



FAIR WORK
AUSTRALIA

FILE COPY

11 August 2010

Mr Alex Claassens
Secretary, NSW Branch
ARTBIU
Level 4, 321 Pitt Street
SYDNEY NSW 2000

Dear Mr Claassens

Re: Lodgment of Financial Accounts and Statements – Australian Rail, Tram and Bus Industry Union, NSW Branch – for year ending 31 December 2009 (FR2009/10322)

Thank you for lodging the above statements and accounts which were received by Fair Work Australia on 8 July 2010.

I draw your attention to the list of details of donations exceeding \$1,000 at the conclusion of the full report. Section 237 of the *Fair Work (Registered Organisations) Act 2009* sets out the particulars that must be lodged in a statement for all donations exceeding \$1,000. I set out below the relevant extracts from s237.

(1) An organisation must, within 90 days after the end of each financial year (or such longer period as the Registrar allows), lodge in the Industrial Registry a statement showing the relevant particulars in relation to each loan, grant or donation of an amount exceeding \$1,000 made by the organisation during the financial year.

(2) A statement lodged in the Industrial Registry under subsection (1) must be signed by an officer of the organisation.

(6) The relevant particulars, in relation to a grant or donation made by an organisation, are:

(a) the amount of the grant or donation; and

(b) the purpose for which the grant or donation was made; and

(c) except where the grant or donation was made to relieve a member of the organisation, or a dependant of a member of the organisation, from severe financial hardship—the name and address of the person to whom the grant or donation was made.

The list received was not signed and did not include the addresses of the donation recipients.

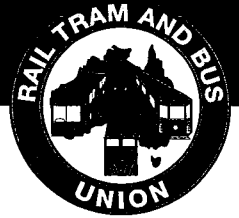
I will file the return as lodged, but would ask that in future years, a separate s237 statement be prepared and lodged, showing all the prescribed particulars. Section 237 Statements are filed separately and not on the public record.

Yours sincerely,

Stephen Kellett

Statutory Services Branch

Rail Tram and Bus Union NSW Branch



AC: MM

Thursday, 8 July 2010

**MR STEPHEN KELLETT
STATUTORY SERVICES BRANCH
FAIR WORK AUSTRALIA
LEVEL 8, 80 WILLIAM STREET
EAST SYDNEY NSW 2011**

Dear Mr Kellett

Please find attached Financial Statements for financial year ending December 2009.

Yours faithfully

**ALEX CLAASSENS
BRANCH SECRETARY**



RAIL TRAM AND BUS UNION (NSW Branch) ABN 55 090 785 801

Level 4, 321 Pitt Street Sydney NSW 2000 • Tel (02) 9264 2511 • Fax (02) 9261 1342 • E-mail nsw@rtbu-nsw.asn.au • Website www.rtbu-nsw.asn.au

NEWCASTLE OFFICE 33 Gordon Avenue Hamilton NSW 2303 • Tel (02) 4961 4311 • Fax (02) 4961 4779 • E-mail mschmitzer@rtbu-nsw.asn.au

WOLLONGONG OFFICE Level 1, 306A Crown Street Wollongong NSW 2500 • Tel (02) 4227 5798 • Fax (02) 4226 5262 • E-mail wollongong@rtbu-nsw.asn.au

your rights at work
worth fighting for

AUSTRALIAN RAIL, TRAM AND BUS INDUSTRY UNION
NEW SOUTH WALES BRANCH

Certificate Of Secretary or other Authorised Officer
S268 of Schedule 1B Workplace Relations Act 1996

I Alexander Claassens being the Branch Secretary of the Australian Rail Tram & Bus Industry Union, New South Wales Branch certify:

- That the documents lodged herewith are copies of the full report (and the concise report), referred to in s268 of the RAO Schedule; and
- That the concise report was provided to members on 31/05/2010.
- That the full report was presented to a meeting of the committee of management of the reporting unit on the 18/06/2010 in accordance with section 266 of the RAO Schedule.

Signature..........

Date: 18/06/2010

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

FINANCIAL REPORT

For the Year Ended 31st December 2009





OPERATING REPORT FOR
2009

THE RAIL TRAM & BUS UNION NSW BRANCH

Prepared: 14th May 2010

SCOPE OF THE OPERATING REPORT

This Operating Report covers the:

1. Activities of the Rail, Tram & Bus Union (RTBU) NSW Branch, and results of those activities for the calendar year 1 January 2009 to 31 December 2009;
2. Significant changes in the nature of the activities as required under Fair Work(Registered Organisations) Act 2009.
3. Details of any significant changes in financial affairs.
4. Details of the rights of members to resign
5. Details of officers and members who hold positions as trustees or company officers.
6. Members of the committee of management
7. 2008 Elections
8. Number of members and number of employees

THE PRINCIPAL ACTIVITIES & RESULTS OF THE ACTIVITIES OF THE BRANCH

The RTBU (NSW) is a member based, federally register trade union representing members whose usual place of work is located within the State of New South Wales (NSW) and the Australian Capital Territory (ACT) together with members employed rail, tram (light rail) and government owned and operated bus industries.

The reporting unit's activities are directed by the NSW Branch Council in accordance with its objectives and rules of registration.

The principal activities and the results of these activities fell into the following categories:

| ACTIVITIES | 2008 RESULTS |
|--|---|
| 1. Making agreements with employers. | <ul style="list-style-type: none"> • 23 Federally registered Agreements • 2 NSW State Agreement |
| 2. Implementation of Branch Council's agenda, including providing assistance and strategic advice to individual members in relation to workplace issues. | <ul style="list-style-type: none"> • Received application for admittance of 1433 new members (Bus and Rail Divisions). |
| 3. Representing members in tribunals and related institutions. | <ul style="list-style-type: none"> • 224 appeals before NSW Transport Appeals Board (TAB) (215 Rail Division & 9 Bus Division) • |
| 4. Electing representatives to peak trade union bodies in NSW. | <ul style="list-style-type: none"> • 5 delegates and alternate delegates (Rail Division) and 2 delegates (Bus Division) 2 delegates South Coast Labor Council nominated to Unions NSW. |
| 5. Attending meetings called by peak union bodies in NSW. | <ul style="list-style-type: none"> • Attend approximately 35 Unions NSW meetings. |
| 6. Making submissions on behalf of the branch to inquiries and proceedings affecting the industry. | <ul style="list-style-type: none"> • No submissions were made in 2009. |
| 7. Training delegates & representatives. | <ul style="list-style-type: none"> • 41 workplace delegates were trained. |

| | |
|---|---|
| 8. Produced and distributed circulars, bulletins, newsletters and materials as authorised by the union. | • Produced and distributed: <ul style="list-style-type: none"> - 4 copies of union journal <i>Road & Rail</i>; - 81 membership bulletins - 4 newsletters - 1 <i>Around the Tracks</i>). |
|---|---|

6. ADDITIONAL RULE CHANGES IN ACTIVITIES

There were no rule changes certified in 2009

7. DETAILS OF ANY SIGNIFICANT CHANGES IN FINANCIAL 2009

Significant changes in the Rail, Tram & Bus Union (RTBU) NSW Branch financial affairs (See **ATTACHMENT A: FINANCIAL REPORT**) included:

- an increase in accumulated funds of \$3,000,528 for the operating year to 31 December 2009;
- an increase in membership contributions of \$441,854 for the operating year to 31 December 2009; and
- an increase in the following union fees:
 - \$7.75 per week to \$8.15 for all Rail Divisions;
 - \$10.00 per week to \$10.40 for Locomotive Division.
 - There were no changes in membership fees for the Bus & Tram Division.

Other Relevant Information

- The Rail, Tram & Bus Union (RTBU) NSW Branch also managed the New Generation Holiday Camp at Jervis Bay on behalf of the union and its members. (See **ATTACHMENT A: FINANCIAL REPORT**).

8. DETAIL OF THE RIGHTS OF MEMBER TO RESIGN AFFAIRS

All members of the RTBU (NSW) have the right to resign from the union in accordance with **Rule 14, Resignation from Membership**, of the Rules of the Rail Tram and Bus Industry Union as set out below:

14 - RESIGNATION FROM MEMBERSHIP

- (1) *A member may resign from membership of the Union by written notice addressed and delivered to the Secretary of his/her Branch.*
- (2) *A notice of resignation from membership of the Union takes effect:-*
 - (a) *where the member ceases to be eligible to become a member of the Union:-*
 - (i) *on the day on which the notice is received by the Union; or*
 - (ii) *on the day specified in the notice, which is a day not earlier than the day when the member ceases to be eligible to become a member;**whichever is later; or*
 - (b) *In any other case:-*
 - (i) *at the end of two weeks; or*
 - (ii) *on the day specified in the notice;**whichever is later.*

- (3) Any subscriptions, fees, fines and levies owing but not paid by a former member of the Union in relation to a period before the member's resignation took effect, may be sued for and recovered in the name of the Union in a Court of competent Jurisdiction, as a debt due to the Union.
- (4) A notice delivered to the Branch Secretary shall be deemed to have been received by the Union when it was delivered.
- (5) A notice of resignation that has been received by the Union is not invalid because it was not addressed and delivered to the Branch Secretary.
- (6) A resignation from membership of the Union is valid even if it is not effected in accordance with this Rule, if the member is informed in writing by or on behalf of the Union that the resignation has been accepted.

MEMBERS AND OFFICIALS HOLDING POSITIONS AS TRUSTEES OR COMPANY DIRECTORS

The following members hold position(s) as trustees or company directors:

| OFFICIAL | ORGANISATION |
|----------------|--|
| Nick Lewocki | <ul style="list-style-type: none"> • Employee Representative appointed to the Trustee Board of the State Trustee Corporation • a Director of the Trades Hall Association • a Director of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) • a Director of the Transport and Logistics Centre (TALC) |
| Alex Claassens | <ul style="list-style-type: none"> • a Director of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) |
| Doug Klineberg | <ul style="list-style-type: none"> • a Director of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) |
| Mark Morey | <ul style="list-style-type: none"> • Company Secretary of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) |

The members of Branch Council during the year ending 31 December 2009 were:

THE BRANCH OFFICES

Branch President

Branch Secretary

Assistant Branch Secretary

Senior Branch Vice-President

Junior Branch Vice-President

Branch Organisers

Branch Divisional Presidents

Branch Divisional Vice-Presidents

Branch Divisional Secretaries

Assistant Branch Divisional Secretary, Locomotive Division

Branch Divisional Delegates to Branch Council

Sub Divisional Representatives on Branch Divisional Committee

The Registered Office of the Australian Rail, Tram & Bus Industry Union is:

**Level 4, 321 Pitt Street
SYDNEY NSW 2000**

BRANCH OFFICE HOLDERS

| OFFICE | NAME | WORK ADDRESS/DEPOT |
|------------------------------|-------------------|---|
| Branch President | Alex Claassens | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Branch Secretary | Nick Lewocki | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Assistant Branch Secretary | Michael Schmitzer | 33 Gordon Avenue HAMILTON NSW 2303 |
| Senior Branch Vice-President | Phillip Kessey | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Junior Branch Vice-President | Raul Baonza | 83-89 Renwick Street REDFERN NSW 2016 |

