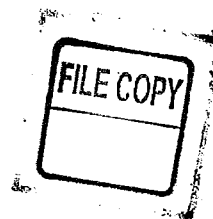




FAIR WORK
AUSTRALIA

22 July 2011

Mr Alex Claassens
Secretary, New South Wales Branch
ARTBIU
Level 4, 321 Pitt Street
SYDNEY NSW 2000



Dear Mr Claassens

Re: Lodgement of Financial Statements and Accounts – New South Wales Branch – for year ending 31 December 2010 (FR2010/2920)

Thank you for lodging the above financial return which was received by Fair Work Australia on 27 June 2011.

The documents have been filed. However I draw your attention to the following.

In the Statement of Financial Performance for the General Fund, a figure of \$196,371 is recorded for “Donations, Affiliations Fees & Subscriptions-Industrial”. This appears to represent a combined figure for two distinct kinds of expense, namely “Donations” and “Affiliation Fees and Subscriptions”. If so, this does not comply with the Reporting Guidelines.

1. Items for separate disclosure

The Reporting Guidelines issued by the General Manager pursuant to section 255 of the Act prescribe separate balances for a wide range of items. Where applicable, balances for all such items must be disclosed within the body of the audited financial report. Paragraph 11(d) of the Reporting Guidelines refers explicitly to Affiliation fees and paragraph 11(f) refers explicitly to “grants and donations”.

Although the Statement of Particulars pursuant to section 237 for donations exceeding \$1,000 which was lodged with the financial report shows various amounts totalling \$12,409.78, there is no way of knowing, on the face of the Statement of Financial Performance, what the exact amount for donations is, as opposed to affiliation fees.

I enclose a copy of the relevant extract from the Reporting Guidelines for reference when next year’s financial report is being prepared.

Yours sincerely

Stephen Kellett

Statutory Services Branch

Terrace Towers
80 William Street
East Sydney NSW 2011

Telephone: (02) 8374 6666
International: (612) 8374 6666
Facsimile: (02) 9380 6990
Email: sydney@fwa.gov.au

Rail Tram and Bus Union NSW Branch



AC:MM

Monday, 27 June 2011

FAIR WORK AUSTRALIA
STATUTORY SERVICES BRANCH
LEVEL 8, 80 WILLIAM STREET
EAST SYDNEY NSW 2011



Dear Sir/Madam

Please find attached Financial Statements for financial year ending December, 2010.

Yours faithfully

ALEX CLAASSENS
BRANCH SECRETARY

RAIL TRAM AND BUS UNION (NSW Branch) ABN 55 090 785 801

Level 4, 321 Pitt Street Sydney NSW 2000 • Tel (02) 9264 2511 • Fax (02) 9261 1342 • E-mail nswwho@rtbu-nsw.asn.au • Website www.rtbu-nsw.asn.au

NEWCASTLE OFFICE 33 Gordon Avenue Hamilton NSW 2303 • Tel (02) 4961 4311 • Fax (02) 4961 4779 • E-mail mschmitzer@rtbu-nsw.asn.au

WOLLONGONG OFFICE Level 1, 306A Crown Street Wollongong NSW 2500 • Tel (02) 4227 5798 • Fax (02) 4226 5262 • E-mail wollongong@rtbu-nsw.asn.au

your rights at work
worth fighting for

AUSTRALIAN RAIL, TRAM AND BUS INDUSTRY UNIONN

NEW SOUTH WALES BRANCH

Certificate Of Secretary or other Authorised Officer
S268 of Schedule 1B Workplace Relations Act 1996



I Alexander Claassens being the Branch Secretary of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch certify:

- That the documents lodged herewith are copies of the full report (and the concise report), referred to in s268 of the RAO Schedule; and
- That the concise report was provided to members on 20th May, 2011
- That the full report was presented to a meeting of the committee of management of the reporting unit on the 17th June, 2011 in accordance with section 266 of the RAO Schedule.

Signature: *A. Claassens*

Date: 17/6/2011 .

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

FINANCIAL REPORT

For the Year Ended 31st December 2010





OPERATING REPORT

THE RAIL TRAM & BUS UNION NSW BRANCH

Prepared: 31 March, 2011

1. SCOPE OF OPERATING REPORT

This Operating Report covers the:

1. Activities of the Rail, Tram & Bus Union (RTBU) NSW Branch, and results of those activities for the calendar year 1 January 2009 to 31 December 2010;
2. Significant changes in the nature of the activities as required under Fair Work(Registered Organisations) Act 2009.
3. Details of any significant changes in financial affairs.
4. Details of the rights of members to resign
5. Details of officers and members who hold positions as trustees or company officers.
6. Members of the committee of management
7. 2010 Union Elections
8. Number of members and number of employees

2. THE PRINCIPAL ACTIVITIES & RESULTS OF THE ACTIVITIES OF THE BRANCH

The RTBU (NSW) is a member based, federally register trade union representing members whose usual place of work is located within the State of New South Wales (NSW) and the Australian Capital Territory (ACT) together with members employed rail, tram (light rail) and government owned and operated bus industries.

The reporting unit's activities are directed by the NSW Branch Council in accordance with its objectives and rules of registration.

The principal activities and the results of these activities fell into the following categories:

ACTIVITIES	2010 RESULTS
1. Making agreements with employers.	<ul style="list-style-type: none">• 52 Federally registered Agreements• 2 NSW State Awards (State Transit)
2. Implementation of Branch Council's agenda, including providing assistance and strategic advice to individual members in relation to workplace issues.	<ul style="list-style-type: none">• Received application for admittance of 1433 new members (Bus and Rail Division).
3. Representing members in tribunals and related institutions.	<ul style="list-style-type: none">• 46 appeals before NSW Transport Appeals Board (TAB) (215 Rail Division & 9 Bus Division)
4. Electing representatives to peak trade union bodies in NSW.	<ul style="list-style-type: none">• 5 delegates and alternate delegates (Rail Division) and 2 delegates (Bus Division) 2 delegates South Coast Labor Council nominated to Unions NSW.•

5. Attending meetings called by peak union bodies in NSW.	<ul style="list-style-type: none"> • Attend approximately 35 Unions NSW General meetings. • 20 UnionsNSW Executive meetings • 6 UnionsNSW OHS & WC meetings • 6 South Coast Labour Council meetings
6. Making submissions on behalf of the branch to inquiries and proceedings affecting the industry.	<ul style="list-style-type: none"> • 16 submission were made in 2010.
7. Training delegates & representatives.	<ul style="list-style-type: none"> • 9 delegates training courses were conducted within the Branch in 2010 • 106 workplace delegates were trained.
8. Produced and distributed circulars, bulletins, newsletters and materials as authorised by the union.	<ul style="list-style-type: none"> • Produced and distributed: <ul style="list-style-type: none"> - 4 copies of union journal <i>Rail & Road</i>; - 51 Branch membership bulletins - Rail Operations – Guards - 4 Blue Light newsletters - Locomotive Division: <ul style="list-style-type: none"> - 13 Footplates - 60 circulars - Tram and Bus – 3 Depot News -

3. ANY SIGNIFICANT CHANGES IN ACTIVITIES

There were no rule changes certified in 2010

4. DETAILS OF ANY SIGNIFICANT CHANGES IN FINANCIAL AFFAIRS

Significant changes in the Rail, Tram & Bus Union (RTBU) NSW Branch financial affairs (See **ATTACHMENT A: FINANCIAL REPORT**) included:

- Total assets and accumulated funds remained relatively stable for the operating year to 31 December 2010; with following variations
- a decrease in current assets of \$1,122,415 for the operating year to 31 December 2010;
- an increase in total income of \$782,558 for the operating year to 31 December 2010;
- an increase in total expenses of \$1,153,106 for the operating year to 31 December 2010; and
- increase in union fees:
 - There were no changes in membership fees for the reporting period.

Other Relevant Information

- The Rail, Tram & Bus Union (RTBU) NSW Branch also managed the RTBU Holiday Park at Jervis Bay on behalf of the union and its members. (See **ATTACHMENT A: FINANCIAL REPORT**).

5. DETAILS OF THE RIGHTS OF MEMBERS TO RESIGN AFFAIRS

All members of the RTBU (NSW) have the right to resign from the union in accordance with **Rule 14, Resignation from Membership**, of the Rules of the Rail Tram and Bus Industry Union as set out below:

14 - RESIGNATION FROM MEMBERSHIP

- (1) *A member may resign from membership of the Union by written notice addressed and delivered to the Secretary of his/her Branch.*
- (2) *A notice of resignation from membership of the Union takes effect:-*
 - (a) *where the member ceases to be eligible to become a member of the Union:-*
 - (i) *on the day on which the notice is received by the Union; or*
 - (ii) *on the day specified in the notice, which is a day not earlier than the day when the member ceases to be eligible to become a member;**whichever is later; or*
 - (b) *In any other case:-*
 - (i) *at the end of two weeks; or*
 - (ii) *on the day specified in the notice;**whichever is later.*
- (3) *Any subscriptions, fees, fines and levies owing but not paid by a former member of the Union in relation to a period before the member's resignation took effect, may be sued for and recovered in the name of the Union in a Court of competent jurisdiction, as a debt due to the Union.*
- (4) *A notice delivered to the Branch Secretary shall be deemed to have been received by the Union when it was delivered.*
- (5) *A notice of resignation that has been received by the Union is not invalid because it was not addressed and delivered to the Branch Secretary.*
- (6) *A resignation from membership of the Union is valid even if it is not effected in accordance with this Rule, if the member is informed in writing by or on behalf of the Union that the resignation has been accepted.*

6. DETAILS OF OFFICERS AND MEMBERS WHO HOLD POSITIONS AS TRUSTEES OR COMPANY DIRECTORS

The following members hold position(s) as trustees or company directors:

OFFICIAL	ORGANISATION
Nick Lewocki	<ul style="list-style-type: none">• Employee Representative appointed to the Trustee Board of the State Trustee Corporation• a Director of the Trades Hall Association• a Director of the Transport and Logistics Centre (TALC)
Alex Claassens	<ul style="list-style-type: none">• a Director of Encompass Credit Union

7. MEMBERS OF THE COMMITTEE OF MANAGEMENT

The members of Branch Council during the year ending 31 December 2010 were:

THE BRANCH OFFICES

Branch President

Senior Branch Vice-President

Junior Branch Vice-President

Branch Secretary

Assistant Branch Secretary

Branch Organisers

Branch Divisional Presidents

Branch Divisional Vice-Presidents

Branch Divisional Secretaries

Assistant Branch Divisional Secretary, Locomotive Division

Branch Divisional Delegates to Branch Council

Sub Divisional Representatives on Branch Divisional Committee

The Registered Office of the Australian Rail, Tram & Bus Industry Union is:

