

Australian Government Registered Organisations Commission

15 November 2019

Andrew Nicholl Chief Executive Officer Australian Trainers' Association Sent via email: ata@austrainers.com.au

Dear Andrew Nicholl

Notification of changes made to records under s.233(1) RO Act - Annual obligation to lodge information (AR2019/62)

I acknowledge receipt of a Notification of Change to the office holders of the Australian Trainers' Association.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the <u>list of Registered</u> <u>Organisations</u>.

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
7/11/2019	Federal	Vice President	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Officer Induction Kit

The ROC has created an <u>Officer Induction Kit</u> to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

The kit should not be printed as it is updated regularly and some of the documents are interactive. The ROC strongly recommends that all new officers, and officers who are seeking more information on their obligations and duties, are given the link to the officer induction kit. Please

> GPO Box 2983, Melbourne VIC 3001 Telephone: 1300 341 665 | Email: regorgs@roc.gov.au Website: <u>www.roc.gov.au</u>

direct your new officers to the kit which is on the ROC's <u>fact sheets, templates and webinars page</u>, under Officers.

Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's <u>Disclosures Fact Sheet</u>.

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe. Further information about training is provided in our <u>officer financial training fact sheet</u>.

Thank you for your correspondence.

Yours faithfully,

Christine Hibberd Registered Organisations Commission



400 Epsom Road FLEMINGTON VIC 3031 Phone: (03) 9372 1688 Facsimile: (03) 9372 1699 Email: <u>ata@austrainers.com.au</u> Website: <u>www.austrainers.com.au</u>

Subsidiary Entity:



Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001

Via Email : regorgs@roc.gov.au

7 November 2019

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 Fair Work (Registered Organisations) Act 2009 and regulation 147 Fair Work (Registered Organisations) Regulations 2009

I, Robbie Griffiths, being the President of the **Australian Trainers' Association**, declare the following :

- 1. I am authorised to make this declaration.
- The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c) and (d) of the Fair Work (Registered Organisations) Act 2009.
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration and forms part of this declaration.

ROBBIE GRIFFITHS President



ANNEXURE A

Changes to Offices and Office Holders in the Organisation and its Branches

Branch	Date of	Name of Office	Name of <u>Outgoing</u>	Reason for	Name of <u>New</u> Office	Postal Address of <u>New</u> Office	Occupation of <u>New</u>
	Change	that has changed	Office Holder	Change	Holder	Holder	Office Holder
Federal	1/11/2019	Vice President	Pat Duff	Annual General Meeting - Scheduled Election	Richard Jolly	c/- Registered Branch Office ATA Federal Office 400 Epsom Road Flemington VIC 3031	Horse Trainer



Australian Government Registered Organisations Commission

15 October 2019

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Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
24/04/2019	Queensland	President, Vice President vacancy	Yes
1/05/2019	Victoria	Branch Committee Member	No – 2 days
27/08/2019	South Australia	Branch Committee Members (2)	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Late notification

The notification for your organisation's Victorian Branch indicates that changes occurred more than 35 days prior to its lodgement. Please ensure that future notifications of change are lodged within 35 days of the change occurring.

Officer Induction Kit

GPO Box 2983, Melbourne VIC 3001 Telephone: 1300 341 665 | Email: regorgs@roc.gov.au Website: <u>www.roc.gov.au</u> The ROC has created an <u>Officer Induction Kit</u> to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

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Yours faithfully,

Christine Hibberd Registered Organisations Commission



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Subsidiary Entity:



Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001

Via Email : regorgs@roc.gov.au

26 August 2019

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 Fair Work (Registered Organisations) Act 2009 and regulation 147 Fair Work (Registered Organisations) Regulations 2009

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ROBBIE GRIFFITHS President



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SA	22/08/2019	Branch Committee	Vacant	Appointment to casual vacancy	Leon Macdonald	c/- Registered Branch Office ATA Federal Office 400 Epsom Road Flemington VIC 3031	Horse Trainer
SA	22/08/2019	Branch Committee	Vacant	Appointment to casual vacancy	Ryan Balfour	c/- Registered Branch Office ATA Federal Office 400 Epsom Road Flemington VIC 3031	Horse Trainer