BRANCH OFFICE HOLDERS
LOCOMOTIVE DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---|----------------|----------------|---|
| Branch Divisional President | Brian Glover | Driver | Hunter Valley |
| Branch Divisional Senior Vice-President | Brett Rosser | Driver | Penrith |
| Branch Divisional Junior Vice-President | Peter Bull | Driver | Gosford |
| Branch Divisional Secretary | Alex Claassens | Union Official | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Assistant Branch Divisional Secretary | Robert Newham | Driver | Campbelltown |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------|---------------|------------|-----------------------|
| Metropolitan District (3) | Paul Foster | Driver | Flemington |
| | Shan Rathinam | Driver | Central |
| | Ian Wyllie | Driver | PN Port Kembla |
| Northern District (1) | Russell Hendy | Driver | Brisbane |
| Western District (1) | Peter Anthony | Driver | PN Broken Hill |
| Southern District (1) | Mark Hall | Driver | PN Southern Highlands |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|----------------|------------|--------------------|
| RAILCORP ETR GROUP REGION 1 (1) | Andrew Holt | Driver | Blacktown |
| RAILCORP ETR GROUP REGION 2 (1) | Jeff King | Driver | Flemington |
| RAILCORP ETR GROUP REGION 3 (2) | Mark McClymont | Driver | Cronulla |
| | Mark Hagan | Driver | Central |
| INTERCITY GROUP (1) | John Aquilina | Driver | Wollongong |
| COUNTRYLINK GROUP (1) | Craig Stevens | Driver | Sydney |
| PACIFIC NATIONAL GROUP METROPOLITAN REGION (1) | Arthur Pollard | Driver | PN Chullora |
| PACIFIC NATIONAL GROUP NORTH WEST REGION (2) | Peter Munday | Driver | Taree |
| | Nicholas Punch | Driver | Werris Creek |
| PACIFIC NATIONAL GROUP SOUTH WEST | Bruce Wooten | Driver | Junee |

| | | | |
|---|-----------------|--------|-----------|
| REGION (1) | | | |
| INTERAIL AUSTRALIA PTY LTD GROUP (1) | Alf Hayne | Driver | Grafton |
| FREIGHT AUSTRALIA GROUP (1) | Kevin Pryor | Driver | Clyde |
| SOUTHERN SILVERTON GROUP (1) | Michael Ferris | Driver | Narromine |
| INDEPENDENT RAILWAYS OF AUSTRALIA GROUP (1) | Ian Hogg | Driver | Sydney |
| EL ZORRO RAIL GROUP (1) | Greg Hayes | Driver | Sydney |
| SKILLED GROUP (1) | Vacant Position | | |
| PERISHER BLUE GROUP (1) | Vacant Position | | |
| MANILDRA GROUP (1) | Vacant Position | | |
| PATRICK RAIL GROUP (1) | Vacant Position | | |
| MOMENTUM GROUP (1) | Vacant Position | | |
| PATRICK RAIL GROUP (1) | Vacant Position | | |
| ARG GROUP (1) | Vacant Position | | |
| SOUTHERN SHORTHHAUL GROUP (1) | Vacant Position | | |

BRANCH OFFICE HOLDERS
TRAM & BUS DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|---------------|----------------|--|
| Branch Divisional President | Darryl Malone | Union Official | 83-89 Renwick Street REDFERN NSW 2016 |
| Branch Divisional Vice-President | Kelly Budden | Bus Operator | Hamilton |
| Branch Divisional Secretary | Raul Baonza | Union Official | 83-89 Renwick Street REDFERN NSW 2016 |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|------------------|---------------------|--------------------|
| Mark Delohery | Senior Bus Operator | Burwood |
| Wendy Wirth | Senior Bus Operator | Waverley |
| Chris Preston | Senior Bus Operator | North Sydney |
| Steven Giardullo | Senior Bus Operator | Port Botany |
| David Woollams | Senior Bus Operator | Hamilton |

BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION (WOMEN)

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------|--------------|--------------------|
| Leanne Holmes | Bus Operator | Hamilton |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|---------------------|---------------------|--------------------|
| BELMONT (1) | Craig Malone | Shed Driver | Belmont |
| BROOKVALE (1) | Narelle Sinclair | Senior Bus Operator | Brookvale |
| BURWOOD (1) | Mark Delohery | Senior Bus Operator | Burwood |
| HAMILTON (1) | David Woollams | Senior Bus Operator | Hamilton |
| KINGSGROVE (1) | Vijay Sharma | Senior Bus Operator | Kingsgrove |
| LEICHHARDT (1) | Darren Walsh | Senior Bus Operator | Leichhardt |
| MONA VALE (1) | Matthew Compton | Senior Bus Operator | Mona Vale |
| NORTH SYDNEY (1) | Christopher Preston | Senior Bus Operator | North Sydney |
| PORT BOTANY (1) | Steve Giardullo | Senior Bus Operator | Port Botany |
| RANDWICK (1) | Bruce Eddy | Bus Operator | Randwick |
| RYDE (1) | Daniel Jagers | Shed Driver | Ryde |
| WAVERLEY (1) | Wendy Wirth | Senior Bus Operator | Waverley |
| WILLOUGHBY (1) | Gary Way | Senior Bus Operator | Willoughby |
| BONNYRIGG (Western Sydney Buses) (1) | Vacant Position | | |
| PYRMONT (Connex Sydney Light Rail) (1) | Vacant Position | | |

BRANCH OFFICE HOLDERS
INFRASTRUCTURE DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|-------------------|----------------------------------|--------------------|
| Branch Divisional President | Tarvinder Panesar | Infrastructure Worker 1 B (1) | Sydenham |
| Branch Divisional Vice-President | Mohammad Obeid | Infrastructure Worker 2 A | Belmore |
| Branch Divisional Secretary | Ray West | Signal Sectionman | Gosford |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------|--------------------|------------------------------|--------------------|
| METROPOLITAN DISTRICT (1) | James Buckley | Infrastructure Worker 1 C | Clyde |
| NORTHERN DISTRICT (1) | Tony Read | Infrastructure Track Worker | ARTC Tamworth |
| NORTH COAST DISTRICT (1) | Anestasi Bouboulas | Infrastructure Worker 1 A | ARTC Grafton |
| WESTERN DISTRICT (1) | Andrew Willetts | Infrastructure Worker 1 A | ARTC Dubbo |
| SOUTHERN DISTRICT (1) | Michael Tapper | Infrastructure Worker 1 A | ARTC Goulburn |

SUB DIVISIONAL REPRESENTATIVES TO BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|--------------------|-----------------------------------|--------------------------------|
| METROPOLITAN DISTRICT GROUP PERWAY (4) | Steven Bradley | Infrastructure Worker 1 C (IV) | Blacktown |
| | Kerry Williams | Infrastructure Worker 1 A | Clyde |
| | Peter Thelander | Infrastructure/Track Worker | Valley Heights |
| | Vacant Position | | |
| METROPOLITAN DISTRICT GROUP SIGNALS (1) | Michael Sullivan | Signal Sectionman | Hornsby |
| METROPOLITAN DISTRICT GROUP ELECTRICAL (1) | Alan Cameron | Infrastructure Worker 1 C | Hurstville |
| NORTHERN DISTRICT GROUP (1) | Ken Aldridge | Signals Team Leader | Signal Support Services P/L |
| NORTH COAST DISTRICT GROUP (1) | Anestasi Bouboulas | Infrastructure Worker 1 A | ARTC Grafton |
| WESTERN DISTRICT (1) | Andrew Willetts | Infrastructure Worker 1 A | ARTC Dubbo |
| SOUTHERN DISTRICT GROUP (1) | Michael Tapper | Infrastructure Worker 1 A | ARTC Goulburn |

BRANCH OFFICE HOLDERS
ADMINISTRATIVE, SUPERVISORY, TECHNICAL & PROFESSIONAL DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|---------------|---------------------------|---------------------------|
| Branch Divisional President | Chris Doyle | Sales Account Team Leader | Central |
| Branch Divisional Vice-President | Mark Thompson | Station Manager | Richmond |
| Branch Divisional Secretary | Sam Nawar | Station Manager | Bankstown |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|------------------|---------------------------|---------------------------|
| METROPOLITAN DISTRICT (3) | Terry Missingham | Sales Account Team Leader | Hurstville |
| | Neil Munro | C&A Officer | Central |
| | Ling Chang | Senior Officer | Sydney |
| NORTHERN DISTRICT (1) | Greg Cameron | Asset Co-Ordinator | Port Waratah |
| WESTERN & SOUTHERN DISTRICTS (1) | Graham Besley | C&A Officer | Wagga Wagga |
| STATE TRANSIT AUTHORITY (1) | Earl Donaldson | Clerk Special Grade | Willoughby |
| SYDNEY FERRIES CORPORATION (1) | Vacant Position | | |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------------|-------------------|---------------------------|---------------------------|
| METROPOLITAN DISTRICT GROUP (7) | Neville Mitchell | Senior Rostering Officer | Sydney |
| | Bob Barker | Payroll Officer | Lee Street |
| | Gary Teasel | FreightCorp Officer | Parramatta |
| | Terry Missingham | Sales Account Team Leader | Hurstville |
| | Eddy Daher | Station Manager | Rockdale |
| | Tony Hammoud | Station Manager | Blacktown |
| | Michael Maskell | C&A Officer | Sydney |
| NORTHERN DISTRICT GROUP (1) | Paul Casey | Station Manager | Maitland |
| WESTERN DISTRICT GROUP (1) | 1 Vacant Position | | |
| SOUTHERN DISTRICT GROUP (1) | Graham Besley | C&A Officer | Wagga Wagga |

BRANCH OFFICE HOLDERS
FLEET MANUFACTURE, OVERHAUL, MAINTENANCE & SERVICE DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|---------------|--------------------------------|-------------------------------|
| Branch Divisional President | Sean Roser | Engineering Operator | Hornsby Maintenance Centre |
| Branch Divisional Vice-President | Paul Ciano | Engineering Operator | Flemington Maintenance Centre |
| Branch Divisional Secretary | Mick Bartlett | Presentation Service Attendant | Hornsby Maintenance Centre |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|-------------------|--------------------------------|----------------------------|
| METROPOLITAN DISTRICT (2) | Ismail Haywood | Presentation Service Attendant | Central |
| | Ida-Skye Morrison | Presentation Service Attendant | Hornsby Maintenance Centre |
| NORTHERN, WESTERN & SOUTHERN DISTRICTS (1) | Clarence Edwards | Engineering Operator | Bathurst Workshops |

SUB-DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|-------------------|--------------------------------|-------------------------------|
| METROPOLITAN DISTRICT GROUP (4) (including 1 Bus Engineering Representative) | Alan Jennings | Roving Cleaner | Central |
| | Bruce Windmill | Engineering Repair Operator | Ryde |
| | Michael Malek | Anderson Machine Operator | Flemington Maintenance Centre |
| | Colin Williams | Presentation Service Attendant | Flemington Maintenance Centre |
| NORTHERN DISTRICT GROUP (1) | 1 Vacant Position | | |
| WESTERN DISTRICT GROUP (1) | Clarence Edwards | Engineering Operator | Bathurst Workshops |
| SOUTHERN DISTRICT GROUP (1) | Vacant Position | | |

BRANCH OFFICE HOLDERS
RAIL OPERATIONS DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|--------------------|----------------------------|--------------------|
| Branch Divisional President | Derek Morgan Jones | Area Controller | Sydney Signal Box |
| Branch Divisional Vice-President | Michael Sullivan | Guard | Mortdale |
| Branch Divisional Secretary | Joanne McCallum | Customer Service Attendant | Tuggerah |

BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION (WOMEN)

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------|-----------------|--------------------|
| Carissa James | Area Controller | Sydney Signal Box |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------|------------------|------------------------------|---------------------|
| METROPOLITAN DISTRICT (5) | Natalie Rickard | Area Controller | Homebush Signal Box |
| | Hapi Arapata | Customer Service Attendant | West Ryde |
| | Geoff Quinn | Guard | Liverpool |
| | Michael Sullivan | Guard | Mortdale |
| | Gary Stewart | Guard | Waterfall |
| NORTHERN DISTRICT (1) | Dallas Kriss | Passenger Service Supervisor | Taree |
| WESTERN DISTRICT (1) | Alf Johnston | Passenger Attendant | Dubbo |
| SOUTHERN DISTRICT (1) | Brian Frankham | Customer Attendant | Wagga Wagga |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---|-----------------|----------------------------|---------------------|
| TRAIN GUARDS SUB DIVISION (2) | Paul Collimore | Guard | Gosford |
| | Steven Brown | Guard | Central |
| | Ross Symonds | Customer Service Attendant | Wynyard |
| STATION WAGES STAFF SUB DIVISION (excluding CSA Guards) (3) | Leanne Milne | Customer Service Attendant | Quakers Hill |
| | John Steck | Customer Service Attendant | Parramatta |
| SIGNALLERS SUB DIVISION (2) | Jodie Howarth | Area Controller | Hornsby Signal Box |
| ON BOARD TRAIN PASSENGER SUB DIVISION (2) | Natalie Rickard | Area Controller | Homebush Signal Box |
| | Gordon Biggs | Senior Passenger Attendant | Central |
| TRANSIT OFFICERS WAGES SUB-DIVISION (2) | Vacant Position | | |
| | Danny Perry | Transit Officer | Central |
| TERMINAL OPERATORS SUB-DIVISION (2) | Jason Schultz | Transit Officer | Parramatta |
| | Jimmy Karnib | Terminal Planner | Chullora |
| | Vacant Position | | |