Level 4, 321 Pitt Street
SYDNEY NSW 2000

BRANCH OFFICE HOLDERS

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>WORK</u>
Branch President	Phillip Kessey	Level 4, 321 Pitt Street SYDNEY NSW 2000
Branch Secretary	Alex Claassens	Level 4, 321 Pitt Street SYDNEY NSW 2000
Assistant Branch Secretary	Michael Schmitzer	33 Gordon Avenue HAMILTON NSW 2303
Branch Organiser	Phillip Kessey	Level 4, 321 Pitt Street SYDNEY NSW 2000

Branch Organiser	Doug Klineberg	Level 4, 321 Pitt Street SYDNEY NSW 2000
Senior Branch Vice-President	Phillip Kessey	Level 4, 321 Pitt Street SYDNEY NSW 2000
Junior Branch Vice-President	Raul Baonza	83-89 Renwick Street REDFERN NSW 2016

BRANCH OFFICE HOLDERS
LOCOMOTIVE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Brian Glover	Driver	Hunter Valley
Branch Divisional Senior Vice-President	Brett Rosser	Driver	Penrith
Branch Divisional Junior Vice-President	Peter Bull	Driver	Gosford
Branch Divisional Secretary 2000	Robert Hayden	Union Official	Level 4, 321 Pitt Street SYDNEY NSW
Assistant Branch Divisional Secretary	Robert Newham	Driver	Campbelltown

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

Metropolitan District (3)	Paul Foster	Driver	Flemington
South	Shan Rathinam	Driver	Central
	Ian Wyllie	Driver	PN Port Kembla
Northern District (1)	Russell Hendy	Driver	Brisbane

Western District (1)	Peter Anthony	Driver	PN Broken Hill
Southern District (1) Highlands	Mark Hall	Driver	PN Southern

BRANCH OFFICE HOLDERS

LOCOMOTIVE DIVISION

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
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SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

RAILCORP ETR GROUP REGION 1 (1)	Andrew Holt	Driver	Blacktown
RAILCORP ETR GROUP Flemington REGION 2 (1)	Jeff King	Driver	
RAILCORP ETR GROUP REGION 3 (2)	Mark McClymont	Driver	Cronulla
INTERCITY GROUP (1) Wollongong	Mark Hagan	Driver	Central
INTERCITY GROUP (1) Wollongong	John Aquilina	Driver	
COUNTRYLINK GROUP (1)	Craig Stevens	Driver	Sydney
PACIFIC NATIONAL GROUP Chullora METROPOLITAN REGION (1)	Arthur Pollard	Driver	PN
PACIFIC NATIONAL GROUP NORTH WEST REGION (2) Creek	Peter Munday Nicholas Punch	Driver Driver	Taree Werris
PACIFIC NATIONAL GROUP SOUTH WEST REGION (1)	Bruce Wooten	Driver	June
INTERAIL AUSTRALIA PTY LTD GROUP (1)	Alf Hayne	Driver	Grafton
FREIGHT AUSTRALIA GROUP (1)	Kevin Pryor	Driver	Clyde
SOUTHERN SILVERTON GROUP (1)	Michael Ferris	Driver	Narromine

INDEPENDENT RAILWAYS OF AUSTRALIA GROUP (1)	Ian Hogg	Driver	Sydney
EL ZORRO RAIL GROUP (1)	Greg Hayes	Driver	Sydney
SKILLED GROUP (1)	Vacant Position		
PERISHER BLUE GROUP (1)	Vacant Position		
MANILDRA GROUP (1)	Vacant Position		
PATRICK RAIL GROUP (1)	Vacant Position		
MOMENTUM GROUP (1)	Vacant Position		
PATRICK RAIL GROUP (1)	Vacant Position		
ARG GROUP (1)	Vacant Position		
SOUTHERN SHORTHHAUL GROUP (1)	Vacant Position		

BRANCH OFFICE HOLDERS
TRAM & BUS DIVISION

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Darryl Malone	Union Official	83-89 Renwick Street REDFERN NSW 2016
Branch Divisional Vice-President	Kelly Budden	Bus Operator	Hamilton
Branch Divisional Secretary	Raul Baonza	Union Official	83-89 Renwick Street REDFERN NSW 2016

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

Mark Delohery	Senior Bus Operator	Burwood
Wendy Wirth	Senior Bus Operator	Waverley
Chris Preston	Senior Bus Operator	North Sydney

Steven Giardullo	Senior Bus Operator	Port Botany
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David Woollams	Senior Bus Operator	Hamilton
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BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION (WOMEN)

Leanne Holmes	Bus Operator	Hamilton
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BRANCH OFFICE HOLDERS

TRAM & BUS DIVISION

OFFICE ADDRESS/DEPOT **NAME** **OCCUPATION** **WORK**
SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

BELMONT (1)	Craig Malone	Shed Driver	Belmont
BROOKVALE (1)	Narelle Sinclair	Senior Bus Operator	Brookvale
BURWOOD (1)	Mark Delohery	Senior Bus Operator	Burwood
HAMILTON (1)	David Woollams	Senior Bus Operator	Hamilton
KINGSGROVE (1)	Vijay Sharma	Senior Bus Operator	Kingsgrove
LEICHHARDT (1)	Darren Walsh	Senior Bus Operator	Leichhardt
MONA VALE (1)	Matthew Compton	Senior Bus Operator	Mona Vale
NORTH SYDNEY (1)	Christopher Preston	Senior Bus Operator	North Sydney
PORT BOTANY (1)	Steve Giardullo	Senior Bus Operator	Port Botany
RANDWICK (1)	Bruce Eddy	Bus Operator	Randwick

RYDE (1)	Daniel Jagers	Shed Driver	Ryde
WAVERLEY (1)	Wendy Wirth	Senior Bus Operator	Waverley
WILLOUGHBY (1)	Gary Way	Senior Bus Operator	Willoughby
BONNYRIGG (Western Sydney Buses)	1 Vacant Position		
PYRMONT (Connex Sydney Light Rail)	1 Vacant Position		

BRANCH OFFICE HOLDERS

INFRASTRUCTURE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Tarvinder Panesary	Infrastructure Worker 1 B (1)	Sydenham
Branch Divisional Vice-President	Mohammad Obeid	Infrastructure Worker 2 A	Belmore
Branch Divisional Secretary	Ray West	Signal Sectionman	Gosford

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN DISTRICT (1)	James Buckley	Infrastructure Worker 1 C	Clyde
NORTHERN DISTRICT (1) Tamworth	Tony Read	Infrastructure Track Worker	ARTC
NORTH COAST DISTRICT (1) Grafton	Anestasi Bouboulas	Infrastructure Worker 1 A	ARTC

WESTERN DISTRICT (1) Dubbo	Andrew Willetts	Infrastructure Worker 1 A	ARTC
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SOUTHERN DISTRICT (1) ARTC Goulburn	Michael Tapper	Infrastructure Worker 1 A	
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BRANCH OFFICE HOLDERS

INFRASTRUCTURE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
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SUB DIVISIONAL REPRESENTATIVES TO BRANCH DIVISIONAL COMMITTEE

METROPOLITAN DISTRICT GROUP PERWAY (4)	Steven Bradley	Infrastructure Worker 1 C (IV)	Blacktown
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	Kerry Williams	Infrastructure Worker 1 A	Clyde
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Heights	Peter Thelander	Infrastructure/Track Worker	Valley
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1 Vacant Position

METROPLITAN DISTRICT GROUP SIGNALS (1)	Michael Sullivan	Signal Sectionman	Hornsby
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METROPOLITAN DISTRICT GROUP ELECTRICAL (1)	Alan Cameron	Infrastructure Worker 1 C	Hurstville
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NORTHERN DISTRICT Support GROUP (1) P/L	Ken Aldridge	Signals Team Leader	Signal Services
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NORTH COAST	Anestasi Bouboulas	Infrastructure Worker	
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DISTRICT Grafton GROUP (1)		1 A	ARTC
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WESTERN DISTRICT (1) Dubbo	Andrew Willetts	Infrastructure Worker 1 A	ARTC
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SOUTHERN DISTRICT GROUP (1) Goulburn	Michael Tapper	Infrastructure Worker 1 A	ARTC
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BRANCH OFFICE HOLDERS

ADMINISTRATIVE, SUPERVISORY, TECHNICAL & PROFESSIONAL DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Chris Doyle	Sales Account Team Leader	Central
Branch Divisional Vice-President	Mark Thompson	Station Manager	Richmond
Branch Divisional Secretary	Sam Nawar	Station Manager	Bankstown

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN DISTRICT (3)	Terry Missingham	Sales Account Team Leader	Hurstville
	Neil Munro	C&A Officer	Central
	Ling Chang	Senior Officer	Sydney
NORTHERN DISTRICT (1) Waratah	Greg Cameron	Asset Co-Ordinator	Port

WESTERN & SOUTHERN Graham Besley C& A Officer Wagga
 Wagga
 DISTRICTS (1)

STATE TRANSIT AUTHORITY
 (1) Earl Donaldson Clerk Special Grade
 Willoughby

SYDNEY FERRIES CORPORATION (1) Vacant Position

BRANCH OFFICE HOLDERS

**ADMINISTRATIVE, SUPERVISORY, TECHNICAL AND PROFESSIONAL
 DIVISION**

**OFFICE NAME OCCUPATION WORK
ADDRESS/DEPOT
SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE**

METROPOLITAN DISTRICT GROUP (7)	Neville Mitchell	Senior Rostering Officer	Sydney
	Bob Barker	Payroll Officer	Lee Street
	Gary Teasel	FreightCorp Officer	Parramatta
	Terry Missingham	Sales Account Team Leader	Hurstville
	Eddy Daher	Station Manager	Rockdale
	Tony Hammoud	Station Manager	Blacktown
	Michael Maskell	C&A Officer	Sydney
NORTHERN DISTRICT GROUP (1)	Paul Casey	Station Manager	Maitland
WESTERN DISTRICT GROUP (1)	1 Vacant Position		

SOUTHERN DISTRICT Graham Besley C&A Officer Wagga
 Wagga
 GROUP (1)

BRANCH OFFICE HOLDERS

FLEET MANUFACTURE, OVERHAUL, MAINTENANCE & SERVICE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President Maintenance Centre	Sean Roser	Engineering Operator	Hornsby
Branch Divisional Maintenance Vice-President	Paul Ciano	Engineering Operator	Flemington Centre
Branch Divisional Secretary Maintenance Centre	Mick Bartlett	Presentation Service	Hornsby Attendant

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN DISTRICT (2)	Ismail Haywood	Presentation Service Attendant	Central
Maintenance	Ida-Skye Morrison	Presentation Service Attendant	Hornsby Centre
NORTHERN, WESTERN & SOUTHERN DISTRICTS (1)	Clarence Edwards	Engineering Operator	Bathurst
Workshops			

BRANCH OFFICE HOLDERS

FLEET MANUFACTURE, OVERHAUL, SERVICE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
<u>SUB-DIVISIONAL COMMITTEE</u>	<u>REPRESENTATIVES</u>	<u>ON</u>	<u>BRANCH DIVISIONAL</u>
METROPOLITAN DISTRICT GROUP (4)	Alan Jennings	Roving Cleaner	Central

