'. This also applies where rules are silent as to the nominated dates.

If the nomination dates are 'To be Determined by the Returning Officer', but your organisation has a *preference*, please state 'To be Determined by Returning Officer' and clearly indicate that your nominated date is a preference.

If the P.I. includes a position that is not an office, please refer to our template Prescribed information for the election of officer and non-officer positions