BRANCH DELEGATES TO NATIONAL EXECUTIVE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------|-------------------|----------------|---|
| Assistant Branch Secretary | Michael Schmitzer | Union Official | 33 Gordon Avenue Hamilton NSW 2303 |
| Branch President | Alex Claassens | Union Official | Level 4, 321 Pitt Street Sydney NSW 2000 |

DELEGATES FROM BRANCH TO NATIONAL COUNCIL**LOCOMOTIVE DIVISION**

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|------------------|------------|--------------------|
| Bryan Williamson | Driver | Lithgow |
| Brett Rosser | Driver | Penrith |
| Mark Supple | Driver | Morandoo |
| David Mathie | Driver | PN Chullora |

TRAIN OPERATIONS DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--------------------|------------------------------|---------------------|
| Michael Cartwright | Guard | Sydney |
| Geoff Quinn | Guard | Liverpool |
| Michael Farhat | Customer Service Team Leader | Central |
| Ghaleb Nagi | Area Controller | Sydenham Signal Box |
| Derek Morgan Jones | Area Controller | Sydney Signal Box |

PREPARED TO GO DIVISION (ASST) SERVICE DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------|--------------------------------|-----------------------------|
| Diane Seymour | Presentation Service Attendant | Mortdale Maintenance Centre |

INTEGRATED SERVICES DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------|-------------------|---|
| Ray West | Signal Sectionman | Gosford |
| Doug Klineberg | Union Official | Level 4, 321 Pitt Street Sydney NSW 2000 |

SALES ACCOUNTS AND SERVICE CENTRES DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|-------------------|---------------------------|---------------------------|
| Terry Missingham | Sales Account Team Leader | Hurstville |
| Christopher Doyle | Sales Account Team Leader | Central |
| Sam Nawar | Station Manager | Bankstown |

TRAINING REGISTRATION DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|------------------|---------------------|---------------------------|
| Mark Delohery | Senior Bus Operator | Burwood |
| Kelly Budden | Bus Operator | Hamilton |
| Daniel Jagers | Shed Driver | Ryde |
| Narelle Sinclair | Senior Bus Operator | Brookvale |

2008 ELECTIONS

The RTBU was due to have elections in November 2008, however, those elections have been rescheduled for April 2009 by the Australian Electoral Commission (AEC).

Number Of Members

As at the 31 December 2009 there were 15,606 financial members of the Rail, Tram & Bus Union (RTBU) NSW Branch across the following Divisions of the union:

| DIVISION | FINANCIAL MEMBERS | UNFINANCIAL MEMBERS | TOTAL |
|----------------------|-------------------|---------------------|--------|
| Rail Operations | 3993 | 84 | 4077 |
| Infrastructure | 1406 | 48 | 1454 |
| Workshops | 1004 | 23 | 1027 |
| Admin / Professional | 2149 | 67 | 2216 |
| Locomotive | 3159 | 70 | 3229 |
| Bus and Tram | 3895 | 209 | 4104 |
| TOTAL | 15,606 | 501 | 16,107 |
| | | | |

Numbers Of Employees

As at the 31 December 2009 the Rail, Tram & Bus Union (RTBU) NSW Branch employed 29 full-time employees and 4 Part-time employee.

| Position | Number of Employees | |
|------------------------|---------------------|-----------|
| | Full-time | Part-time |
| Elected Paid Officials | 8 | 0 |
| Offices of the Union | 7 | 1 |
| Office Manager | 1 | 0 |
| Clerical Support | 9 | 3 |
| | | |

Signed 14th May, 2010 by Alexander Claassens in accordance with a resolution of the Committee of Management.




COMMITTEE OF MANAGEMENT STATEMENT
AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION
NEW SOUTH WALES BRANCH

On 14/05/2010 the Committee of Management of the Australian Rail Tram & Bus Industry Union, New South Wales Branch passed the following resolution in relation to the general purpose financial report (GPFR) of the reporting unit for the financial year ended 31st December, 2009. :

- (a) the financial statements and notes comply with the Australian Accounting Standards;
- (b) the financial statements and notes comply with the reporting guidelines of the Industrial Registrar;
- (c) the financial statements and notes give a fair view of the financial performance, financial position and cash flows of the reporting unit for the financial year to which they relate;
- (d) there are reasonable grounds to believe that the reporting unit will be able to pay its debts as and when they become due and payable; and
- (e) during the financial year to which the GPFR relates and since the end of that year:
 - (i) meetings of the committee of management were held in accordance with the rules of the organisation including the rules of the branch concerned; and
 - (ii) the financial affairs of the reporting unit have been managed in accordance with the rules of the organisation including the rules of the branch concerned; and
 - (iii) the financial records of the reporting unit have been kept and maintained in accordance with the RAO Schedule and the RAO Regulations; and
 - (iv) the financial records of the reporting unit have been kept, as far as practicable, in a consistent manner to each of the other reporting units of the organisation; and
 - (v) no further information has been sought in any request of a member of the reporting unit or a Registrar duly made under section 272 of the RAO Schedule; and
 - (vi) no order for inspection of financial records have been made by the Commission under section 273 of the RAO Schedule.
- (f) The Branch has not derived any revenue during the year as a result of any recovery of wages activity it may have undertaken on behalf of members.

Signed in accordance with such resolution as is passed by the Committee of Management



Signed at Sydney 14th May, 2010.

**INDEPENDENT AUDIT OPINION
TO THE MEMBERS OF THE AUSTRALIAN RAIL, TRAM & BUS
INDUSTRY UNION NEW SOUTH WALES BRANCH**

Report on the Financial Report

We have audited the accompanying financial report of the Australian Rail, Tram and Bus Industry Union, New South Wales Branch, which comprises the balance sheet as at 31 December 2009, income statements, statement of changes in accumulated funds and statement of cash flows for the year ended on that date, a statement of significant accounting policies and the Committee of Management's Statement.

Committee of Management Responsibility

The Committee of Management are responsible for the preparation and true and fair presentation of the financial report in accordance with the Fair Work (Registered Organisations) Act 2009 and the Industrial Relations Act 1996. This responsibility includes establishing and maintaining internal control relevant to the preparation and fair presentation of the financial report that is free from material misstatement, whether due to fraud or error; selecting and applying appropriate accounting policies; and making accounting estimates that are reasonable in the circumstances

Audit Approach

Our responsibility is to express an opinion on the financial report based on our audit. We conduct our audit in accordance with Australian Auditing Standards. These auditing Standards require that we comply with relevant ethical requirements relating to audit engagements and plan and perform the audit to obtain reasonable assurance whether the financial report is free from misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial report. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial report, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the directors, as well as evaluating the overall presentation of the financial report.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion

Independence

In conducting our audit, we followed applicable independence requirements of Australian professional ethical pronouncements.

Recovery of Wage Activity

The accompanying notes to the financial statements includes comment that the Union has not derived any revenue during the year as a result of any recovery of wage activity it may have undertaken on behalf of members. Our Audit Opinion has been formed on the basis that no recovery of wage activity was undertaken.

**INDEPENDENT AUDIT OPINION
TO THE MEMBERS OF THE AUSTRALIAN RAIL, TRAM & BUS
INDUSTRY UNION NEW SOUTH WALES BRANCH**

Audit Opinion

For the purposes of the Fair Work (Registered Organisations) Act 2009

In our opinion, the general purpose financial report of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch presents fairly in accordance with applicable Accounting Standards and other mandatory professional reporting requirements in Australia as well as the requirements imposed by the Reporting Guidelines or Part 3 of Chapter 8 of Schedule 1B of the Fair Work (Registered Organisations) Act 2009.

For the purposes of the Industrial Relations Act 1996

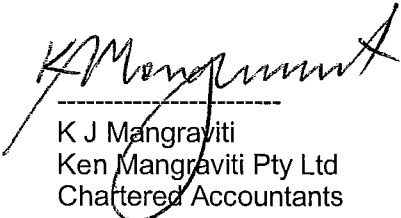
We report that we have inspected and audited the accounting records of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch in relation to the year ended 31 December 2009 and report that in our opinion, satisfactory accounting records were kept by the organisation in relation to the period, including:

- (a) (i) records of the sources and nature of the income of the organisation (including income from members); and
- (ii) records of the nature and purpose of the expenditure of the organisation;

in our opinion the accounts and other statements prepared under section 510 of the Industrial Relations Act 1991 in relation to the period were properly drawn up, so as to give a true and fair view of :

- (b) (i) the financial affairs of the organisation as at 31 December 2009; and
- (ii) the income and expenditure and surplus of the organisation for the year ended 31 December 2009;
- (c) (i) all information, being information which was reasonably wanted for the purpose of this audit, which was required and sought by us or by any person authorised by me for the purpose of the audit, was provided.

We also understand that to the extent that there was any deficiency, failure or shortcoming in relation to the matters referred to above, we must report particulars in accord with Section 514 (5) of the Industrial Relations Act.



K J Mangraviti
Ken Mangraviti Pty Ltd
Chartered Accountants

Date: 14 May, 2010

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2009**

GENERAL FUND

| | 2009 | 2008 |
|--|---------------|---------------|
| <u>INCOME</u> | | |
| Dividends Received | 22 | 51 |
| Interest Received | 291,191 | 432,043 |
| Unrealised Gain-Investment Fund | — | 5,349 |
| Rent Received-Liverpool St | 58,802 | 52,570 |
| Rent Received-Redfern | 11,593 | 6,900 |
| Members Contributions | 6,120,486 | 5,666,633 |
| Badges & Shirts Campaign | — | 36 |
| Book Sales | 4,305 | 3,371 |
| Account Services Income | 30,545 | 30,545 |
| Other Income | 5,738 | 10,746 |
| Rail & Road Advert | 18,000 | — |
| Profit on Sale of Investments | (4,678) | — |
| Loss On Sale Of Motor Vehicle | (10,572) | — |
| | <hr/> | <hr/> |
| | 6,525,432 | 6,208,244 |
| TOTAL INCOME | 6,525,432 | 6,208,244 |
| <u>LESS EXPENSES INCURRED</u> | | |
| Advertising & Promotion | 409 | — |
| Air Fares & Travelling Expenses | 63,694 | 57,893 |
| Audit Fees | 27,970 | 42,439 |
| AIRC Expenditure | — | 4,694 |
| Annual Leave Expense | 4,931 | (48,329) |
| Body Corporate Fees | 102,533 | 77,139 |
| Bank Charges & F.I.D. etc | 19,929 | 15,345 |
| Branch Council Expenses | 12,251 | 10,368 |
| Commissions | 2,360 | — |
| Conference- Loco Div | — | — |
| Consultants Fees | 75,342 | 52,443 |
| Depreciation | 308,723 | 318,531 |
| Donations, Affiliations Fees & Subscriptions-Industrial Council, Executive Expenses & Lost Time | 166,183 | 103,134 |
| | 386,214 | 362,129 |
| Electricity | 33,200 | 34,025 |
| Organizing Expenses | 83,247 | 72,091 |
| Fringe Benefits Tax | 16,336 | 29,473 |
| General Expenses | 64,688 | 56,041 |
| Hire - Plant and Equipment | 8,442 | 1,283 |
| Honorariums | 25,300 | — |
| Insurances - General | 49,813 | 27,317 |
| Legal Expenses | 102,544 | 139,451 |
| Motor Vehicle Expenses | 82,881 | 84,961 |
| Members Training | 6,562 | 65,341 |
| Members Mortality Fund | 60,000 | 48,810 |
| National Council Expenses | 3,080 | — |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2009**