(including 1 Bus Engineering Representative)	Bruce Windmill	Engineering Repair Operator	Ryde
Maintenance Centre	Michael Malek	Anderson Machine Operator	Flemington
Maintenance Centre	Colin Williams	Presentation Service Attendant	Flemington
NORTHERN DISTRICT GROUP (1)	1 Vacant Position		
WESTERN DISTRICT Workshops GROUP (1)	Clarence Edwards	Engineering Operator	Bathurst
SOUTHERN DISTRICT GROUP (1)	Vacant Position		

BRANCH OFFICE HOLDERS

RAIL OPERATIONS DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional Box President	Derek Morgan Jones	Area Controller	Sydney Signal
Branch Divisional Vice-President	Michael Sullivan	Guard	Mortdale
Branch Divisional Secretary	Joanne McCallum	Customer Service Attendant	Tuggerah

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN Box DISTRICT (5)	Natalie Rickard	Area Controller	Homebush Signal
	Hapi Arapata	Customer Service Attendant	West Ryde
	Geoff Quinn	Guard	Liverpool
	Michael Sullivan	Guard	Mortdale

Gary Stewart

Guard

Waterfall

BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION
(WOMEN)

Carissa James

Area Controller

Sydney Signal Box

NORTHERN DISTRICT (1) Dallas Kriss

Passenger Service
Supervisor

Taree

WESTERN DISTRICT (1) Alf Johnston

Passenger Attendant

Dubbo

SOUTHERN DISTRICT (1) Brian Frankham

Customer Attendant

Wagga Wagga

BRANCH OFFICE HOLDERS

RAIL OPERATIONS DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
<u>SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE</u>			
TRAIN GUARDS SUB DIVISION (2)	Paul Collimore Steven Brown	Guard Guard	Gosford Central
STATION WAGES STAFF SUB DIVISION (excluding CSA Guards) (3)	Ross Symonds Leanne Milne John Steck	Customer Service Attendant Customer Service Attendant	Wynyard Quakers Hill Attendant Parramatta
SIGNALLERS SUB Box DIVISION (2) Box	Jodie Howarth Natalie Rickard	Area Controller Area Controller	Hornsby Signal Homebush Signal
ON BOARD PASSENGER TRAIN SUB DIVISION (2)	Gordon Biggs Vacant Position	Senior Passenger Attendant	Central
TRANSIT OFFICERS WAGES SUB-DIVISION (2)	Danny Perry Jason Schultz	Transit Officer Transit Officer	Central Parramatta
TERMINAL OPERATORS SUB-DIVISION (2)	Jimmy Karnib 1 Vacant Position	Terminal Planner	Chullora

BRANCH DELEGATES TO NATIONAL EXECUTIVE

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Assistant Branch Avenue Secretary 2303	Michael Schmitzer	Union Official	33 Gordon Hamilton NSW
Branch President Street 2000	Alex Claassens	Union Official	Level 4, 321 Pitt Sydney NSW

DELEGATES FROM BRANCH TO NATIONAL COUNCIL

LOCOMOTIVE DIVISION

<u>NAME ADDRESS/DEPOT</u>	<u>OCCUPATION</u>	<u>WORK</u>
Bryan Williamson	Driver	Lithgow
Brett Rosser	Driver	Penrith
Mark Supple	Driver	Morandoo
David Mathie	Driver	PN Chullora

RAIL OPERATIONS DIVISION

Michael Cartwright	Guard	Sydney
Geoff Quinn	Guard	Liverpool
Michael Farhat	Customer Service Team Leader	Central
Ghaleb Nagi	Area Controller	Sydenham Signal Box
Derek Morgan Jones	Area Controller	Sydney Signal Box

FLEET MANUFACTURE, OVERHAUL, SERVICE DIVISION

Diane Seymour Centre	Presentation Service Attendant	Mortdale Maintenance
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INFRASTRUCTURE DIVISION

Ray West	Signal Sectionman	Gosford
Doug Klineberg	Union Official	Level 4, 321 Pitt Street Sydney NSW 2000

ADMINISTRATIVE, SUPERVISORY, TECHNICAL & PROFESSIONAL DIVISION

Terry Missingham	Sales Account Team Leader	Hurstville
Christopher Doyle	Sales Account Team Leader	Central
Sam Nawar	Station Manager	Bankstown

TRAM & BUS DIVISION

Mark Delohery	Senior Bus Operator	Burwood
Kelly Budden	Bus Operator	Hamilton
Daniel Jagers	Shed Driver	Ryde
Narelle Sinclair	Senior Bus Operator	Brookvale

8. 2010 ELECTIONS

The RTBU was due to have elections in November 2010, however, those elections were rescheduled for February 2011 by the Australian Electoral Commission (AEC).

9. Prescribed Information

Number Of Members

As at the 31 December 2010 there were 16,294 financial members of the Rail, Tram & Bus Union (RTBU) NSW Branch across the following Divisions of the union:

DIVISION	FINANCIAL MEMBERS	UNFINANCIAL MEMBERS	TOTAL
Rail Operations	4028	30	4058
Infrastructure	1498	18	1516
Workshops	1041	10	1051
Admin / Professional	2260	24	2284
Locomotive	3364	25	3389
Bus and Tram	4103	83	4196
TOTAL	16,294	190	16,484

Numbers Of Employees

As at the 31 December 2010 the Rail, Tram & Bus Union (RTBU) NSW Branch employed 26 full-time employees and 3 Part-time employee.

POSITION	No. OF EMPLOYEES	
	(Full/Time)	(Part/Time)
Elected Paid Officials	6	0
Offices of the Union	12	1
Office Manager	1	0
Clerical Support	7	2
TOTAL	26	3



Signed 31 March, 2011 by Alexander Claassens in accordance with a resolution of the Committee of Management.

COMMITTEE OF MANAGEMENT STATEMENT

On 31 March , 2011 the Committee of Management of the Australian Rail Tram & Bus Industry Union, New South Wales Branch passed the following resolution in relation to the general purpose financial report (GPFR) of the reporting unit for the financial year ended 31st December, 2010:

- (a) the financial statements and notes comply with the Australian Accounting Standards;
- (b) the financial statements and notes comply with the reporting guidelines of the Industrial Registrar;
- (c) the financial statements and notes give a fair view of the financial performance, financial position and cash flows of the reporting unit for the financial year to which they relate;
- (d) there are reasonable grounds to believe that the reporting unit will be able to pay its debts as and when they become due and payable; and
- (e) during the financial year to which the GPFR relates and since the end of that year:
 - (i) meetings of the committee of management were held in accordance with the rules of the organisation including the rules of a branch concerned; and
 - (ii) the financial affairs of the reporting unit have been managed in accordance with the rules of the organisation including the rules of the branch concerned; and
 - (iii) the financial records of the reporting unit have been kept and maintained in accordance with the RAO Schedule and the RAO Regulations; and
 - (iv) the financial records of the reporting unit have been kept, as far as practicable, in a consistent manner to each of the other reporting units of the organisation; and
 - (v) no further information has been sought in any request of a member of the reporting unit or a Registrar duly made under section 272 of the RAO Schedule; and
 - (vi) no order for inspection of financial records have been made by the Commission under section 273 of the RAO Schedule.
- (f) The Branch has not derived any revenue during the year as a result of any recovery of wages activity it may have undertaken on behalf of members.

Signed 31 March, 2011 by Alexander Claassens in accordance with such resolution as is passed by the committee of management.



**INDEPENDENT AUDIT OPINION
TO THE MEMBERS OF THE AUSTRALIAN RAIL, TRAM & BUS
INDUSTRY UNION NEW SOUTH WALES BRANCH**

Report on the Financial Report

We have audited the accompanying financial report of the Australian Rail, Tram and Bus Industry Union, New South Wales Branch, which comprises the balance sheet as at 31 December 2010, income statements, statement of changes in accumulated funds and statement of cash flows for the year ended on that date, a statement of significant accounting policies and the Committee of Management's Statement.

Committee of Management Responsibility

The Committee of Management are responsible for the preparation and true and fair presentation of the financial report in accordance with the Fair Work (Registered Organisations) Act 2009 and the Industrial Relations Act 1996. This responsibility includes establishing and maintaining internal control relevant to the preparation and fair presentation of the financial report that is free from material misstatement, whether due to fraud or error; selecting and applying appropriate accounting policies; and making accounting estimates that are reasonable in the circumstances

Audit Approach

Our responsibility is to express an opinion on the financial report based on our audit. We conduct our audit in accordance with Australian Auditing Standards. These auditing Standards require that we comply with relevant ethical requirements relating to audit engagements and plan and perform the audit to obtain reasonable assurance whether the financial report is free from misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial report. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial report, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial report in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the directors, as well as evaluating the overall presentation of the financial report.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion

Independence

In conducting our audit, we followed applicable independence requirements of Australian professional ethical pronouncements.

Recovery of Wage Activity

The accompanying notes to the financial statements includes comment that the Union has not derived any revenue during the year as a result of any recovery of wage activity it may have undertaken on behalf of members. Our Audit Opinion has been formed on the basis that no recovery of wage activity was undertaken.

**INDEPENDENT AUDIT OPINION
TO THE MEMBERS OF THE AUSTRALIAN RAIL, TRAM & BUS
INDUSTRY UNION NEW SOUTH WALES BRANCH**

Audit Opinion

For the purposes of the Fair Work (Registered Organisations) Act 2009

In our opinion, the general purpose financial report of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch presents fairly in accordance with applicable Accounting Standards and other mandatory professional reporting requirements in Australia as well as the requirements imposed by the Reporting Guidelines or Part 3 of Chapter 8 of Schedule 1B of the Fair Work (Registered Organisations) Act 2009.

For the purposes of the Industrial Relations Act 1996

We report that we have inspected and audited the accounting records of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch in relation to the year ended 31 December 2010 and report that in our opinion, satisfactory accounting records were kept by the organisation in relation to the period, including:

- (a) (i) records of the sources and nature of the income of the organisation (including income from members); and
- (ii) records of the nature and purpose of the expenditure of the organisation;

in our opinion the accounts and other statements prepared under section 510 of the Industrial Relations Act 1991 in relation to the period were properly drawn up, so as to give a true and fair view of :

- (b) (i) the financial affairs of the organisation as at 31 December 2010; and
- (ii) the income and expenditure and surplus of the organisation for the year ended 31 December 2010;
- (c) (i) all information, being information which was reasonably wanted for the purpose of this audit, which was required and sought by us or by any person authorised by me for the purpose of the audit, was provided.

We also understand that to the extent that there was any deficiency, failure or shortcoming in relation to the matters referred to above, we must report particulars in accord with Section 514 (5) of the Industrial Relations Act.