400 Epsom Road FLEMINGTON VIC 3031 Phone: (03) 9372 1688 Facsimile: (03) 9372 1699 Email: <u>ata@austrainers.com.au</u> Website: <u>www.austrainers.com.au</u> *Subsidiary Entity:*

Racing Supplies

1 May 2019

Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001

Via Email : regorgs@roc.gov.au

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 Fair Work (Registered Organisations) Act 2009 and regulation 147 Fair Work (Registered Organisations) Regulations 2009

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ROBBIE GRIFFITHS President



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	Change	that has changed	Office Holder	Change	Holder	Holder	Office Holder
VIC	25/03/2019	Branch Committee	Vacant	Committee Meeting - appointment to casual vacancy	Wendy J Kelly	c/- Registered Branch Office ATA Federal Office 400 Epsom Road Flemington VIC 3031	Horse Trainer



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Racing Supplies

24 April 2019

Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001

Via Email : regorgs@roc.gov.au

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 Fair Work (Registered Organisations) Act 2009 and regulation 147 Fair Work (Registered Organisations) Regulations 2009

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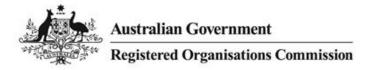
ROBBIE GRIFFITHS President



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QLD	15/04/2019	President	Christopher Munce	Resignation	Pat Duff	c/- Registered Branch Office ATA Federal Office 400 Epsom Road Flemington VIC 3031	Horse Trainer
QLD	15/04/2019	Vice President	Jim Murdoch	Resignation	Vacant	c/- Registered Branch Office ATA Federal Office 400 Epsom Road Flemington VIC 3031	Horse Trainer



2 May 2019

Andrew Nicholl Chief Executive Officer Australian Trainers' Association Sent via email: ata@austrainers.com.au

Dear Andrew Nicholl,

Declaration and information lodged under subsection 233(1) for 2019 (AR2019/62)

I acknowledge receipt by the Registered Organisations Commission (the ROC) on 21 March 2019 of your organisation's Annual Return which provides information under subsection 233(1) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

Thank you for providing your Annual Return.

The documents lodged provide the required information for the 2019 Annual Return of the Australian Trainers' Association.

The Annual Return has been filed. Annual returns are publicly available on the website through the <u>List of Registered Organisations</u>.

Notifications of Change

Any changes to these records must be notified to the ROC within 35 days of the change. A template Notification of Change Declaration is available on the <u>fact-sheets</u> page of our website. The Australian Trainers' Association is encouraged to use this template if any changes occur.

The ROC will accept notifications of change from the relevant branches or from the national office. If the national office of Australian Trainers' Association does NOT intend to file notifications of change, please ensure that all branches are aware of their continuing obligation to notify changes and the requisite time frames within which that must happen.

If a branch lodges a notification of change with the ROC, the branch should also provide the information to the national body in order to ensure that the organisation is able to comply with its obligations under section 233 of the RO Act.

If you have any queries regarding this correspondence, I can be contacted on 1300 341 665 or by email at <u>regorgs@roc.gov.au</u>

Yours faithfully,

Christopher Wong Registered Organisations Commission



21 March 2019



400 Epsom Road FLEMINGTON VIC 3031 Phone: (03) 9372 1688 Facsimile: (03) 9372 1699 Email: <u>ata@austrainers.com.au</u> Website: <u>www.austrainers.com.au</u> *Subsidiary Entity:*

10.00



Registered Organisations Commission GPO Box 2983

Via Email : regorgs@roc.gov.au

MELBOURNE VIC 3001

RE: Australian Trainers' Association

Annual Return of Information for 2019 [AR2019/62]

As required by the *Fair Work (Registered Organisations) Act 2009*, I hereby submit the following:

- a) List of office bearers, postal addresses and occupations of persons holding office in each branch federal and state;
- b) List of names of each branch and addresses;
- c) Declaration certifying number of members and register maintained.
- d) Elections required under the rules of the organisation.

I declare the information provided is a correct statement of the information contained in records kept in accordance with s.230 of the Fair Work (Registered Organisations) Act 2009.