GENERAL FUND

| | 2009 | 2008 |
|--------------------------------------|-----------|-----------|
| Payroll Tax | 128,545 | 127,554 |
| Parking Levy | 20,000 | 9,500 |
| Postage | 32,497 | 94,161 |
| Printing and Stationery | — | 169,131 |
| Computer Upgrade | 177,511 | 654 |
| Provision Long Service Leave | 25,172 | (60,374) |
| Provision Retirement Benefits | — | 277,207 |
| Publications & Subscriptions | 6,002 | 5,308 |
| Press & Media Consultancy | 88,125 | 60,144 |
| Remuneration-Office Holders | 503,305 | 488,772 |
| Remuneration-Employees/Delegates | 1,454,165 | 1,549,115 |
| Rail & Road Publishing & Other Costs | 147,991 | 101,591 |
| Rates and Taxes | 31,603 | 31,404 |
| Repairs and Maintenance | 102,185 | 101,847 |
| Staff Fares & Travelling | 10,328 | 7,213 |
| Staff Training | 16,012 | 5,085 |
| Staff Uniforms | 3,567 | 7,109 |
| Superannuation | 184,788 | 449,173 |
| Sustentation National Office | 782,920 | 750,564 |
| Telephone | 102,132 | 99,506 |
| Website Maintenance | — | 620 |
| Badges & Other Items | 45,583 | 58,716 |
| Political Action Campaign | 62,852 | 26,125 |
| Wollongong Property Expense | 87 | 2,860 |
| Workcover Grant Direct Costs | — | 9,479 |
| | <hr/> | <hr/> |
| TOTAL EXPENSES INCURRED | 5,632,002 | 5,927,043 |
| | <hr/> | <hr/> |
| <u>NET INCOME</u> | 893,430 | 281,201 |
| | <hr/> | <hr/> |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2009**

SUSSEX INLET PARK

| | 2009 | 2008 |
|-----------------------------------|---------|---------|
| <u>INCOME</u> | | |
| Interest Received | 17 | — |
| Rent Received - Caravans | 84,087 | 83,079 |
| Rent Received - Units | 126,926 | 161,450 |
| Other Income | 1,127 | — |
| | <hr/> | <hr/> |
| | 212,157 | 244,529 |
| | | |
| TOTAL INCOME | 212,157 | 244,529 |
| <u>LESS EXPENSES INCURRED</u> | | |
| Consultant Fees | 6,295 | — |
| Depreciation | 30,144 | 31,794 |
| Electricity | 19,700 | 16,784 |
| General Expenses | 14,490 | 8,879 |
| Insurances - General | 9,300 | 9,571 |
| Motor Vehicle Expenses | 839 | 2,567 |
| Printing & Stationary | 2,190 | — |
| Rates and Taxes | 13,977 | 14,356 |
| Repairs and Maintenance | 16,413 | 58,004 |
| Telephone | 1,464 | 2,507 |
| Wages | — | 44,412 |
| Sussex Inlet Contract | 104,925 | 57,281 |
| | <hr/> | <hr/> |
| TOTAL EXPENSES INCURRED | 219,737 | 246,155 |
| | | |
| <u>NET LOSS</u> | <hr/> | <hr/> |
| | 7,580 | 1,626 |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2009

BUS & TRAM PICNIC FUND

| | 2009 | 2008 |
|-------------------------------|---------|---------|
| <u>INCOME</u> | | |
| Interest Received | 7 | 939 |
| Members Contributions | 179,073 | 185,764 |
| | <hr/> | <hr/> |
| | 179,080 | 186,703 |
| TOTAL INCOME | 179,080 | 186,703 |
| <u>LESS EXPENSES INCURRED</u> | | |
| Legal Expenses | — | 400 |
| Picnic Expenses | 81,818 | 122,910 |
| | <hr/> | <hr/> |
| TOTAL EXPENSES INCURRED | 81,818 | 123,310 |
| <u>NET INCOME</u> | 97,262 | 63,393 |
| | <hr/> | <hr/> |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2009

BUS & TRAM TRAFFIC FUND

| | 2009 | 2008 |
|-------------------------------|--------------------|--------------------|
| <u>INCOME</u> | | |
| Interest Received | (4) | 1,006 |
| Members Contributions | 168,970 | 174,278 |
| | <hr/> | <hr/> |
| | 168,966 | 175,284 |
| | | |
| TOTAL INCOME | 168,966 | 175,284 |
| | | |
| <u>LESS EXPENSES INCURRED</u> | | |
| Commission Paid | 2,387 | 4,458 |
| Fines | 69,650 | 73,551 |
| Legal Expenses | 10,497 | — |
| | <hr/> | <hr/> |
| TOTAL EXPENSES INCURRED | 82,534 | 78,009 |
| | | |
| <u>NET INCOME</u> | <hr/> 86,432 <hr/> | <hr/> 97,275 <hr/> |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL, TRAM AND BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

STATEMENT OF CHANGES IN EQUITY
As at 31st December 2009

| | Retained Profits | Asset Reval | Total |
|---------------------------|---------------------|----------------|------------|
| | \$ | \$ | \$ |
| Balance 1/1/2008 | 11,763,442 | 2,137,621 | 13,901,063 |
| Surplus/movement for year | 440,243 | - | 440,243 |
| Balance 31/12/2008 | 12,203,685 | 2,137,621 | 14,341,306 |
| Surplus/movement for year | 1,069,544 | 1,930,984 | 3,000,528 |
| Balance 31/12/2009 | 13,273,229 | 4,068,605 | 17,341,834 |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT OF FINANCIAL POSITION
As at 31st December 2009**

| | Note | 2009 | 2008 |
|----------------------------------|------|-------------------|-------------------|
| <u>CURRENT ASSETS</u> | | | |
| Cash and Cash Equivalents | 3 | 7,581,373 | 7,762,225 |
| Receivables | 4 | 192,301 | 122,732 |
| Other | 5 | 92,289 | 44,020 |
| <u>TOTAL CURRENT ASSETS</u> | | <u>7,865,962</u> | <u>7,928,977</u> |
| <u>NON-CURRENT ASSETS</u> | | | |
| Financial Assets | 6 | 897,916 | 17,445 |
| Property | 7 | 6,403,333 | 5,639,880 |
| Investment Property | 8 | 1,450,000 | 800,000 |
| Plant & Equipment | 9 | 294,105 | 242,125 |
| Motor Vehicles | 10 | 215,492 | 273,465 |
| Sussex Inlet Property & Equip | 11 | 1,064,311 | 622,279 |
| <u>TOTAL NON-CURRENT ASSETS</u> | | <u>10,325,157</u> | <u>7,595,194</u> |
| <u>TOTAL ASSETS</u> | | <u>18,191,119</u> | <u>15,524,171</u> |
| <u>CURRENT LIABILITIES</u> | | | |
| Accounts Payable | 12 | 282,177 | 317,318 |
| Provisions | 13 | 567,111 | 865,547 |
| <u>TOTAL CURRENT LIABILITIES</u> | | <u>849,288</u> | <u>1,182,865</u> |
| <u>TOTAL LIABILITIES</u> | | <u>849,288</u> | <u>1,182,865</u> |
| <u>NET ASSETS</u> | | <u>17,341,834</u> | <u>14,341,306</u> |
| <u>ACCUMULATED FUNDS</u> | | | |
| Reserves | 14 | 4,068,605 | 2,137,621 |
| Accumulated Surplus | 15 | 13,273,229 | 12,203,685 |
| <u>ACCUMULATED FUNDS</u> | | <u>17,341,834</u> | <u>14,341,306</u> |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

STATEMENT OF CASH FLOWS
For the Year Ended 31st December 2009

| | This Year Inflows (Outflows) | Last Year Inflows (Outflows) |
|--|------------------------------------|------------------------------------|
| CASH FLOW FROM OPERATING ACTIVITIES | | |
| Receipts from members | 6,397,973 | 6,133,377 |
| Payments to suppliers and employees | (6,059,072) | (5,916,691) |
| Dividends received | 22 | 50 |
| Interest received | 291,212 | 433,987 |
| Rent received | 281,406 | 303,999 |
| Receipts - other | 59,716 | 44,662 |
| | 971,257 | 999,384 |
| CASH FLOW FROM INVESTING ACTIVITIES | | |
| Payment for assets | (271,628) | (265,993) |
| | (271,628) | (265,933) |
| Net cash provided by (used in) | | |
| operating activities (Note 2) | | |
| Net increase (decrease) in cash held | | |
| Cash at beginning of year | 699,629 | 733,391 |
| | 7,778,434 | 7,045,043 |
| Cash at end of year (Note 1) | 8,478,063 | 7,778,434 |
| | 8,478,063 | 7,778,434 |

NOTES TO THE STATEMENT OF CASH FLOWS

Note 1: Reconciliation of Cash

Cash at the end of the financial year as shown in the Statement of Cash Flows is reconciled to the related items in the statement of financial position as follows:

| | | |
|-----------------------------|-----------|-----------|
| Cash at Bank and on Deposit | 8,478,063 | 7,778,434 |
| | 8,478,063 | 7,778,434 |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

STATEMENT OF CASH FLOWS
For the Year Ended 31st December 2009

| | This Year Inflows (Outflows) | Last Year Inflows (Outflows) |
|--|------------------------------------|------------------------------------|
| Note 2: Reconciliation of Cash Flow from Operations with profit from ordinary activities after income tax | | |
| Profit from ordinary activities after income tax | 1,069,544 | 440,243 |
| Depreciation | 338,867 | 350,326 |
| Sale assets | 15,250 | — |
| Change in assets and liabilities | | |
| (Increase)/Decrease in receivables | (70,536) | 172,636 |
| (Increase)/Decrease in prepaid expenses | (48,269) | 2,048 |
| Increase/(Decrease) in creditors | (35,163) | (134,373) |
| Increase/(Decrease) in provision for employee benefits | (298,436) | 168,504 |
| Cash flows from operations | 971,257 | 999,384 |

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

2009

2008

NOTE 1. STATEMENT OF SIGNIFICANT ACCOUNTING POLICIES

The financial report is a general purpose financial report that has been prepared in accordance with applicable Accounting Standards, including Australian Equivalents to International Financial Reporting Standards (AIFRS), other mandatory professional reporting requirements, the Fair Work (Registered Organisations) Act 2009 and the Industrial Relations Act, 1996.

The financial report is prepared on an accruals basis and is based on historic costs and does not take into account changing money values or, except where specifically stated, current valuations of non-current assets.

The following specific accounting policies, which are consistent with the previous period unless otherwise stated, have been adopted in the preparation of this report:

(a) Property, Plant & Equipment.

Each class of property, plant and equipment is carried at cost or at fair value less, where applicable any accumulated depreciation.

Plant and equipment

Plant and equipment are included at cost. The carrying amount is reviewed annually by the Committee of Management to ensure it is not in excess of recoverable amount.

Property for own use

Freehold land and buildings are measured on the fair value basis, being the amount for which an asset could be exchanged between knowledgeable willing parties in an arms length transaction. It is the policy of the entity to have periodic independent valuations, with annual appraisals being made by directors. The last independent valuations were carried out between 13 October 2009 and 19 November 2009.

Investment property

Property held to earn rental income is separately disclosed from property held for own use. This is a change in accounting policy from the previous year and has been retrospectively applied. Investment properties are measured on the fair value basis. As with property held for own use, it is the policy of the entity to have periodic independent valuations, with annual appraisals being made by directors. The last independent valuation of Investment properties was as at 28 September 2009. The change in fair value of investment properties are recorded in the income statement. No depreciation is provided where the fair value basis is used.

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

| | | |
|--|------|------|
| | 2009 | 2008 |
|--|------|------|

(b) Income Tax

No provision for income tax is necessary, as "Trade Unions" are exempt from income tax under Section 50 -15 of the Income Tax Assessment Act 1997.

(c) Employee Entitlements

Provision for employee entitlements in the form of Long Service Leave and Accrued Annual Leave has been made for the estimated accrued entitlement of all employees on the basis of their terms of employment. In the case of Long Service Leave, the accrual has been measured by reference to periods of service and current salary rates as it is considered that this results in an amount not materially different to that achieved by discounting estimated future cash flows.