K J Mangraviti
Ken Mangraviti Pty Ltd
Chartered Accountants

Date: 31 March, 2011

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2010**

GENERAL FUND

	2010	2009
	\$	\$
<u>INCOME</u>		
Dividends Received	29	22
Interest Received	257,766	291,191
Rent Received-Liverpool St	1,821	58,802
Rent Received-Redfern	5,333	11,593
Members Contributions	6,219,899	6,120,486
Book Sales	509	4,305
Account Services Income	18,273	30,545
Federal Award	60,000	—
Other Income	644	5,738
Rail & Road Advert	23,545	18,000
Profit on Sale of Investments	658,213	(4,678)
Loss On Sale Of Motor Vehicle	(4,030)	(10,572)
	<hr/>	<hr/>
	7,242,002	6,525,432
	<hr/>	<hr/>
TOTAL INCOME	7,242,002	6,525,432
<u>LESS EXPENSES INCURRED</u>		
Advertising & Promotion	—	409
Air Fares & Travelling Expenses	83,266	63,694
Audit Fees	38,170	27,970
Auditors Other Services	4,195	—
Body Corporate Fees	142,052	102,533
Bank Charges & F.I.D. etc	22,774	19,929
Branch Council Expenses	12,276	12,251
Commissions	2,311	2,360
Consultants Fees	12,194	75,342
Depreciation	418,774	308,723
Donations, Affiliations Fees & Subscriptions-Industrial Council, Executive Expenses & Lost Time	196,371	166,183
	324,453	386,214
Electricity	52,175	33,200
Organizing Expenses	115,956	83,247
Fringe Benefits Tax	12,510	16,336
General Expenses	75,967	64,688
Hire - Plant and Equipment	7,260	8,442
Honorariums	28,300	25,300
Insurances - General	108,067	49,813
Legal Expenses	79,563	102,544
Motor Vehicle Expenses	86,202	82,881
Members Training	5,216	6,562
Members Mortality Fund	30,000	60,000
National Council Expenses	—	3,080

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2010**

GENERAL FUND

	2010	2009
	\$	\$
Payroll Tax	128,747	128,545
Parking Levy	16,320	20,000
Postage	24,991	32,497
Provision for Employee Entitlements	229,353	(298,436)
Printing, Stationery & Computer Software	188,043	177,511
Publications & Subscriptions	7,140	6,002
Press & Media Consultancy	115,841	88,125
Remuneration-Office Holders	620,391	503,305
Remuneration-Employees/Delegates	1,679,810	1,454,165
Rail & Road Publishing & Other Costs	134,154	147,991
Rates and Taxes	42,276	31,603
Repairs and Maintenance	76,622	102,185
Staff Fares & Travelling	15,007	10,328
Staff Training	18,892	16,012
Staff Uniforms	1,875	3,567
Superannuation	479,923	513,327
Sustentation National Office	797,525	782,920
Telephone	103,259	102,132
Badges & Other Items	63,240	45,583
Political Action Campaign	90,857	62,852
Wollongong Property Expense	2,870	87
	<hr/>	<hr/>
TOTAL EXPENSES INCURRED	6,695,187	5,632,002
	<hr/>	<hr/>
<u>NET INCOME</u>	546,814	893,430
	<hr/> <hr/>	<hr/> <hr/>

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2010**

SUSSEX INLET PARK

	2010	2009
	\$	\$
<u>INCOME</u>		
Interest Received	-	17
Rent Received - Caravans	97,961	84,087
Rent Received - Units	143,487	126,926
Other Income	-	1,127
	<hr/>	<hr/>
	241,448	212,157
TOTAL INCOME	<hr/>	<hr/>
	241,448	212,157
<u>LESS EXPENSES INCURRED</u>		
Consultant Fees	-	6,295
Depreciation	37,259	30,144
Electricity	18,005	19,700
General Expenses	3,641	14,490
Insurances - General	15,937	9,300
Motor Vehicle Expenses	893	839
Printing & Stationary	1,725	2,190
Rates and Taxes	17,386	13,977
Repairs and Maintenance	33,658	16,413
Telephone	2,548	1,464
Sussex Inlet Contract	118,831	104,925
	<hr/>	<hr/>
TOTAL EXPENSES INCURRED	249,883	219,737
<u>NET LOSS</u>	<hr/>	<hr/>
	8,436	7,580

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2010**

BUS & TRAM PICNIC FUND

	2010 \$	2009 \$
<u>INCOME</u>		
Interest Received	-	7
Members Contributions	207,751	179,073
	<hr/>	<hr/>
	207,751	179,080
	<hr/>	<hr/>
TOTAL INCOME	207,751	186,703
<u>LESS EXPENSES INCURRED</u>		
Picnic Expenses	181,421	81,818
	<hr/>	<hr/>
TOTAL EXPENSES INCURRED	181,421	81,818
	<hr/>	<hr/>
<u>NET INCOME</u>	26,329	97,262
	<hr/> <hr/>	<hr/> <hr/>

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT FINANCIAL PERFORMANCE
For the Year Ended 31st December 2010**

BUS & TRAM TRAFFIC FUND

	2010 \$	2009 \$
<u>INCOME</u>		
Interest Received	-	(4)
Members Contributions	195,998	168,970
	<hr/>	<hr/>
	195,998	168,966
TOTAL INCOME	195,998	168,966
<u>LESS EXPENSES INCURRED</u>		
Commission Paid	2,475	2,387
Fines	55,705	69,650
Legal Expenses	3,529	10,497
	<hr/>	<hr/>
TOTAL EXPENSES INCURRED	61,709	82,534
<u>NET INCOME</u>	<hr/> <hr/>	<hr/> <hr/>
	134,289	86,432

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL, TRAM AND BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT OF CHANGES IN EQUITY
As at 31st December 2010**

	Retained Profits	Asset Reval	Total
	\$	\$	\$
Balance 1/1/2009	12,203,685	2,137,621	14,341,306
Surplus/movement for year	1,069,544	1,930,984	3,000,528
Balance 31/12/2009	13,273,229	4,068,605	17,341,834
Surplus/movement for year	698,996	(690,055)	8,941
Balance 31/12/2010	13,972,225	3,378,550	17,350,775

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT OF FINANCIAL POSITION
As at 31st December 2010**

	Note	2010 \$	2009 \$
<u>CURRENT ASSETS</u>			
Cash and Cash Equivalents	3	6,394,226	7,581,373
Receivables	4	246,738	192,301
Other	5	102,583	92,289
		<hr/>	<hr/>
<u>TOTAL CURRENT ASSETS</u>		6,743,547	7,865,962
		<hr/>	<hr/>
<u>NON-CURRENT ASSETS</u>			
Financial Assets	6	1,236	897,916
Property	7	6,840,334	6,403,333
Investment Property	8	3,471,519	1,450,000
Plant & Equipment	9	284,056	294,105
Motor Vehicles	10	160,351	215,492
Sussex Inlet Property & Equip	11	1,042,396	1,064,311
		<hr/>	<hr/>
<u>TOTAL NON-CURRENT ASSETS</u>		11,799,892	10,325,157
		<hr/>	<hr/>
<u>TOTAL ASSETS</u>		18,543,439	18,191,119
		<hr/>	<hr/>
<u>CURRENT LIABILITIES</u>			
Accounts Payable	12	397,709	282,177
Provisions	13	794,957	567,111
		<hr/>	<hr/>
<u>TOTAL CURRENT LIABILITIES</u>		1,192,666	849,288
		<hr/>	<hr/>
<u>TOTAL LIABILITIES</u>		1,192,666	849,288
		<hr/>	<hr/>
<u>NET ASSETS</u>		17,350,775	17,341,834
		<hr/>	<hr/>
<u>ACCUMULATED FUNDS</u>			
Reserves	14	3,378,550	4,068,605
Accumulated Surplus	15	13,972,225	13,273,229
		<hr/>	<hr/>
<u>ACCUMULATED FUNDS</u>		17,350,775	17,341,834
		<hr/>	<hr/>

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT OF CASH FLOWS
For the Year Ended 31st December 2010**

	This Year Inflows (Outflows)	Last Year Inflows (Outflows)
CASH FLOW FROM OPERATING ACTIVITIES		
Receipts from members	6,397,973	6,397,973
Payments to suppliers and employees	(6,059,072)	(6,059,072)
Dividends received	22	22
Interest received	291,212	291,212
Rent received	281,406	281,406
Receipts - other	59,716	59,716
Net cash provided by (used in) operating activities (Note 2)	971,257	971,257
CASH FLOW FROM INVESTING ACTIVITIES		
Payment for assets	(271,628)	(271,628)
Net cash provided by (used in) investing activities	(271,628)	(271,628)
Net increase (decrease) in cash held	699,629	699,629
Cash at beginning of year	7,778,434	7,778,434
Cash at end of year (Note 1)	8,478,063	8,478,063

NOTES TO THE STATEMENT OF CASH FLOWS

Note 1: Reconciliation of Cash

Cash at the end of the financial year as shown in the Statement of Cash Flows is reconciled to the related items in the statement of financial position as follows:

Cash at Bank and on Deposit	8,478,063	8,478,063
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The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**STATEMENT OF CASH FLOWS
For the Year Ended 31st December 2010**

	This Year Inflows (Outflows)	Last Year Inflows (Outflows)
Note 2: Reconciliation of Cash Flow from Operations with profit from ordinary activities after income tax		
Profit from ordinary activities after income tax	1,069,544	1,069,544
Depreciation	338,867	338,867
Sale assets	15,250	15,250
Change in assets and liabilities		
(Increase)/Decrease in receivables	(70,536)	(70,536)
(Increase)/Decrease in prepaid expenses	(48,269)	(48,269)
Increase/(Decrease) in creditors	(35,163)	(35,163)
Increase/(Decrease) in provision for employee benefits	(298,436)	(298,436)
Cash flows from operations	<u>971,257</u>	<u>971,257</u>

The accompanying notes form part of these financial statements

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

NOTES TO THE FINANCIAL STATEMENTS For the Year Ended 31st December 2010

NOTE 1. STATEMENT OF SIGNIFICANT ACCOUNTING POLICIES

The financial report is a general purpose financial report that has been prepared in accordance with applicable Accounting Standards, including Australian Equivalents to International Financial Reporting Standards (AIFRS), other mandatory professional reporting requirements, the Fair Work (Registered Organisations) Act 2009 and the Industrial Relations Act, 1996.

The financial report is prepared on an accruals basis and is based on historic costs and does not take into account changing money values or, except where specifically stated, current valuations of non-current assets.

The following specific accounting policies, which are consistent with the previous period unless otherwise stated, have been adopted in the preparation of this report:

(a) Property, Plant & Equipment.

Each class of property, plant and equipment is carried at cost or at fair value less, where applicable any accumulated depreciation.

Plant and equipment

Plant and equipment are included at cost. The carrying amount is reviewed annually by the Committee of Management to ensure it is not in excess of recoverable amount.

Property for own use

Freehold land and buildings are measured on the fair value basis, being the amount for which an asset could be exchanged between knowledgeable willing parties in an arms length transaction. It is the policy of the entity to have periodic independent valuations, with annual appraisals being made by directors. The last independent valuations were carried out between 13 October 2009 and 19 November 2009.

Investment property

Property held to earn rental income is separately disclosed from property held for own use. This is a change in accounting policy from the previous year and has been retrospectively applied.