(d) Defined Superannuation Schemes

In respect to defined benefit plans, the cost of providing the benefits is determined using the projected unit cost method. Actuarial calculations are conducted by State Super. The amount recognised in the Statement of Financial Position represents the present value of the defined benefits obligations adjusted for any unrecognised actuarial gains and losses and unrecognised past service costs less the fair value of the plan's assets. The unions has defined benefit obligations for members participating in the State Authorities Superannuation Scheme and the State Authorities Non- Contributory Superannuation Scheme. All Schemes are closed to new members.

(e) Contributions

Only contributions received are brought to account. Contribution received subsequent to year end, but relating to prior to year end are brought to account as receivables.

Note 2: OPERATING SURPLUS

| | | |
|--|-----------|---------|
| Operating Profit | 1,069,544 | 440,243 |
| Operating Surplus for the year comprises _ | | |
| General Fund | 893,430 | 281,201 |
| Sussex Inlet Park | (7,580) | (1,626) |
| Bus & Tram Picnic Fund | 97,262 | 63,393 |
| Bus & Tram Traffic Fund | 86,432 | 97,275 |
| | 1,069,544 | 440,243 |

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

| | 2009 | 2008 |
|---|------------------|------------------|
| Note 3: CASH AND CASH EQUIVALENTS | | |
| Cash on Hand | 5,393 | 3,850 |
| Cash at bank and on deposit | 7,575,980 | 7,758,375 |
| | <u>7,581,373</u> | <u>7,762,225</u> |
| Note 4: RECEIVABLES | | |
| Trade Debtors | 177,238 | 106,702 |
| Receivables | 15,064 | 11,235 |
| ASIF | — | 4,795 |
| | <u>192,301</u> | <u>122,732</u> |
| Note 5: OTHER CURRENT ASSETS | | |
| Prepayments | 92,289 | 44,020 |
| | <u>92,289</u> | <u>44,020</u> |
| Note 6: FINANCIAL ASSETS | | |
| Listed Shares | 1,216 | 1,216 |
| Shares in Controlled Entity | — | 10 |
| Cash at bank and on deposit | 896,690 | 16,209 |
| Share Encompass CU-S30 Emoney ac | 10 | 10 |
| | <u>897,916</u> | <u>17,445</u> |
| Note 7: PROPERTY(at independent valuation) | | |
| Sydney-Pitt St | 3,275,000 | 3,400,000 |
| Sydney-Pitt St Improvement (2008 at cost) | - | 47,313 |
| Redfern | 2,543,333 | 2,351,344 |
| Wollongong | 285,000 | 338,672 |
| Hamilton | 300,000 | 240,000 |
| Deduct Prov'n for Depreciation | — | (737,449) |
| | <u>6,403,333</u> | <u>5,639,880</u> |
| Note 8: INVESTMENT PROPERTY | | |
| Strata Property-185 L'pl St Syd (at independent valuation) | 1,450,000 | 800,000 |
| | <u>1,450,000</u> | <u>800,000</u> |

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

| | 2009 | 2008 | |
|---|-----------------------|-----------------------|------------------------------|
| Note 9: PLANT & EQUIPMENT | | | |
| Pitt St-Office Equip etc | 623,381 | 599,124 | |
| Pitt St Computer Hardware | 283,354 | 344,561 | |
| Pitt St - Computer Software | 42,413 | 42,413 | |
| Loco Division-Carpet & Air | — | 12,310 | |
| Bus & Tram Division-Office equipment | 282,151 | 249,689 | |
| Bus & Tram Division-Computer | 30,523 | 112,555 | |
| Newcastle-Air Conditioner | 1,540 | 1,540 | |
| Deduct Prov'n for Depreciation | (969,257) | (1,120,067) | |
| | <u>294,105</u> | <u>242,125</u> | |
| Note 10: MOTOR VEHICLES | | | |
| Motor Vehicles | 367,548 | 394,000 | |
| Deduct Prov'n for Depreciation | (152,056) | (120,535) | |
| | <u>215,492</u> | <u>273,465</u> | |
| Note 11: SUSSEX INLET PROPERTY & EQUIP | | | |
| Leasehold Property(at valuation) | 937,500 | 825,490 | |
| Furniture | 123,665 | 117,459 | |
| Plant & Equipment | 50,000 | 41,819 | |
| Motor Vehicle | 21,168 | 21,168 | |
| Deduct Prov'n for Depreciation | (68,022) | (383,657) | |
| | <u>1,064,311</u> | <u>622,279</u> | |
| Note 12: ACCOUNTS PAYABLE | | | |
| Accounts Payable | 203,444 | 235,134 | |
| Other payable | 78,733 | 82,184 | |
| | <u>282,177</u> | <u>317,318</u> | |
| Note 13: PROVISIONS | | | |
| Provision for Annual Leave | 247,141 | 242,210 | |
| Provision for Long Service Leave | 265,657 | 240,485 | |
| Provision Retirement Benefits | 54,313 | 382,852 | |
| | <u>567,111</u> | <u>865,547</u> | |
| Movements during the year - | | | |
| | Annual leave \$ | Long Service \$ | Retirement benefits \$ |
| Balance beginning year | 242,210 | 240,485 | 382,852 |
| Increase(Decrease) in provision | 4,931 | 25,172 | (328,539) |
| | <u>247,141</u> | <u>265,657</u> | <u>54,313</u> |

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

| | 2009 | 2008 |
|--|-------------------|-------------------|
| Note 14: RESERVES | | |
| Asset Revaluation Reserve | <u>4,068,605</u> | <u>2,137,621</u> |
| Note 15: ACCUMULATED FUNDS | | |
| Unappropriated Profit B-Fwd | <u>13,273,229</u> | <u>12,203,685</u> |
| Note 16: SHARES IN CONTROLLED ENTITIES | | |
| (a) Controlled Entity Name - 2009 Nil (2008 \$10) | | |
| Australian Industrial & Skills Futures P/L | | |
| Incorporated in Australia | | |
| Percentage Held - 100% | | |
| (b) A consolidated financial report for the economic entity has not been prepared as the controlled entity's activity and net assets are not material to the economic entity. | | |
| Note 17: RETIREMENT BENEFITS OBLIGATIONS | | |
| The economic entity participated in an employer sponsored defined benefits plan during the year. The benefit provided by the plan is based on the length of service of the member at retirement. Employees contribute various percentages of their gross income and the union may contribute up to 4.5 times the employees final average salary at retirement depending on the length of membership and the employees own contributions. | | |
| Reconciliation of the present value of the defined benefit obligation - | | |
| | 2009 | 2008 |
| | \$ | \$ |
| Present value at the beginning of year | 1,397,427 | 1,617,871 |
| Current service cost | 15,503 | 16,279 |
| Interest cost | 55,273 | 100,730 |
| Contributions by funds participants | 7,048 | 15,017 |
| Actuarial losses | 346,987 | 67,570 |
| Benefits paid | <u>357,772</u> | <u>(420,040)</u> |
| Present value at the end of the year | <u>2,180,011</u> | <u>1,397,427</u> |
| Fair value at the beginning of the year | 1,014,575 | 1,512,224 |
| Expected return on fund assets | 81,186 | 114,712 |
| Actuarial gain | 344,647 | (446,992) |
| Employer contributions | 320,470 | 239,653 |
| Contribution by funds participant | 7,048 | 15,017 |
| Benefits (paid) transferred in | <u>357,772</u> | <u>(420,040)</u> |
| Fair value at the end of the year | <u>2,125,698</u> | <u>1,014,575</u> |

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

| | 2009 | 2008 |
|--|-------------|-------------|
| Reconciliation of assets and liabilities recognised in the Statement of Financial Position - | | |
| Present value of funded defined benefit obligations | 2,180,011 | 1,397,427 |
| Fair value of fund assets at end of year | (2,125,698) | (1,014,575) |
| | <hr/> | <hr/> |
| Net Liability recognised in the Statement of Financial Position | 54,313 | 382,852 |
| | <hr/> | <hr/> |

The percentage of the Funds assets invested in each asset class at 31 December

| | 2009 | 2008 |
|---------------------------|------|------|
| | % | % |
| Australian equities | 33.1 | 29 |
| Overseas equities | 26.8 | 26.5 |
| Australain fixed interest | 5.8 | 8.3 |
| Overseas fixed interest | 4.1 | 6.9 |
| Property | 9.2 | 10.8 |
| Cash | 7.8 | 4.2 |
| Other | 13.2 | 14.3 |

All fund assets are invested by STC at arm's length through independent fund managers.

Note 18: FINANCIAL INSTRUMENTS

Interest Rate Risk

The Branch's exposure to interest rate risk and the effective average interest rate for each class of financial assets and financial liabilities are set out below.

| | Floating interest rate | Fixed 1 year | Fixed Over 1-5 | Non Interest | Total |
|------------------|------------------------------|-----------------|-------------------|-----------------|-----------|
| | <hr/> | <hr/> | <hr/> | <hr/> | <hr/> |
| | \$ | \$ | \$ | \$ | \$ |
| 31 December 2009 | | | | | |
| Cash | 4,303,178 | 3,272,801 | 896,690 | 5,394 | 8,478,063 |
| Financial Assets | - | - | - | 1,226 | 1,226 |
| | <hr/> | <hr/> | <hr/> | <hr/> | <hr/> |
| | 4,303,178 | 3,272,801 | 896,690 | 6,620 | 8,479,289 |
| | <hr/> | <hr/> | <hr/> | <hr/> | <hr/> |
| 31 December 2008 | | | | | |
| Cash | 1,959,962 | 5,799,649 | 16,209 | - | 7,775,820 |
| Financial Assets | - | - | - | 3,850 | 3,850 |
| | <hr/> | <hr/> | <hr/> | <hr/> | <hr/> |
| | 1,959,962 | 5,799,649 | 16,209 | 3,850 | 7,779,670 |
| | <hr/> | <hr/> | <hr/> | <hr/> | <hr/> |

Weighted average interest rate 5.5%

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2009**

Credit Risk Exposure

Credit risk is the risk that counter parties to a financial asset will fail to discharge their obligations, causing the Branch to incur a financial loss.

The credit risk exposure of the Branch to financial assets which have been recognised in the Statement of Financial Position is generally the carrying amounts.

The carrying amounts of cash and investments approximate net fair values.

Note 19: PROVIDING INFORMATION

In accordance with the requirements of the Workplace Relations Act, 1996, the attention of members is drawn to the provisions of Section 272 of Schedule 1B which reads as follows-

- (1) A member of an organisation, or a Registrar, may apply to the reporting unit for specified prescribed information in relation to the reporting unit to be made available to the person making the application.
- (2) The application must be in writing and must specify the period within, and the manner in which, the information is to be made available. The period must not be less than 14 days after the application is given to the reporting unit.
- (3) A reporting unit must comply with an application made under (1) above.

In accordance with the requirements of the Industrial Relations Act, 1991 the attention of members is drawn to the provisions of Section 512 which reads as follows-

- (1) A member of an organisation, or the Industrial Registrar, may apply to the organisation for specified information prescribed by the regulations in relation to the organisation.
- (2) An organisation must, on the making of such an application, make the specified information available to the member or the Industrial Registrar in the manner, and within the time prescribed by the regulations.

Note 20: REGISTERED OFFICE

The registered office and principle place of business of the Branch is :

Level 4/321 Pitt St
Sydney
NSW 2000

CONCISE REPORT VIA WEB SITE

- > Home
- > **About us**
 - Operating Report and Financial Statements, 2009
 - Who's Who at the RTBU
 - RTBU Forms and Brochures for Members
 - What Is The RTBU And How Does It Operate?
 - Become An Active Member
 - The RTBU's Structure and Governing Bodies
 - Services Offered To Members
 - Brief History Of The RTBU
 - Merchandise
- > News
- > Campaigns
- > Publications
- > Rail & Road Journal
- > OH&S
- > RTBU Resources
- > Agreements

Home > About us > Operating Report and Financial Statements, 2009

 Printer friendly version

Operating Report and Financial Statements, 2009

Audited statements of the RTBU NSW Branch for period ending December 2009

Detailed audited statement of NSW Branch operations and finances, 2009.