Investment properties are measured on the fair value basis.

As with property held for own use, it is the policy of the entity to have periodic independent valuations, with annual appraisals being made by directors. The last independent valuation of Investment properties was as at 28 September 2009.

The change in fair value of investment properties are recorded in the income statement. No depreciation is provided where the fair value basis is used.

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2010**

(b) Income Tax

No provision for income tax is necessary, as "Trade Unions" are exempt from income tax under Section 50 -15 of the Income Tax Assessment Act 1997.

(c) Employee Entitlements

Provision for employee entitlements in the form of Long Service Leave and Accrued Annual Leave has been made for the estimated accrued entitlement of all employees on the basis of their terms of employment. In the case of Long Service Leave, the accrual has been measured by reference to periods of service and current salary rates as it is considered that this results in an amount not materially different to that achieved by discounting estimated future cash flows.

(d) Defined Superannuation Schemes

In respect to defined benefit plans, the cost of providing the benefits is determined using the projected unit cost method. Actuarial calculations are conducted by State Super. The amount recognised in the Statement of Financial Position represents the present value of the defined benefits obligations adjusted for any unrecognised actuarial gains and losses and unrecognised past service costs less the fair value of the plan's assets. The unions has defined benefit obligations for members participating in the State Authorities Superannuation Scheme and the State Authorities Non- Contributory Superannuation Scheme. All Schemes are closed to new members.

(e) Contributions

Only contributions received are brought to account. Contribution received subsequent to year end, but relating to prior to year end are brought to account as receivables.

	2010	2009
	\$	\$
Note 2: OPERATING SURPLUS		
Operating Profit	698,996	1,069,544
Operating Surplus for the year comprises _		
General Fund	546,814	893,430
Sussex Inlet Park	(8,436)	(7,580)
Bus & Tram Picnic Fund	26,329	97,262
Bus & Tram Traffic Fund	134,289	86,432
	<u>698,996</u>	<u>1,069,544</u>

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2010**

	2010	2009
	\$	\$
Note 3: CASH AND CASH EQUIVALENTS		
Cash on Hand	5,393	5,393
Cash at bank and on deposit	6,388,833	7,575,980
	<u>6,394,226</u>	<u>7,581,373</u>
Note 4: RECEIVABLES		
Trade Debtors	230,547	177,238
Receivables	16,191	15,064
	<u>246,738</u>	<u>192,301</u>
Note 5: OTHER CURRENT ASSETS		
Prepayments	102,583	92,289
	<u>102,583</u>	<u>92,289</u>
Note 6: FINANCIAL ASSETS		
Listed Shares	1,216	1,216
Cash at bank and on deposit	—	896,690
Share Encompass CU-S30 Emoney ac	20	10
	<u>1,236</u>	<u>897,916</u>
Note 7: PROPERTY		
Sydney-L4/Pitt St-independent valuation	3,275,000	3,275,000
Sydney-L4/Pitt St improvement - cost	432,047	—
Redfern - independent valuation	2,543,333	2,543,333
Redfern - improvements at cost	178,611	—
Wollongong	285,000	285,000
Hamilton	300,000	300,000
Deduct Prov'n for Depreciation	(173,657)	—
	<u>6,840,334</u>	<u>6,403,333</u>
Note 8: INVESTMENT PROPERTY		
Strata Property-L'pl St Syd-independent valuation	—	1,450,000
Sydney-L3/Pitt St - at cost	3,471,519	—
	<u>3,471,519</u>	<u>1,450,000</u>

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2010**

	2010	2009	
	\$	\$	
Note 9: PLANT & EQUIPMENT			
Pitt St-Office Equip etc	645,297	623,381	
Pitt St Computer Hardware	316,663	283,354	
Pitt St - Computer Software	42,413	42,413	
Loco Division-Carpet & Air	—	—	
Bus & Tram Division-Office equipment	287,284	282,151	
Bus & Tram Division-Computer	30,523	30,523	
Newcastle-Air Conditioner	1,540	1,540	
Deduct Prov'n for Depreciation	(1,039,664)	(969,257)	
	<u>284,056</u>	<u>294,105</u>	
Note 10: MOTOR VEHICLES			
Motor Vehicles	369,749	367,548	
Deduct Prov'n for Depreciation	(209,397)	(152,056)	
	<u>160,351</u>	<u>215,492</u>	
Note 11: SUSSEX INLET PROPERTY & EQUIP			
Leasehold Property(at valuation)	937,500	937,500	
Furniture	138,942	123,665	
Plant & Equipment	50,000	50,000	
Motor Vehicle	21,168	21,168	
Deduct Prov'n for Depreciation	(105,214)	(68,022)	
	<u>1,042,396</u>	<u>1,064,311</u>	
Note 12: ACCOUNTS PAYABLE			
Accounts Payable	340,166	203,444	
Other payable	57,543	78,733	
	<u>397,709</u>	<u>282,177</u>	
Note 13: PROVISIONS			
Provision for Annual Leave	393,079	247,141	
Provision for Long Service Leave	347,661	265,657	
Provision Retirement Benefits	54,216	54,313	
	<u>794,957</u>	<u>567,111</u>	
Movements during the year -			
	Annual	Long	Retirement
	leave	Service	benefits
	\$	\$	\$
Balance beginning year	247,141	265,657	54,313
Increase(Decrease) in provision	145,938	82,004	(97)
	<u>393,079</u>	<u>347,661</u>	<u>54,216</u>

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2010**

	2010	2009
	\$	\$
Note 14: RESERVES		
Asset Revaluation Reserve	<u>3,378,550</u>	<u>4,068,605</u>
Note 15: ACCUMULATED FUNDS		
Unappropriated Profit B-Fwd	<u>13,972,225</u>	<u>13,273,229</u>

Note 16: RETIREMENT BENEFITS OBLIGATIONS

The economic entity participated in an employer sponsored defined benefits plan during the year. The benefit provided by the plan is based on the length of service of the member at retirement. Employees contribute various percentages of their gross income and the union may contribute up to 4.5 times the employees final average salary at retirement depending on the length of membership and the employees own contributions.

Reconciliation of the present value of the defined benefit obligation -

	2010	2009
	\$	\$
Present value at the beginning of year	2,180,011	1,397,427
Current service cost	18,477	15,503
Interest cost	122,668	55,273
Contributions by funds participants	14,751	7,048
Actuarial losses	284,675	346,987
Benefits paid	<u>(58,481)</u>	<u>357,772</u>
Present value at the end of the year	<u>2,562,101</u>	<u>2,180,011</u>
Fair value at the beginning of the year	2,125,698	1,014,575
Expected return on fund assets	178,502	81,186
Actuarial gain	(29,768)	344,647
Employer contributions	277,183	320,470
Contribution by funds participant	14,751	7,048
Benefits (paid)transferred in	<u>(58,481)</u>	<u>357,772</u>
Fair value at the end of the year	<u>2,507,885</u>	<u>2,125,698</u>

Reconciliation of assets and liabilities recognised in the Statement of Financial Position -

Present value of funded defined benefit obligations	2,562,101	2,180,011
Fair value of fund assets at end of year	<u>(2,507,885)</u>	<u>(2,125,698)</u>
Net Liability recognised in the Statement of Financial Position	<u>54,216</u>	<u>54,313</u>

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2010**

The percentage of the Funds assets invested in each asset class at 31 December

	2010	2009
	%	%
Australian equities	33.7	33.1
Overseas equities	29.3	26.8
Australian fixed interest	5.7	5.8
Overseas fixed interest	2.9	4.1
Property	9.5	9.2
Cash	6.1	7.8
Other	12.8	13.2

All fund assets are invested by STC at arm's length through independent fund managers.

Note 18: FINANCIAL INSTRUMENTS
Interest Rate Risk

The Branch's exposure to interest rate risk and the effective average interest rate for each class of financial assets and financial liabilities are set out below.

	Floating interest rate	Fixed		Non Interest	Total
		1 year	Over 1-5		
	\$	\$	\$	\$	\$
31 December 2009					
Cash	4,303,178	3,272,801	896,690	5,394	8,478,063
Financial Assets	-	-	-	1,226	1,226
	<u>4,303,178</u>	<u>3,272,801</u>	<u>896,690</u>	<u>6,620</u>	<u>8,479,289</u>
31 December 2010					
Cash	1,973,685	4,420,561	-	-	6,394,246
Financial Assets	-	-	-	1,216	1,216
	<u>1,973,685</u>	<u>4,420,561</u>	<u>-</u>	<u>1,216</u>	<u>6,395,462</u>

Weighted average interest rate 4%

Credit Risk Exposure

Credit risk is the risk that counter parties to a financial asset will fail to discharge their obligations, causing the Branch to incur a financial loss.

The credit risk exposure of the Branch to financial assets which have been recognised in the Statement of Financial Position is generally the carrying amounts.

The carrying amounts of cash and investments approximate net fair values.

AUSTRALIAN RAIL TRAM & BUS INDUSTRY UNION

NEW SOUTH WALES BRANCH

**NOTES TO THE FINANCIAL STATEMENTS
For the Year Ended 31st December 2010**

Note 17: PROVIDING INFORMATION

In accordance with the requirements of the Workplace Relations Act, 1996, the attention of members is drawn to the provisions of Section 272 of Schedule 1B which reads as follows-

- (1) A member of an organisation, or a Registrar, may apply to the reporting unit for specified prescribed information in relation to the reporting unit to be made available to the person making the application.
- (2) The application must be in writing and must specify the period within, and the manner in which, the information is to be made available. The period must not be less than 14 days after the application is given to the reporting unit.
- (3) A reporting unit must comply with an application made under (1) above.

In accordance with the requirements of the Industrial Relations Act, 1991 the attention of members is drawn to the provisions of Section 512 which reads as follows-

- (1) A member of an organisation, or the Industrial Registrar, may apply to the organisation for specified information prescribed by the regulations in relation to the organisation.
- (2) An organisation must, on the making of such an application, make the specified information available to the member or the Industrial Registrar in the manner, and within the time prescribed by the regulations.

Note 18: REGISTERED OFFICE

The registered office and principle place of business of the Branch is :

Level 4/321 Pitt St
Sydney
NSW 2000

CONCISE
REPORT

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Publications

The RTBU produces a range of publications for members in the Rail, Tram and Bus industries. These include newsletters, journals, resources, campaign materials and research reports.

From time to time the RTBU also develops publications for specific campaigns or actions the RTBU and its members are involved in such as collective agreement negotiations with employers or for campaigns which involve RTBU members.

Specific publications and information can be easily found by "*Category*" and "*Type*" using the handy search tool.

- [Holiday Bookings](#)
- [Bus & Tram Division](#)
- [Infrastructure](#)
- [Operations](#)
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- 

RTBU Media Releases

Click on the link to view the latest media releases

RTBU Reports and Submissions

The RTBU develops a range of reports and submissions to various government and industry bodies on major developments in the rail, tram and bus industries » more

NSW Branch Operating Report

Audited Report of NSW Operations and Finances, 2010 » more

RTBU Brochures and Fliers

The RTBU produces a range of brochures and campaign material around key issues » more

Research Reports

The RTBU has always actively participated in policy formulation and debate and has commissioned or prepared a number of major research reports on aspects of our industry » more

RTBU Media Releases

Media releases issued by the RTBU, or responses to media coverage » more

RTBU Member Bulletins

Member's Bulletins are distributed regularly to inform members of current developments across all sectors of the industry » more

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NSW Branch Operating Report

Audited Report of NSW Operations and Finances, 2010

To download a copy of the NSW Operating Report click on the link

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OPERATING REPORT

THE RAIL TRAM & BUS UNION NSW BRANCH

Prepared: 31 March, 2011

1. SCOPE OF OPERATING REPORT

This Operating Report covers the:

1. Activities of the Rail, Tram & Bus Union (RTBU) NSW Branch, and results of those activities for the calendar year 1 January 2009 to 31 December 2010;
2. Significant changes in the nature of the activities as required under Fair Work(Registered Organisations) Act 2009.
3. Details of any significant changes in financial affairs.
4. Details of the rights of members to resign
5. Details of officers and members who hold positions as trustees or company officers.
6. Members of the committee of management
7. 2010 Union Elections
8. Number of members and number of employees

2. THE PRINCIPAL ACTIVITIES & RESULTS OF THE ACTIVITIES OF THE BRANCH

The RTBU (NSW) is a member based, federally register trade union representing members whose usual place of work is located within the State of New South Wales (NSW) and the Australian Capital Territory (ACT) together with members employed rail, tram (light rail) and government owned and operated bus industries.

The reporting unit's activities are directed by the NSW Branch Council in accordance with its objectives and rules of registration.

The principal activities and the results of these activities fell into the following categories:

ACTIVITIES	2010 RESULTS
1. Making agreements with employers.	<ul style="list-style-type: none">• 52 Federally registered Agreements• 2 NSW State Awards (State Transit)
2. Implementation of Branch Council's agenda, including providing assistance and strategic advice to individual members in relation to workplace issues.	<ul style="list-style-type: none">• Received application for admittance of 1433 new members (Bus and Rail Division).
3. Representing members in tribunals and related institutions.	<ul style="list-style-type: none">• 46 appeals before NSW Transport Appeals Board (TAB) (215 Rail Division & 9 Bus Division)
4. Electing representatives to peak trade union bodies in NSW.	<ul style="list-style-type: none">• 5 delegates and alternate delegates (Rail Division) and 2 delegates (Bus Division) 2 delegates South Coast Labor Council nominated to Unions NSW.•

5. Attending meetings called by peak union bodies in NSW.	<ul style="list-style-type: none"> • Attend approximately 35 Unions NSW General meetings. • 20 UnionsNSW Executive meetings • 6 UnionsNSW OHS & WC meetings • 6 South Coast Labour Council meetings
6. Making submissions on behalf of the branch to inquiries and proceedings affecting the industry.	<ul style="list-style-type: none"> • 16 submission were made in 2010.
7. Training delegates & representatives.	<ul style="list-style-type: none"> • 9 delegates training courses were conducted within the Branch in 2010 • 106 workplace delegates were trained.
8. Produced and distributed circulars, bulletins, newsletters and materials as authorised by the union.	<ul style="list-style-type: none"> • Produced and distributed: <ul style="list-style-type: none"> - 4 copies of union journal <i>Rail & Road</i>; - 51 Branch membership bulletins - Rail Operations – Guards - 4 Blue Light newsletters - Locomotive Division: <ul style="list-style-type: none"> - 13 Footplates - 60 circulars - Tram and Bus – 3 Depot News -

3. ANY SIGNIFICANT CHANGES IN ACTIVITIES

There were no rule changes certified in 2010

4. DETAILS OF ANY SIGNIFICANT CHANGES IN FINANCIAL AFFAIRS

Significant changes in the Rail, Tram & Bus Union (RTBU) NSW Branch financial affairs (See **ATTACHMENT A: FINANCIAL REPORT**) included:

- Total assets and accumulated funds remained relatively stable for the operating year to 31 December 2010; with following variations
- a decrease in current assets of \$1,122,415 for the operating year to 31 December 2010;
- an increase in total income of \$782,558 for the operating year to 31 December 2010;
- an increase in total expenses of \$1,153,106 for the operating year to 31 December 2010; and
- increase in union fees:
 - There were no changes in membership fees for the reporting period.

Other Relevant Information

- The Rail, Tram & Bus Union (RTBU) NSW Branch also managed the RTBU Holiday Park at Jervis Bay on behalf of the union and its members. (See **ATTACHMENT A: FINANCIAL REPORT**).

5. DETAILS OF THE RIGHTS OF MEMBERS TO RESIGN AFFAIRS

All members of the RTBU (NSW) have the right to resign from the union in accordance with **Rule 14, Resignation from Membership**, of the Rules of the Rail Tram and Bus Industry Union as set out below:

14 - RESIGNATION FROM MEMBERSHIP

- (1) *A member may resign from membership of the Union by written notice addressed and delivered to the Secretary of his/her Branch.*
- (2) *A notice of resignation from membership of the Union takes effect:-*
 - (a) *where the member ceases to be eligible to become a member of the Union:-*
 - (i) *on the day on which the notice is received by the Union; or*
 - (ii) *on the day specified in the notice, which is a day not earlier than the day when the member ceases to be eligible to become a member;**whichever is later; or*
 - (b) *In any other case:-*
 - (i) *at the end of two weeks; or*
 - (ii) *on the day specified in the notice;**whichever is later.*
- (3) *Any subscriptions, fees, fines and levies owing but not paid by a former member of the Union in relation to a period before the member's resignation took effect, may be sued for and recovered in the name of the Union in a Court of competent jurisdiction, as a debt due to the Union.*
- (4) *A notice delivered to the Branch Secretary shall be deemed to have been received by the Union when it was delivered.*
- (5) *A notice of resignation that has been received by the Union is not invalid because it was not addressed and delivered to the Branch Secretary.*
- (6) *A resignation from membership of the Union is valid even if it is not effected in accordance with this Rule, if the member is informed in writing by or on behalf of the Union that the resignation has been accepted.*

6. DETAILS OF OFFICERS AND MEMBERS WHO HOLD POSITIONS AS TRUSTEES OR COMPANY DIRECTORS

The following members hold position(s) as trustees or company directors:

OFFICIAL	ORGANISATION
Nick Lewocki	<ul style="list-style-type: none">• Employee Representative appointed to the Trustee Board of the State Trustee Corporation• a Director of the Trades Hall Association• a Director of the Transport and Logistics Centre (TALC)
Alex Claassens	<ul style="list-style-type: none">• a Director of Encompass Credit Union

7. MEMBERS OF THE COMMITTEE OF MANAGEMENT

The members of Branch Council during the year ending 31 December 2010 were:

THE BRANCH OFFICES

Branch President

Senior Branch Vice-President

Junior Branch Vice-President

Branch Secretary

Assistant Branch Secretary

Branch Organisers

Branch Divisional Presidents

Branch Divisional Vice-Presidents

Branch Divisional Secretaries

Assistant Branch Divisional Secretary, Locomotive Division

Branch Divisional Delegates to Branch Council

Sub Divisional Representatives on Branch Divisional Committee

The Registered Office of the Australian Rail, Tram & Bus Industry Union is:

Level 4, 321 Pitt Street
SYDNEY NSW 2000

BRANCH OFFICE HOLDERS

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>WORK</u>
Branch President	Phillip Kessey	Level 4, 321 Pitt Street SYDNEY NSW 2000
Branch Secretary	Alex Claassens	Level 4, 321 Pitt Street SYDNEY NSW 2000
Assistant Branch Secretary	Michael Schmitzer	33 Gordon Avenue HAMILTON NSW 2303
Branch Organiser	Phillip Kessey	Level 4, 321 Pitt Street SYDNEY NSW 2000

Branch Organiser	Doug Klineberg	Level 4, 321 Pitt Street SYDNEY NSW 2000
Senior Branch Vice-President	Phillip Kessey	Level 4, 321 Pitt Street SYDNEY NSW 2000
Junior Branch Vice-President	Raul Baonza	83-89 Renwick Street REDFERN NSW 2016

BRANCH OFFICE HOLDERS
LOCOMOTIVE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Brian Glover	Driver	Hunter Valley
Branch Divisional Senior Vice-President	Brett Rosser	Driver	Penrith
Branch Divisional Junior Vice-President	Peter Bull	Driver	Gosford
Branch Divisional Secretary	Robert Hayden	Union Official	Level 4, 321 Pitt Street SYDNEY NSW 2000
Assistant Branch Divisional Secretary	Robert Newham	Driver	Campbelltown

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

Metropolitan District (3)	Paul Foster	Driver	Flemington
	Shan Rathinam	Driver	Central
South	Ian Wyllie	Driver	PN Port Kembla
Northern District (1)	Russell Hendy	Driver	Brisbane

Western District (1)	Peter Anthony	Driver	PN Broken Hill
Southern District (1) Highlands	Mark Hall	Driver	PN Southern

BRANCH OFFICE HOLDERS

LOCOMOTIVE DIVISION

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
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SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

RAILCORP ETR GROUP REGION 1 (1)	Andrew Holt	Driver	Blacktown
RAILCORP ETR GROUP Flemington REGION 2 (1)	Jeff King	Driver	
RAILCORP ETR GROUP REGION 3 (2)	Mark McClymont	Driver	Cronulla
INTERCITY GROUP (1) Wollongong	Mark Hagan	Driver	Central
INTERCITY GROUP (1) Wollongong	John Aquilina	Driver	
COUNTRYLINK GROUP (1)	Craig Stevens	Driver	Sydney
PACIFIC NATIONAL GROUP Chullora METROPOLITAN REGION (1)	Arthur Pollard	Driver	PN
PACIFIC NATIONAL GROUP NORTH WEST REGION (2) Creek	Peter Munday Nicholas Punch	Driver Driver	Taree Werris
PACIFIC NATIONAL GROUP SOUTH WEST REGION (1)	Bruce Wooten	Driver	June
INTERAIL AUSTRALIA PTY LTD GROUP (1)	Alf Hayne	Driver	Grafton
FREIGHT AUSTRALIA GROUP (1)	Kevin Pryor	Driver	Clyde
SOUTHERN SILVERTON GROUP (1)	Michael Ferris	Driver	Narromine

INDEPENDENT RAILWAYS OF AUSTRALIA GROUP (1)	Ian Hogg	Driver	Sydney
EL ZORRO RAIL GROUP (1)	Greg Hayes	Driver	Sydney
SKILLED GROUP (1)	Vacant Position		
PERISHER BLUE GROUP (1)	Vacant Position		
MANILDRA GROUP (1)	Vacant Position		
PATRICK RAIL GROUP (1)	Vacant Position		
MOMENTUM GROUP (1)	Vacant Position		
PATRICK RAIL GROUP (1)	Vacant Position		
ARG GROUP (1)	Vacant Position		
SOUTHERN SHORTHHAUL GROUP (1)	Vacant Position		