Articles

NSW Branch Operating Report 2009

Holiday Bookings

Bus & Tram Division

Infrastructure

Operations


Professional/Salaried

Workshops



JOIN RTBU NSW

- Join
- How much will I pay?
- Pay fees
- Terms & conditions
- Change details
- RTBU Forms & brochures for members
- What does the RTBU offer members?



Subscribe to RTBU news

Get the latest news by email:

- » [Subscribe](#)

Get news via an RSS feed:

[RSS](#)



**OPERATING REPORT
FOR 2009**

**THE RAIL TRAM & BUS UNION
NSW BRANCH**

Prepared: 14th May 2010

This Operating Report covers the:

1. Activities of the Rail, Tram & Bus Union (RTBU) NSW Branch, and results of those activities for the calendar year 1 January 2009 to 31 December 2009;
2. Significant changes in the nature of the activities as required under Fair Work(Registered Organisations) Act 2009.
3. Details of any significant changes in financial affairs.
4. Details of the rights of members to resign
5. Details of officers and members who hold positions as trustees or company officers.
6. Members of the committee of management
7. 2008 Elections
8. Number of members and number of employees

THE PRINCIPAL ACTIVITIES & RESULTS OF THE ACTIVITIES OF THE BRANCH

The RTBU (NSW) is a member based, federally register trade union representing members whose usual place of work is located within the State of New South Wales (NSW) and the Australian Capital Territory (ACT) together with members employed rail, tram (light rail) and government owned and operated bus industries.

The reporting unit's activities are directed by the NSW Branch Council in accordance with its objectives and rules of registration.

The principal activities and the results of these activities fell into the following categories:

| ACTIVITIES | 2008 RESULTS |
|--|---|
| 1. Making agreements with employers. | <ul style="list-style-type: none"> • 23 Federally registered Agreements • 2 NSW State Agreement |
| 2. Implementation of Branch Council's agenda, including providing assistance and strategic advice to individual members in relation to workplace issues. | <ul style="list-style-type: none"> • Received application for admittance of 1433 new members (Bus and Rail Divisions). |
| 3. Representing members in tribunals and related institutions. | <ul style="list-style-type: none"> • 224 appeals before NSW Transport Appeals Board (TAB) (215 Rail Division & 9 Bus Division) • |
| 4. Electing representatives to peak trade union bodies in NSW. | <ul style="list-style-type: none"> • 5 delegates and alternate delegates (Rail Division) and 2 delegates (Bus Division) 2 delegates South Coast Labor Council nominated to Unions NSW. |
| 5. Attending meetings called by peak union bodies in NSW. | <ul style="list-style-type: none"> • Attend approximately 35 Unions NSW meetings. |
| 6. Making submissions on behalf of the branch to inquiries and proceedings affecting the industry. | <ul style="list-style-type: none"> • No submissions were made in 2009. |
| 7. Training delegates & representatives. | <ul style="list-style-type: none"> • 41 workplace delegates were trained. |

| | |
|---|---|
| 8. Produced and distributed circulars, bulletins, newsletters and materials as authorised by the union. | • Produced and distributed: <ul style="list-style-type: none"> - 4 copies of union journal <i>Road & Rail</i>; - 81 membership bulletins - 4 newsletters - 1 <i>Around the Tracks</i>). |
|---|---|

5.4. RULE CHANGES CERTIFIED IN 2009

There were no rule changes certified in 2009

5.5. SIGNIFICANT FINANCIAL AFFAIRS FINANCIAL 2009

Significant changes in the Rail, Tram & Bus Union (RTBU) NSW Branch financial affairs (See **ATTACHMENT A: FINANCIAL REPORT**) included:

- an increase in accumulated funds of \$3,000,528 for the operating year to 31 December 2009;
- an increase in membership contributions of \$441,854 for the operating year to 31 December 2009; and
- an increase in the following union fees:
 - \$7.75 per week to \$8.15 for all Rail Divisions;
 - \$10.00 per week to \$10.40 for Locomotive Division.
 - There were no changes in membership fees for the Bus & Tram Division.

Other Relevant Information

- The Rail, Tram & Bus Union (RTBU) NSW Branch also managed the New Generation Holiday Camp at Jervis Bay on behalf of the union and its members. (See **ATTACHMENT A: FINANCIAL REPORT**).

5.6. DETAILS OF THE RIGHTS OF MEMBERS TO RESIGN AFFAIRS

All members of the RTBU (NSW) have the right to resign from the union in accordance with **Rule 14, Resignation from Membership**, of the Rules of the Rail Tram and Bus Industry Union as set out below:

14 - RESIGNATION FROM MEMBERSHIP

- (1) *A member may resign from membership of the Union by written notice addressed and delivered to the Secretary of his/her Branch.*
- (2) *A notice of resignation from membership of the Union takes effect:-*
 - (a) *where the member ceases to be eligible to become a member of the Union:-*
 - (i) *on the day on which the notice is received by the Union; or*
 - (ii) *on the day specified in the notice, which is a day not earlier than the day when the member ceases to be eligible to become a member;**whichever is later; or*
 - (b) *In any other case:-*
 - (i) *at the end of two weeks; or*
 - (ii) *on the day specified in the notice;**whichever is later.*

- (3) Any subscriptions, fees, fines and levies owing but not paid by a former member of the Union in relation to a period before the member's resignation took effect, may be sued for and recovered in the name of the Union in a Court of competent jurisdiction, as a debt due to the Union.
- (4) A notice delivered to the Branch Secretary shall be deemed to have been received by the Union when it was delivered.
- (5) A notice of resignation that has been received by the Union is not invalid because it was not addressed and delivered to the Branch Secretary.
- (6) A resignation from membership of the Union is valid even if it is not effected in accordance with this Rule, if the member is informed in writing by or on behalf of the Union that the resignation has been accepted.



The following members hold position(s) as trustees or company directors:

| OFFICIAL | ORGANISATION |
|-----------------------|--|
| Nick Lewocki | <ul style="list-style-type: none"> • Employee Representative appointed to the Trustee Board of the State Trustee Corporation • a Director of the Trades Hall Association • a Director of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) • a Director of the Transport and Logistics Centre (TALC) |
| Alex Claassens | <ul style="list-style-type: none"> • a Director of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) |
| Doug Klineberg | <ul style="list-style-type: none"> • a Director of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) |
| Mark Morey | <ul style="list-style-type: none"> • Company Secretary of Australian Industrial and Skills Forum Pty Ltd (Company since deregistered) |

The members of Branch Council during the year ending 31 December 2009 were:

THE BRANCH OFFICES

Branch President

Branch Secretary

Assistant Branch Secretary

Senior Branch Vice-President

Junior Branch Vice-President

Branch Organisers

Branch Divisional Presidents

Branch Divisional Vice-Presidents

Branch Divisional Secretaries

Assistant Branch Divisional Secretary, Locomotive Division

Branch Divisional Delegates to Branch Council

Sub Divisional Representatives on Branch Divisional Committee

The Registered Office of the Australian Rail, Tram & Bus Industry Union is:

Level 4, 321 Pitt Street
SYDNEY NSW 2000

BRANCH OFFICE HOLDERS

| OFFICE | NAME | WORK ADDRESS/DEPOT |
|------------------------------|-------------------|---|
| Branch President | Alex Claassens | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Branch Secretary | Nick Lewocki | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Assistant Branch Secretary | Michael Schmitzer | 33 Gordon Avenue HAMILTON NSW 2303 |
| Senior Branch Vice-President | Phillip Kessey | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Junior Branch Vice-President | Raul Baonza | 83-89 Renwick Street REDFERN NSW 2016 |

BRANCH OFFICE HOLDERS
LOCOMOTIVE DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---|----------------|----------------|---|
| Branch Divisional President | Brian Glover | Driver | Hunter Valley |
| Branch Divisional Senior Vice-President | Brett Rosser | Driver | Penrith |
| Branch Divisional Junior Vice-President | Peter Bull | Driver | Gosford |
| Branch Divisional Secretary | Alex Claassens | Union Official | Level 4, 321 Pitt Street SYDNEY NSW 2000 |
| Assistant Branch Divisional Secretary | Robert Newham | Driver | Campbelltown |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------|---------------|------------|-----------------------|
| Metropolitan District (3) | Paul Foster | Driver | Flemington |
| | Shan Rathinam | Driver | Central |
| | Ian Wyllie | Driver | PN Port Kembla |
| Northern District (1) | Russell Hendy | Driver | Brisbane |
| Western District (1) | Peter Anthony | Driver | PN Broken Hill |
| Southern District (1) | Mark Hall | Driver | PN Southern Highlands |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|----------------|------------|--------------------|
| RAILCORP ETR GROUP REGION 1 (1) | Andrew Holt | Driver | Blacktown |
| RAILCORP ETR GROUP REGION 2 (1) | Jeff King | Driver | Flemington |
| RAILCORP ETR GROUP REGION 3 (2) | Mark McClymont | Driver | Cronulla |
| INTERCITY GROUP (1) COUNTRYLINK GROUP (1) | Mark Hagan | Driver | Central |
| | John Aquilina | Driver | Wollongong |
| PACIFIC NATIONAL GROUP METROPOLITAN REGION (1) | Craig Stevens | Driver | Sydney |
| | Arthur Pollard | Driver | PN Chullora |
| PACIFIC NATIONAL GROUP NORTH WEST REGION (2) | Peter Munday | Driver | Taree |
| PACIFIC NATIONAL | Nicholas Punch | Driver | Werris Creek |
| | Bruce Wooten | Driver | June |

| | | | |
|---|-----------------|--------|-----------|
| GROUP SOUTH WEST REGION (1) | | | |
| INTERAIL AUSTRALIA PTY LTD GROUP (1) | Alf Hayne | Driver | Grafton |
| FREIGHT AUSTRALIA GROUP (1) | Kevin Pryor | Driver | Clyde |
| SOUTHERN SILVERTON GROUP (1) | Michael Ferris | Driver | Narromine |
| INDEPENDENT RAILWAYS OF AUSTRALIA GROUP (1) | Ian Hogg | Driver | Sydney |
| EL ZORRO RAIL GROUP (1) | Greg Hayes | Driver | Sydney |
| SKILLED GROUP (1) | Vacant Position | | |
| PERISHER BLUE GROUP (1) | Vacant Position | | |
| MANILDRA GROUP (1) | Vacant Position | | |
| PATRICK RAIL GROUP (1) | Vacant Position | | |
| MOMENTUM GROUP (1) | Vacant Position | | |
| PATRICK RAIL GROUP (1) | Vacant Position | | |
| ARG GROUP (1) | Vacant Position | | |
| SOUTHERN SHORTHHAUL GROUP (1) | Vacant Position | | |

BRANCH OFFICE HOLDERS
TRAM & BUS DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|---------------|----------------|--|
| Branch Divisional President | Darryl Malone | Union Official | 83-89 Renwick Street REDFERN NSW 2016 |
| Branch Divisional Vice-President | Kelly Budden | Bus Operator | Hamilton |
| Branch Divisional Secretary | Raul Baonza | Union Official | 83-89 Renwick Street REDFERN NSW 2016 |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|------------------|---------------------|--------------------|
| Mark Delohery | Senior Bus Operator | Burwood |
| Wendy Wirth | Senior Bus Operator | Waverley |
| Chris Preston | Senior Bus Operator | North Sydney |
| Steven Giardullo | Senior Bus Operator | Port Botany |
| David Woollams | Senior Bus Operator | Hamilton |

BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION (WOMEN)