BRANCH OFFICE HOLDERS
TRAM & BUS DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Darryl Malone	Union Official	83-89 Renwick Street REDFERN NSW 2016
Branch Divisional Vice-President	Kelly Budden	Bus Operator	Hamilton
Branch Divisional Secretary	Raul Baonza	Union Official	83-89 Renwick Street REDFERN NSW 2016

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

Mark Delohery	Senior Bus Operator	Burwood
Wendy Wirth	Senior Bus Operator	Waverley
Chris Preston	Senior Bus Operator	North Sydney

Steven Giardullo	Senior Bus Operator	Port Botany
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David Woollams	Senior Bus Operator	Hamilton
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BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION (WOMEN)

Leanne Holmes	Bus Operator	Hamilton
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BRANCH OFFICE HOLDERS

TRAM & BUS DIVISION

OFFICE ADDRESS/DEPOT **NAME** **OCCUPATION** **WORK**
SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

BELMONT (1)	Craig Malone	Shed Driver	Belmont
BROOKVALE (1)	Narelle Sinclair	Senior Bus Operator	Brookvale
BURWOOD (1)	Mark Delohery	Senior Bus Operator	Burwood
HAMILTON (1)	David Woollams	Senior Bus Operator	Hamilton
KINGSGROVE (1)	Vijay Sharma	Senior Bus Operator	Kingsgrove
LEICHHARDT (1)	Darren Walsh	Senior Bus Operator	Leichhardt
MONA VALE (1)	Matthew Compton	Senior Bus Operator	Mona Vale
NORTH SYDNEY (1)	Christopher Preston	Senior Bus Operator	North Sydney
PORT BOTANY (1)	Steve Giardullo	Senior Bus Operator	Port Botany
RANDWICK (1)	Bruce Eddy	Bus Operator	Randwick

RYDE (1)	Daniel Jagers	Shed Driver	Ryde
WAVERLEY (1)	Wendy Wirth	Senior Bus Operator	Waverley
WILLOUGHBY (1)	Gary Way	Senior Bus Operator	Willoughby
BONNYRIGG (Western Sydney Buses)	1 Vacant Position		
PYRMONT (Connex Sydney Light Rail)	1 Vacant Position		

BRANCH OFFICE HOLDERS

INFRASTRUCTURE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Tarvinder Panesary	Infrastructure Worker 1 B (1)	Sydenham
Branch Divisional Vice-President	Mohammad Obeid	Infrastructure Worker 2 A	Belmore
Branch Divisional Secretary	Ray West	Signal Sectionman	Gosford

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN DISTRICT (1)	James Buckley	Infrastructure Worker 1 C	Clyde
NORTHERN DISTRICT (1) Tamworth	Tony Read	Infrastructure Track Worker	ARTC
NORTH COAST DISTRICT (1) Grafton	Anestasi Bouboulas	Infrastructure Worker 1 A	ARTC

WESTERN DISTRICT (1) Dubbo	Andrew Willetts	Infrastructure Worker 1 A	ARTC
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SOUTHERN DISTRICT (1) ARTC Goulburn	Michael Tapper	Infrastructure Worker 1 A	
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BRANCH OFFICE HOLDERS

INFRASTRUCTURE DIVISION

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
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SUB DIVISIONAL REPRESENTATIVES TO BRANCH DIVISIONAL COMMITTEE

METROPOLITAN DISTRICT GROUP PERWAY (4)	Steven Bradley	Infrastructure Worker 1 C (IV)	Blacktown
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	Kerry Williams	Infrastructure Worker 1 A	Clyde
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Heights	Peter Thelander	Infrastructure/Track Worker	Valley
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1 Vacant Position

METROPLITAN DISTRICT GROUP SIGNALS (1)	Michael Sullivan	Signal Sectionman	Hornsby
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METROPOLITAN DISTRICT GROUP ELECTRICAL (1)	Alan Cameron	Infrastructure Worker 1 C	Hurstville
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NORTHERN DISTRICT Support GROUP (1) P/L	Ken Aldridge	Signals Team Leader	Signal Services
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NORTH COAST	Anestasi Bouboulas	Infrastructure Worker	
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DISTRICT Grafton GROUP (1)		1 A	ARTC
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WESTERN DISTRICT (1) Dubbo	Andrew Willetts	Infrastructure Worker 1 A	ARTC
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SOUTHERN DISTRICT GROUP (1) Goulburn	Michael Tapper	Infrastructure Worker 1 A	ARTC
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BRANCH OFFICE HOLDERS

ADMINISTRATIVE, SUPERVISORY, TECHNICAL & PROFESSIONAL DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President	Chris Doyle	Sales Account Team Leader	Central
Branch Divisional Vice-President	Mark Thompson	Station Manager	Richmond
Branch Divisional Secretary	Sam Nawar	Station Manager	Bankstown

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN DISTRICT (3)	Terry Missingham	Sales Account Team Leader	Hurstville
	Neil Munro	C&A Officer	Central
	Ling Chang	Senior Officer	Sydney
NORTHERN DISTRICT (1) Waratah	Greg Cameron	Asset Co-Ordinator	Port

WESTERN & SOUTHERN Graham Besley C& A Officer Wagga
Wagga
DISTRICTS (1)

STATE TRANSIT AUTHORITY
(1) Earl Donaldson Clerk Special Grade
Willoughby

SYDNEY FERRIES CORPORATION (1) Vacant Position

BRANCH OFFICE HOLDERS

**ADMINISTRATIVE, SUPERVISORY, TECHNICAL AND PROFESSIONAL
DIVISION**

**OFFICE NAME OCCUPATION WORK
ADDRESS/DEPOT
SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE**

METROPOLITAN DISTRICT GROUP (7)	Neville Mitchell	Senior Rostering Officer	Sydney
	Bob Barker	Payroll Officer	Lee Street
	Gary Teasel	FreightCorp Officer	Parramatta
	Terry Missingham	Sales Account Team Leader	Hurstville
	Eddy Daher	Station Manager	Rockdale
	Tony Hammoud	Station Manager	Blacktown
	Michael Maskell	C&A Officer	Sydney
NORTHERN DISTRICT GROUP (1)	Paul Casey	Station Manager	Maitland
WESTERN DISTRICT GROUP (1)	1 Vacant Position		

SOUTHERN DISTRICT Graham Besley C&A Officer Wagga
 Wagga
 GROUP (1)

BRANCH OFFICE HOLDERS

FLEET MANUFACTURE, OVERHAUL, MAINTENANCE & SERVICE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional President Maintenance Centre	Sean Roser	Engineering Operator	Hornsby
Branch Divisional Maintenance Vice-President	Paul Ciano	Engineering Operator	Flemington Centre
Branch Divisional Secretary Maintenance Centre	Mick Bartlett	Presentation Service	Hornsby Attendant

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN DISTRICT (2)	Ismail Haywood	Presentation Service Attendant	Central
Maintenance	Ida-Skye Morrison	Presentation Service Attendant	Hornsby Centre
NORTHERN, WESTERN & SOUTHERN DISTRICTS (1)			
Workshops	Clarence Edwards	Engineering Operator	Bathurst

BRANCH OFFICE HOLDERS

FLEET MANUFACTURE, OVERHAUL, SERVICE DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
<u>SUB-DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE</u>			
METROPOLITAN DISTRICT GROUP (4)	Alan Jennings	Roving Cleaner	Central

(including 1 Bus Engineering Representative)	Bruce Windmill	Engineering Repair Operator	Ryde
Maintenance Centre	Michael Malek	Anderson Machine Operator	Flemington
Maintenance Centre	Colin Williams	Presentation Service Attendant	Flemington
NORTHERN DISTRICT GROUP (1)	1 Vacant Position		
WESTERN DISTRICT Workshops GROUP (1)	Clarence Edwards	Engineering Operator	Bathurst
SOUTHERN DISTRICT GROUP (1)	Vacant Position		

BRANCH OFFICE HOLDERS

RAIL OPERATIONS DIVISION

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
Branch Divisional Box President	Derek Morgan Jones	Area Controller	Sydney Signal
Branch Divisional Vice-President	Michael Sullivan	Guard	Mortdale
Branch Divisional Secretary	Joanne McCallum	Customer Service Attendant	Tuggerah

BRANCH DIVISIONAL DELEGATES TO BRANCH COUNCIL FROM:

METROPOLITAN Box DISTRICT (5)	Natalie Rickard	Area Controller	Homebush Signal
	Hapi Arapata	Customer Service Attendant	West Ryde
	Geoff Quinn	Guard	Liverpool
	Michael Sullivan	Guard	Mortdale

Gary Stewart

Guard

Waterfall

BRANCH DIVISIONAL DELEGATE TO BRANCH COUNCIL AFFIRMATIVE ACTION
(WOMEN)

Carissa James

Area Controller

Sydney Signal Box

NORTHERN DISTRICT (1) Dallas Kriss

Passenger Service
Supervisor

Taree

WESTERN DISTRICT (1) Alf Johnston

Passenger Attendant

Dubbo

SOUTHERN DISTRICT (1) Brian Frankham

Customer Attendant

Wagga Wagga

BRANCH OFFICE HOLDERS

RAIL OPERATIONS DIVISION

<u>OFFICE</u> <u>ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>
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SUB DIVISIONAL REPRESENTATIVES ON BRANCH DIVISIONAL COMMITTEE

TRAIN GUARDS SUB DIVISION (2)	Paul Collimore Steven Brown	Guard Guard	Gosford Central
STATION WAGES STAFF SUB DIVISION (excluding CSA Guards) (3)	Ross Symonds Leanne Milne John Steck	Customer Service Attendant Customer Service Attendant	Wynyard Quakers Hill Attendant Parramatta
SIGNALLERS SUB Box DIVISION (2) Box	Jodie Howarth Natalie Rickard	Area Controller Area Controller	Hornsby Signal Homebush Signal
ON BOARD PASSENGER TRAIN SUB DIVISION (2)	Gordon Biggs Vacant Position	Senior Passenger Attendant	Central
TRANSIT OFFICERS WAGES SUB-DIVISION (2)	Danny Perry Jason Schultz	Transit Officer Transit Officer	Central Parramatta
TERMINAL OPERATORS SUB-DIVISION (2)	Jimmy Karnib 1 Vacant Position	Terminal Planner	Chullora

BRANCH DELEGATES TO NATIONAL EXECUTIVE

<u>OFFICE ADDRESS/DEPOT</u>	<u>NAME</u>	<u>OCCUPATION</u>	<u>WORK</u>	
Assistant Branch Avenue Secretary 2303	Michael Schmitzer	Union Official	33	Gordon
Branch President Street 2000	Alex Claassens	Union Official	Level 4, 321 Pitt Sydney	NSW NSW

DELEGATES FROM BRANCH TO NATIONAL COUNCIL

LOCOMOTIVE DIVISION

<u>NAME ADDRESS/DEPOT</u>	<u>OCCUPATION</u>	<u>WORK</u>
Bryan Williamson	Driver	Lithgow
Brett Rosser	Driver	Penrith
Mark Supple	Driver	Morandoo
David Mathie	Driver	PN Chullora

RAIL OPERATIONS DIVISION

Michael Cartwright	Guard	Sydney
Geoff Quinn	Guard	Liverpool
Michael Farhat	Customer Service Team Leader	Central
Ghaleb Nagi	Area Controller	Sydenham Signal Box
Derek Morgan Jones	Area Controller	Sydney Signal Box

FLEET MANUFACTURE, OVERHAUL, SERVICE DIVISION

Diane Seymour Centre	Presentation Service Attendant	Mortdale	Maintenance
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INFRASTRUCTURE DIVISION

Ray West	Signal Sectionman	Gosford
Doug Klineberg	Union Official	Level 4, 321 Pitt Street Sydney NSW 2000

ADMINISTRATIVE, SUPERVISORY, TECHNICAL & PROFESSIONAL DIVISION

Terry Missingham	Sales Account Team Leader	Hurstville
Christopher Doyle	Sales Account Team Leader	Central
Sam Nawar	Station Manager	Bankstown

TRAM & BUS DIVISION

Mark Delohery	Senior Bus Operator	Burwood
Kelly Budden	Bus Operator	Hamilton
Daniel Jagers	Shed Driver	Ryde
Narelle Sinclair	Senior Bus Operator	Brookvale

8. 2010 ELECTIONS

The RTBU was due to have elections in November 2010, however, those elections were rescheduled for February 2011 by the Australian Electoral Commission (AEC).

9. Prescribed Information

Number Of Members

As at the 31 December 2010 there were 16,294 financial members of the Rail, Tram & Bus Union (RTBU) NSW Branch across the following Divisions of the union:

DIVISION	FINANCIAL MEMBERS	UNFINANCIAL MEMBERS	TOTAL
Rail Operations	4028	30	4058
Infrastructure	1498	18	1516
Workshops	1041	10	1051
Admin / Professional	2260	24	2284
Locomotive	3364	25	3389
Bus and Tram	4103	83	4196
TOTAL	16,294	190	16,484

Numbers Of Employees

As at the 31 December 2010 the Rail, Tram & Bus Union (RTBU) NSW Branch employed 26 full-time employees and 3 Part-time employee.

POSITION	No. OF EMPLOYEES	
	(Full/Time)	(Part/Time)
Elected Paid Officials	6	0
Offices of the Union	12	1
Office Manager	1	0
Clerical Support	7	2
TOTAL	26	3

Signed 31 March, 2011 by Alexander Claassens in accordance with a resolution of the Committee of Management.

CONCISE FINANCIAL REPORT

FOR THE YEAR ENDED 31st DECEMBER, 2010

The Committee of Management of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch has resolved to provide a concise financial report to members for the financial year ended 31 December 2010.

The concise financial report has been derived from the full report and cannot be expected to provide as full an understanding of the financial performance, financial position and financial and investing activities of the branch as the full report. A copy of the full report and auditor's report will be sent to any member who requests them free of charge.

Members are advised of the following provisions:

Fair Work (Registered Organisations) Act 2009.

272 Information to be provided to members or Registrar

- (1) A member of a reporting unit, or a Registrar, may apply to the reporting unit for specified prescribed information in relation to the reporting unit to be made available to the person making the application.
- (2) The application must be in writing and must specify the period within which, and the manner in which, the information is to be made available. The period must not be less than 14 days after the application is given to the reporting unit.
- (3) A reporting unit must comply with an application made under subsection (1).

Section 517 of the Industrial Relations Act 1996

In accordance with Section 517 of the Industrial Relations Act a copy of the Auditor's Report, Accounts and Statements will be supplied free of charge to members who request same.

Certificates required to be given under the Act's by the Accounting Officer and Committee of Management have been completed in accordance with the provisions of the Act's and contain no qualifications.

THE RAIL TRAM & BUS UNION NSW BRANCH
INCOME STATEMENT
FOR THE YEAR ENDED 31st DECEMBER, 2010

	2010	2009
Revenue from ordinary activities:	\$	\$
Member Contributions	6,623,648	6,468,529
Interest Received	257,766	291,212
Rent	248,602	281,406
Profit on sale investments	654,183	-
Other Income	99,244	59,738
Expense from ordinary activities		
Remuneration – Office Holders	620,391	503,305
Remuneration – Employee/Delegates	1,679,810	1,454,165
Superannuation	479,923	513,327
Sustentation National Office	797,525	782,920
Depreciation	456,033	338,867
Donations, fees and subscriptions	196,371	166,183
Legal Expenses	83,092	113,041
Other Expenses	2,871,302	2,159,533
Net Surplus from Ordinary Activities	698,996	1,069,544
Total Change in Accumulated Funds	698,996	1,069,544

THE RAIL TRAM & BUS UNION NSW BRANCH

BALANCE SHEET

AS AT 31st DECEMBER, 2010

	2010	2009
Current Assets		
Cash assets	6,394,226	7,581,373
Receivables	246,738	192,301
Other	102,583	92,289
TOTAL CURRENT ASSETS	6,743,547	7,865,963
Non-Current Assets		
Financial Assets	1,236	897,916
Property, Office Equipment and Motor Vehicles-own use	8,327,137	7,977,243
Investment Property	3,471,519	1,450,000
TOTAL NON-CURRENT ASSETS	11,799,892	10,325,159
TOTAL ASSETS	18,543,439	18,191,122
Current Liabilities		
Accounts Payables	340,166	203,443
Other Payables	57,543	78,734
TOTAL CURRENT LIABILITIES	397,709	282,177
Non-Current Liabilities		
Provisions	794,957	567,111
TOTAL NON-CURRENT LIABILITIES	794,957	567,111
TOTAL LIABILITIES	1,192,666	849,288
NET ASSETS	17,350,775	17,341,834
ACCUMULATED FUNDS		
Reserves	3,378,550	4,068,605
Accumulated Funds	13,972,225	13,273,229
TOTAL ACCUMULATED FUNDS	17,350,775	17,341,834

THE RAIL TRAM & BUS UNION NSW BRANCH

STATEMENT OF CASH FLOWS

FOR THE YEAR ENDED 31st DECEMBER, 2010

	2010	2009
CASH FLOW FROM OPERATING ACTIVITIES		
Receipts from Members	6,569,211	6,397,973
Interest Received	257,766	291,212
Rent Received	248,602	281,406
Other	99,244	59,738
Payments to Suppliers and employees	(6,395,330)	(6,059,072)
NET CASH PROVIDED BY OPERATING	779,493	971,257
CASH FLOW FROM INVESTING ACTIVITIES		
Payment for Property, Plant and Equipment	(2,863,330)	(271,628)
NET CASH USED IN INVESTING ACTIVITIES	(2,863,330)	(271,628)
NET CASH INCREASE(DECREASE)	(2,083,837)	699,629
CASH AT THE BEGINNING OF THE YEAR	8,478,063	7,778,434
CASH AT THE END OF THE YEAR	6,394,226	8,478,063

COMMITTEE OF MANAGEMENT STATEMENT

On 31 March , 2011 the Committee of Management of the Australian Rail Tram & Bus Industry Union, New South Wales Branch passed the following resolution in relation to the general purpose financial report (GPFR) of the reporting unit for the financial year ended 31st December, 2010:

- (a) the financial statements and notes comply with the Australian Accounting Standards;
- (b) the financial statements and notes comply with the reporting guidelines of the Industrial Registrar;
- (c) the financial statements and notes give a fair view of the financial performance, financial position and cash flows of the reporting unit for the financial year to which they relate;
- (d) there are reasonable grounds to believe that the reporting unit will be able to pay its debts as and when they become due and payable; and
- (e) during the financial year to which the GPFR relates and since the end of that year:
 - (i) meetings of the committee of management were held in accordance with the rules of the organisation including the rules of a branch concerned; and
 - (ii) the financial affairs of the reporting unit have been managed in accordance with the rules of the organisation including the rules of the branch concerned; and
 - (iii) the financial records of the reporting unit have been kept and maintained in accordance with the RAO Schedule and the RAO Regulations; and
 - (iv) the financial records of the reporting unit have been kept, as far as practicable, in a consistent manner to each of the other reporting units of the organisation; and
 - (v) no further information has been sought in any request of a member of the reporting unit or a Registrar duly made under section 272 of the RAO Schedule; and
 - (vi) no order for inspection of financial records have been made by the Commission under section 273 of the RAO Schedule.
- (f) The Branch has not derived any revenue during the year as a result of any recovery of wages activity it may have undertaken on behalf of members.

Signed 31 March, 2011 by Alexander Claassens in accordance with such resolution as is passed by the committee of management.

INDEPENDENT AUDITOR'S STATEMENT

To the members of The Australian Rail, Tram and Bus Industry Union, New South Wales Branch.

Scope

We have audited the concise financial report of The Australian Rail, Tram & Bus Industry Union, New South Wales Branch for the financial year ended 31 December, 2010 comprising the Committee of Management Statement, Income Statement, Balance Sheet, Statement of Cash Flows and notes to the financial statements. The Committee of Management is responsible for the financial report. We have conducted an independent audit of this financial report in order to express an opinion on it to the members.

Our audit has been conducted in accordance with Australian Auditing Standards to provide reasonable assurance whether the concise financial report is free of material misstatement. Our procedures in respect of the audit of the concise financial report included testing that the information in the concise financial report is consistent with the full financial report, and examination, on a test basis, of evidence supporting the amounts and other disclosures which were directly derived from the full financial report. These procedures have been undertaken to form an opinion whether, in all material respects, the concise financial report is presented fairly in accordance with Accounting Standards, the Fair Work(Registered Organisations) Act 2009 and the Industrial Relations Act 1996

Audit Opinions

A) Fair Work(Registered Organisations) Act 2009

In our opinion, the Concise Financial Report of The Australian Rail, Tram & Bus Industry Union, New South Wales Branch for the year ended 31st December, 2010 is in accordance with the full audited general purpose financial report available for inspection my members. The Audit opinion, express in that report is as follows:

In our opinion, the general purpose financial report of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch presents fairly in accordance with applicable Accounting Standards and other mandatory professional reporting requirements in Australia as well as the requirements imposed by the Reporting Guidelines or Part 3 of Chapter 8 of Schedule 1B of the Fair Work(Registered Organisations) Act 2009.

B) Industrial Relations Act 1996

I certify that the Concise Financial Report is a fair and accurate summary of my Report, Accounts and Statements of the Australian Rail, Tram & Bus Industry Union, New South Wales Branch for the year ended 31st December, 2010. My Auditors Report did not contain particulars of any deficiency, failure or shortcomings as referred to in the Industrial Relations Act

Ken Mangraviti
Ken Mangraviti Pty Ltd
Chartered Accountants
Registered Company Auditor

Dated : 31 March, 2011