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------|--------------|--------------------|
| Leanne Holmes | Bus Operator | Hamilton |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|---------------------|---------------------|--------------------|
| BELMONT (1) | Craig Malone | Shed Driver | Belmont |
| BROOKVALE (1) | Narelle Sinclair | Senior Bus Operator | Brookvale |
| BURWOOD (1) | Mark Delohery | Senior Bus Operator | Burwood |
| HAMILTON (1) | David Woollams | Senior Bus Operator | Hamilton |
| KINGSGROVE (1) | Vijay Sharma | Senior Bus Operator | Kingsgrove |
| LEICHHARDT (1) | Darren Walsh | Senior Bus Operator | Leichhardt |
| MONA VALE (1) | Matthew Compton | Senior Bus Operator | Mona Vale |
| NORTH SYDNEY (1) | Christopher Preston | Senior Bus Operator | North Sydney |
| PORT BOTANY (1) | Steve Giardullo | Senior Bus Operator | Port Botany |
| RANDWICK (1) | Bruce Eddy | Bus Operator | Randwick |
| RYDE (1) | Daniel Jagers | Shed Driver | Ryde |
| WAVERLEY (1) | Wendy Wirth | Senior Bus Operator | Waverley |
| WILLOUGHBY (1) | Gary Way | Senior Bus Operator | Willoughby |
| BONNYRIGG (Western Sydney Buses) (1) | Vacant Position | | |
| PYRMONT (Connex Sydney Light Rail) (1) | Vacant Position | | |

BRANCH OFFICE HOLDERS
INFRASTRUCTURE DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|-------------------|----------------------------------|--------------------|
| Branch Divisional President | Tarvinder Panesar | Infrastructure Worker 1 B (1) | Sydenham |
| Branch Divisional Vice President | Mohammad Obeid | Infrastructure Worker 2 A | Belmore |
| Branch Divisional Secretary | Ray West | Signal Sectionman | Gosford |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------|--------------------|------------------------------|--------------------|
| METROPOLITAN DISTRICT (1) | James Buckley | Infrastructure Worker 1 C | Clyde |
| NORTHERN DISTRICT (1) | Tony Read | Infrastructure Track Worker | ARTC Tamworth |
| NORTH COAST DISTRICT (1) | Anestasi Bouboulas | Infrastructure Worker 1 A | ARTC Grafton |
| WESTERN DISTRICT (1) | Andrew Willetts | Infrastructure Worker 1 A | ARTC Dubbo |
| SOUTHERN DISTRICT (1) | Michael Tapper | Infrastructure Worker 1 A | ARTC Goulburn |

SUB DIVISIONAL REPRESENTATIVES TO BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|--------------------|-----------------------------------|-----------------------------|
| METROPOLITAN DISTRICT GROUP PERWAY (4) | Steven Bradley | Infrastructure Worker 1 C (IV) | Blacktown |
| | Kerry Williams | Infrastructure Worker 1 A | Clyde |
| | Peter Thelander | Infrastructure/Track Worker | Valley Heights |
| | Vacant Position | | |
| METROPOLITAN DISTRICT GROUP SIGNALS (1) | Michael Sullivan | Signal Sectionman | Hornsby |
| METROPOLITAN DISTRICT GROUP ELECTRICAL (1) | Alan Cameron | Infrastructure Worker 1 C | Hurstville |
| NORTHERN DISTRICT GROUP (1) | Ken Aldridge | Signals Team Leader | Signal Support Services P/L |
| NORTH COAST DISTRICT GROUP (1) | Anestasi Bouboulas | Infrastructure Worker 1 A | ARTC Grafton |
| WESTERN DISTRICT (1) | Andrew Willetts | Infrastructure Worker 1 A | ARTC Dubbo |
| SOUTHERN DISTRICT GROUP (1) | Michael Tapper | Infrastructure Worker 1 A | ARTC Goulburn |

BRANCH OFFICE HOLDERS
ADMINISTRATIVE, SUPERVISORY, TECHNICAL & PROFESSIONAL DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|---------------|---------------------------|--------------------|
| Branch Divisional President | Chris Doyle | Sales Account Team Leader | Central |
| Branch Divisional Vice-President | Mark Thompson | Station Manager | Richmond |
| Branch Divisional Secretary | Sam Nawar | Station Manager | Bankstown |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|------------------|---------------------------|--------------------|
| METROPOLITAN DISTRICT (3) | Terry Missingham | Sales Account Team Leader | Hurstville |
| | Neil Munro | C&A Officer | Central |
| NORTHERN DISTRICT (1) | Ling Chang | Senior Officer | Sydney |
| | Greg Cameron | Asset Co-Ordinator | Port Waratah |
| WESTERN & SOUTHERN DISTRICTS (1) | Graham Besley | C&A Officer | Wagga Wagga |
| | Earl Donaldson | Clerk Special Grade | Willoughby |
| STATE TRANSIT AUTHORITY (1) | Earl Donaldson | Clerk Special Grade | Willoughby |
| SYDNEY FERRIES CORPORATION (1) | Vacant Position | | |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------------|-------------------|---------------------------|--------------------|
| METROPOLITAN DISTRICT GROUP (7) | Neville Mitchell | Senior Rostering Officer | Sydney |
| | Bob Barker | Payroll Officer | Lee Street |
| | Gary Teasel | FreightCorp Officer | Parramatta |
| | Terry Missingham | Sales Account Team Leader | Hurstville |
| | Eddy Daher | Station Manager | Rockdale |
| | Tony Hammoud | Station Manager | Blacktown |
| | Michael Maskell | C&A Officer | Sydney |
| NORTHERN DISTRICT GROUP (1) | Paul Casey | Station Manager | Maitland |
| WESTERN DISTRICT GROUP (1) | 1 Vacant Position | | |
| SOUTHERN DISTRICT GROUP (1) | Graham Besley | C&A Officer | Wagga Wagga |

BRANCH OFFICE HOLDERS
FLEET MANUFACTURE, OVERHAUL, MAINTENANCE & SERVICE DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|---------------|--------------------------------|-------------------------------|
| Branch Divisional President | Sean Roser | Engineering Operator | Hornsby Maintenance Centre |
| Branch Divisional Vice-President | Paul Ciano | Engineering Operator | Flemington Maintenance Centre |
| Branch Divisional Secretary | Mick Bartlett | Presentation Service Attendant | Hornsby Maintenance Centre |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|-------------------|--------------------------------|----------------------------|
| METROPOLITAN DISTRICT (2) | Ismail Haywood | Presentation Service Attendant | Central |
| | Ida-Skye Morrison | Presentation Service Attendant | Hornsby Maintenance Centre |
| NORTHERN, WESTERN & SOUTHERN DISTRICTS (1) | Clarence Edwards | Engineering Operator | Bathurst Workshops |

SUB-DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--|-------------------|--------------------------------|-------------------------------|
| METROPOLITAN DISTRICT GROUP (4) (including 1 Bus Engineering Representative) | Alan Jennings | Roving Cleaner | Central |
| | Bruce Windmill | Engineering Repair Operator | Ryde |
| | Michael Malek | Anderson Machine Operator | Flemington Maintenance Centre |
| | Colin Williams | Presentation Service Attendant | Flemington Maintenance Centre |
| NORTHERN DISTRICT GROUP (1) | 1 Vacant Position | | |
| WESTERN DISTRICT GROUP (1) | Clarence Edwards | Engineering Operator | Bathurst Workshops |
| SOUTHERN DISTRICT GROUP (1) | Vacant Position | | |

BRANCH OFFICE HOLDERS
RAIL OPERATIONS DIVISION

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------------|--------------------|----------------------------|--------------------|
| Branch Divisional President | Derek Morgan Jones | Area Controller | Sydney Signal Box |
| Branch Divisional Vice President | Michael Sullivan | Guard | Mortdale |
| Branch Divisional Secretary | Joanne McCallum | Customer Service Attendant | Tuggerah |

BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION (WOMEN)

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------|-----------------|--------------------|
| Carissa James | Area Controller | Sydney Signal Box |

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL:

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------------------|------------------|------------------------------|---------------------|
| METROPOLITAN DISTRICT (5) | Natalie Rickard | Area Controller | Homebush Signal Box |
| | Hapi Arapata | Customer Service Attendant | West Ryde |
| | Geoff Quinn | Guard | Liverpool |
| | Michael Sullivan | Guard | Mortdale |
| | Gary Stewart | Guard | Waterfall |
| NORTHERN DISTRICT (1) | Dallas Kriss | Passenger Service Supervisor | Taree |
| WESTERN DISTRICT (1) | Alf Johnston | Passenger Attendant | Dubbo |
| SOUTHERN DISTRICT (1) | Brian Frankham | Customer Attendant | Wagga Wagga |

SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---|-----------------|----------------------------|---------------------|
| TRAIN GUARDS SUB DIVISION (2) | Paul Collimore | Guard | Gosford |
| | Steven Brown | Guard | Central |
| STATION WAGES STAFF SUB DIVISION (excluding CSA Guards) (3) | Ross Symonds | Customer Service Attendant | Wynyard |
| | Leanne Milne | Customer Service Attendant | Quakers Hill |
| | John Steck | Customer Service Attendant | Parramatta |
| SIGNALLERS SUB DIVISION (2) | Jodie Howarth | Area Controller | Hornsby Signal Box |
| | Natalie Rickard | Area Controller | Homebush Signal Box |
| ON BOARD TRAIN PASSENGER SUB DIVISION (2) | Gordon Biggs | Senior Passenger Attendant | Central |
| | Vacant Position | | |
| TRANSIT OFFICERS WAGES SUB-DIVISION (2) | Danny Perry | Transit Officer | Central |
| | Jason Schultz | Transit Officer | Parramatta |
| TERMINAL OPERATORS SUB-DIVISION (2) | Jimmy Karnib | Terminal Planner | Chullora |

BRANCH DELEGATES TO NATIONAL EXECUTIVE

| OFFICE | NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------------------|-------------------|----------------|---|
| Assistant Branch Secretary | Michael Schmitzer | Union Official | 33 Gordon Avenue Hamilton NSW 2303 |
| Branch President | Alex Claassens | Union Official | Level 4, 321 Pitt Street Sydney NSW 2000 |

DELEGATES FROM BRANCH TO NATIONAL COUNCIL

TRUCKING DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|------------------|------------|--------------------|
| Bryan Williamson | Driver | Lithgow |
| Brett Rosser | Driver | Penrith |
| Mark Supple | Driver | Morandoo |
| David Mathie | Driver | PN Chullora |

RAIL OPERATIONS DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|--------------------|------------------------------|---------------------|
| Michael Cartwright | Guard | Sydney |
| Geoff Quinn | Guard | Liverpool |
| Michael Farhat | Customer Service Team Leader | Central |
| Ghaleb Nagi | Area Controller | Sydenham Signal Box |
| Derek Morgan Jones | Area Controller | Sydney Signal Box |

FLEET MANUFACTURE, OVERHAUL, SERVICE DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|---------------|--------------------------------|-----------------------------|
| Diane Seymour | Presentation Service Attendant | Mortdale Maintenance Centre |

INFRASTRUCTURE DIVISION

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|----------------|-------------------|---|
| Ray West | Signal Sectionman | Gosford |
| Doug Klineberg | Union Official | Level 4, 321 Pitt Street Sydney NSW 2000 |

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|-------------------|---------------------------|--------------------|
| Terry Missingham | Sales Account Team Leader | Hurstville |
| Christopher Doyle | Sales Account Team Leader | Central |
| Sam Nawar | Station Manager | Bankstown |

| NAME | OCCUPATION | WORK ADDRESS/DEPOT |
|------------------|---------------------|--------------------|
| Mark Delohery | Senior Bus Operator | Burwood |
| Kelly Budden | Bus Operator | Hamilton |
| Daniel Jagers | Shed Driver | Ryde |
| Narelle Sinclair | Senior Bus Operator | Brookvale |

8. 2008 ELECTIONS

The RTBU was due to have elections in November 2008, however, those elections have been rescheduled for April 2009 by the Australian Electoral Commission (AEC).

Number Of Members

As at the 31 December 2009 there were 15,606 financial members of the Rail, Tram & Bus Union (RTBU) NSW Branch across the following Divisions of the union:

| DIVISION | FINANCIAL MEMBERS | UNFINANCIAL MEMBERS | TOTAL |
|----------------------|-------------------|---------------------|--------|
| Rail Operations | 3993 | 84 | 4077 |
| Infrastructure | 1406 | 48 | 1454 |
| Workshops | 1004 | 23 | 1027 |
| Admin / Professional | 2149 | 67 | 2216 |
| Locomotive | 3159 | 70 | 3229 |
| Bus and Tram | 3895 | 209 | 4104 |
| TOTAL | 15,606 | 501 | 16,107 |
| | | | |

Numbers Of Employees

As at the 31 December 2009 the Rail, Tram & Bus Union (RTBU) NSW Branch employed 29 full-time employees and 4 Part-time employee.

| POSITION | No. OF EMPLOYEES | |
|------------------------|------------------|-------------|
| | (Full/Time) | (Part/Time) |
| Elected Paid Officials | 8 | 0 |
| Offices of the Union | 7 | 1 |
| Office Manager | 1 | 0 |
| Clerical Support | 9 | 3 |
| | | |
| TOTAL | 25 | 4 |

Signed 14th May, 2010 by Alexander Claassens in accordance with a resolution of the Committee of Management.



FOR THE YEAR ENDED 31ST DECEMBER, 2009

The Committee of Management of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch has resolved to provide a concise financial report to members for the financial year ended 31 December 2009.

The concise financial report has been derived from the full report and cannot be expected to provide as full an understanding of the financial performance, financial position and financial and investing activities of the branch as the full report. A copy of the full report and auditor's report will be sent to any member who requests them free of charge.

Members are advised of the following provisions:

Fair Work (Registered Organisations) Act 2009.

272 Information to be provided to members or Registrar

- (1) A member of a reporting unit, or a Registrar, may apply to the reporting unit for specified prescribed information in relation to the reporting unit to be made available to the person making the application.
- (2) The application must be in writing and must specify the period within which, and the manner in which, the information is to be made available. The period must not be less than 14 days after the application is given to the reporting unit.
- (3) A reporting unit must comply with an application made under subsection (1).

Section 517 of the Industrial Relations Act 1996

In accordance with Section 517 of the Industrial Relations Act a copy of the Auditor's Report, Accounts and Statements will be supplied free of charge to members who request same.

Certificates required to be given under the Act's by the Accounting Officer and Committee of Management have been completed in accordance with the provisions of the Act's and contain no qualifications.

INCOME STATEMENT

FOR THE YEAR ENDED 31st DECEMBER, 2009

| | 2009 | 2008 |
|---|------------------|----------------|
| | \$ | \$ |
| Revenue from ordinary activities: | | |
| Member Contributions | 6,468,529 | 6,026,675 |
| Interest Received | 291,212 | 433,987 |
| Rent | 281,406 | 303,999 |
| Other Income | 59,738 | 50,098 |
| Expense from ordinary activities | | |
| Remuneration – Office Holders | 503,305 | 488,772 |
| Remuneration – Employee/Delegates | 1,454,165 | 1,549,115 |
| Superannuation | 184,789 | 425,433 |
| Superannuation provided | 103,945 | 277,207 |
| Sustentation National Office | 782,920 | 750,564 |
| Depreciation | 338,867 | 350,326 |
| Other Expenses | 2,663,350 | 2,533,099 |
| Net Surplus from Ordinary Activities | 1,069,544 | 440,243 |
| Total Change in Accumulated Funds | 1,069,544 | 440,243 |

BALANCE SHEET

AS AT 31st DECEMBER, 2009

| | 2009 | 2008 |
|---|-------------------|-------------------|
| | \$ | \$ |
| Current Assets | | |
| Cash assets | 7,581,373 | 7,762,224 |
| Receivables | 192,301 | 122,733 |
| Other | 92,289 | 44,020 |
| TOTAL CURRENT ASSETS | 7,865,963 | 7,928,977 |
| Non-Current Assets | | |
| Financial Assets | 897,916 | 17,445 |
| Property, Office Equipment and Motor Vehicles-own use | 7,977,243 | 6,777,749 |
| Investment Property | 1,450,000 | 800,000 |
| TOTAL NON-CURRENT ASSETS | 10,325,159 | 7,595,194 |
| TOTAL ASSETS | 18,191,122 | 15,524,171 |
| Current Liabilities | | |
| Accounts Payables | 203,443 | 317,318 |
| Provisions | 78,734 | - |
| TOTAL CURRENT LIABILITIES | 282,177 | 317,318 |
| Non-Current Liabilities | | |
| Provisions | 567,111 | 864,547 |
| TOTAL NON-CURRENT LIABILITIES | 567,111 | 864,547 |
| TOTAL LIABILITIES | 849,288 | 1,181,865 |
| NET ASSETS | 17,341,834 | 14,341,306 |
| ACCUMULATED FUNDS | | |
| Reserves | 4,068,605 | 2,137,621 |
| Accumulated Funds | 13,273,229 | 12,203,685 |
| TOTAL ACCUMULATED FUNDS | 17,341,834 | 14,341,306 |

STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED 31st DECEMBER, 2009

| | 2009 | 2008 |
|--|-------------------------|-------------------------|
| | \$ | \$ |
| CASH FLOW FROM OPERATING ACTIVITIES | | |
| Receipts from Members | 6,397,973 | 6,133,377 |
| Interest Received | 291,212 | 433,987 |
| Rent Received | 281,406 | 303,999 |
| Other | 59,738 | 44,712 |
| Payments to Suppliers and employees | (6,059,072) | (5,916,691) |
| NET CASH PROVIDED BY OPERATING ACTIVITIES | 971,257 | 999,384 |
| CASH FLOW FROM INVESTING ACTIVITIES | | |
| Payment for Property, Plant and Equipment | (271,638) | (264,757) |
| NET CASH USED IN INVESTING ACTIVITIES | (271,638) | (264,757) |
| NET CASH INCREASE | 699,619 | 734,627 |
| CASH AT THE BEGINNING OF THE YEAR | 7,779,670 | 7,045,043 |
| <u>CASH AT THE END OF THE YEAR</u> | <u>8,479,289</u> | <u>7,779,670</u> |

COMMITTEE OF MANAGEMENT STATEMENT

On 14th May, 2010 the Committee of Management of the Australian Rail Tram & Bus Industry Union, New South Wales Branch passed the following resolution in relation to the general purpose financial report (GPFR) of the reporting unit for the financial year ended 31st December, 2009:

- (a) the financial statements and notes comply with the Australian Accounting Standards;
- (b) the financial statements and notes comply with the reporting guidelines of the Industrial Registrar;
- (c) the financial statements and notes give a fair view of the financial performance, financial position and cash flows of the reporting unit for the financial year to which they relate;
- (d) there are reasonable grounds to believe that the reporting unit will be able to pay its debts as and when they become due and payable; and
- (e) during the financial year to which the GPFR relates and since the end of that year:
 - (i) meetings of the committee of management were held in accordance with the rules of the organisation including the rules of a branch concerned; and
 - (ii) the financial affairs of the reporting unit have been managed in accordance with the rules of the organisation including the rules of the branch concerned; and
 - (iii) the financial records of the reporting unit have been kept and maintained in accordance with the RAO Schedule and the RAO Regulations; and
 - (iv) the financial records of the reporting unit have been kept, as far as practicable, in a consistent manner to each of the other reporting units of the organisation; and
 - (v) no further information has been sought in any request of a member of the reporting unit or a Registrar duly made under section 272 of the RAO Schedule; and
 - (vi) no order for inspection of financial records have been made by the Commission under section 273 of the RAO Schedule.
- (f) The Branch has not derived any revenue during the year as a result of any recovery of wages activity it may have undertaken on behalf of members.

Signed 14th May, 2010 by Alexander Claassens in accordance with such resolution as is passed by the committee of management.



INDEPENDENT AUDITOR'S STATEMENT

To the members of The Australian Rail, Tram and Bus Industry Union, New South Wales Branch.

Scope

We have audited the concise financial report of The Australian Rail, Tram & Bus Industry Union, New South Wales Branch for the financial year ended 31 December, 2009 comprising the Committee of Management Statement, Income Statement, Balance Sheet, Statement of Cash Flows and notes to the financial statements. The Committee of Management is responsible for the financial report. We have conducted an independent audit of this financial report in order to express an opinion on it to the members.

Our audit has been conducted in accordance with Australian Auditing Standards to provide reasonable assurance whether the concise financial report is free of material misstatement.

Our procedures in respect of the audit of the concise financial report included testing that the information in the concise financial report is consistent with the full financial report, and examination, on a test basis, of evidence supporting the amounts and other disclosures which were directly derived from the full financial report. These procedures have been undertaken to form an opinion whether, in all material respects, the concise financial report is presented fairly in accordance with Accounting Standards, the Fair Work(Registered Organisations) Act 2009 and the Industrial Relations Act 1996

Audit Opinions

A) Fair Work(Registered Organisations) Act 2009

In our opinion, the Concise Financial Report of The Australian Rail, Tram & Bus Industry Union, New South Wales Branch for the year ended 31st December, 2009 is in accordance with the full audited general purpose financial report available for inspection my members. The Audit opinion, express in that report is as follows:

In our opinion, the general purpose financial report of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch presents fairly in accordance with applicable Accounting Standards and other mandatory professional reporting requirements in Australia as well as the requirements imposed by the Reporting Guidelines or Part 3 of Chapter 8 of Schedule 1B of the Fair Work(Registered Organisations) Act 2009.

B) Industrial Relations Act 1996

I certify that the Concise Financial Report is a fair and accurate summary of my Report, Accounts and Statements of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch for the year ended 31st December, 2009. My Auditors Report did not contain particulars of any deficiency, failure or shortcomings as referred to in the Industrial Relations Act

Ken Mangraviti Pty Ltd Chartered Accountants

Registered Company Auditor

Dated : 14th May, 2010

End of Report



13 January 2010

Mr Nick Lewocki
Secretary, New South Wales Branch
Australian Rail, Tram and Bus Industry Union
Level 4, 321 Pitt Street
SYDNEY NSW 2000



Fair Work
Australia



Dear Mr Lewocki

**Lodgment of Financial Statements and Accounts –
Australian Rail, Tram and Bus Industry Union, New South Wales Branch –
for year ended 31 December 2009 (FR2009/10322)**

The financial year of the Australian Rail, Tram and Bus Industry Union, New South Wales Branch has recently ended. This is a courtesy letter to remind you of the obligation to prepare the reporting unit's financial documents and to lodge audited financial accounts and statements with Fair Work Australia within the prescribed time frame unless a 1 month extension or an application for a certificate of exemption under the *Fair Work (Registered Organisations) Act 2009* ('the RO Act') is applied for and granted.

The documents you must lodge include:

(i) A *general purpose financial report* [see section 253(2)]; (ii) A *Committee of Management statement* (see the General Manager's Reporting Guidelines); (iii) An *operating report* [see section 254(2)]; (iv) An *auditor's report* [see sections 257(5) to 257(11)]; and (v) A *certificate* of the *secretary or other designated officer* [see section 268(c)].

I draw your particular attention to

- (a) s237 which requires you to prepare and lodge *a separate statement* providing the prescribed particulars of any loan, grant or donation made during the year which exceeds \$1,000;
- (b) s265(5) which requires you to publish or otherwise *provide your members with completed/signed copies* of the audited accounts, report and statements before final presentation and lodgment; and
- (c) s266 which requires you to *present the completed documents to an eligible meeting(s)* (either of the members or of the committee, whichever applies). Note that this meeting (or series of meetings) is distinct from any initial committee meeting convened to prepare, sign or otherwise approve pre-audited documents.

Relevant references may be found at <http://www.fwa.gov.au/index.cfm?pagename=regorgsfrguidelines> and <http://www.fwa.gov.au/index.cfm?pagename=legislationfwroact>. If you are in any doubt as to the requirements or wish to clarify any aspect of the relevant legislation, or anticipate any difficulty in complying with the requirements, do not hesitate to contact Fair Work Australia.

Yours sincerely,

Stephen Kellett
Statutory Services Branch

Fair Work Australia
Level 8, 80 William Street
EAST SYDNEY NSW 2011

Telephone: (02) 8374 6666/ Mobile :
0429 462 979
Facsimile: (02)9380 6990
Email : stephen.kellett@fwa.gov.au
Internet : www.fwa.gov.au