ROBBIE GRIFFITHS President



AUSTRALIAN TRAINERS' ASSOCIATION LIST OF OFFICE HOLDERS

FEDERAL OFFICE 2019

Registered Office

400 Epsom Road FLEMINGTON VIC 3031

OFFICE BEARERS

Federal Executive Officers

New South Wales

No Executives in New South Wales

Queensland DUFF, Pat MUNCE, Christopher	(Horse Trainer) (Horse Trainer)	c/- Registered Office postal address c/- Registered Office postal address
South Australia JOLLY, Richard	(Horse Trainer)	c/- Registered Office postal address
<u>Tasmania</u> MCCULLOCH, Graeme SCHUURING, Nigel	(Horse Trainer) (Horse Trainer)	c/- Registered Office postal address c/- Registered Office postal address
<u>Victoria</u> GRIFFITHS, Robbie CAREY, Pat	(Horse Trainer) (Horse Trainer)	c/- Registered Office postal address c/- Registered Office postal address
<u>Western Australia</u> WEBSTER, Colin	(Horse Trainer)	c/- Registered Office postal address

<u>President</u>

GRIFFITHS, Robbie

Vice Presidents

DUFF, Pat

Chief Executive Officer

NICHOLL, Andrew (Paid Official)

c/- Registered Office postal address

NEW SOUTH WALES BRANCH

<u>2019</u>

OFFICE BEARERS

No Branch in New South Wales.

QUEENSLAND BRANCH

<u>2019</u>

Branch Office PO Box 1570 TOOMBUL QLD 4012

OFFICE BEARERS

MUNCE, Christopher	(Horse Trainer)	c/- Branch Office postal address
Vice President		
MURDOCH, James	(Horse Trainer)	c/- Branch Office postal address
Secretary		
ROSS, Leslie (Les)	(Horse Trainer)	c/- Branch Office postal address
Committee		
Committee		
BALDWIN, Barry	(Horse Trainer)	c/- Branch Office postal address
DUFF, Pat	(Horse Trainer)	c/- Branch Office postal address
KEMP, Kevin	(Horse Trainer)	c/- Branch Office postal address
SCHWEIDA, Kelly	(Horse Trainer)	c/- Branch Office postal address

SOUTH AUSTRALIAN BRANCH

<u>2019</u>

Branch Office

OFFICE BEARERS

JOLLY, Richard	(Horse Trainer)	c/- Branch Office postal address
Vice President		
RICHARDS, Gordon	(Horse Trainer)	c/- Branch Office postal address
<u>Secretary</u>		
BIEG, Chris	(Horse Trainer)	c/- Branch Office postal address
<u>Committee</u>		
CLARKEN, Will	(Horse Trainer)	c/- Branch Office postal address
MACDONALD, Leon	(Horse Trainer)	c/- Branch Office postal address
SEARLE, Gary	(Horse Trainer)	c/- Branch Office postal address
THEODORE, Stephen	(Horse Trainer)	c/- Branch Office postal address

TASMANIAN BRANCH

<u>2019</u>

Branch Office

OFFICE BEARERS

SCHUURING, Nigel	(Horse Trainer)	c/- Branch Office postal address
Vice President		
DALCO, Marion	(Horse Trainer)	c/- Branch Office postal address
Secretary		
HANSON, Tanya	(Horse Trainer)	c/- Branch Office postal address
<u>Committee</u>		
BRUNTON, Scott	(Horse Trainer)	c/- Branch Office postal address
MCCULLOCH, Graeme	(Horse Trainer)	c/- Branch Office postal address
THOMPSON, Cameron	(Horse Trainer)	c/- Branch Office postal address

VICTORIAN BRANCH

<u>2019</u>

Branch Office 400 Epsom Road FLEMINGTON VIC 3031

OFFICE BEARERS

GRIFFITHS, Robbie	(Horse Trainer)	c/- Branch Office postal address
Vice President		
CORSTENS, Troy	(Horse Trainer)	c/- Branch Office postal address
<u>Secretary</u>		
CAREY, Patrick	(Horse Trainer)	c/- Branch Office postal address
<u>Committee</u>		
FLIEDNER, Shane	(Horse Trainer)	c/- Branch Office postal address
O'SULLIVAN, Daniel	(Horse Trainer)	c/- Branch Office postal address
WILDE, Bill	(Horse Trainer)	c/- Branch Office postal address

WESTERN AUSTRALIAN BRANCH

<u>2019</u>



OFFICE BEARERS

WEBSTER, Colin	(Horse Trainer)	c/- Branch Office postal address
Vice President		
<u>vice i resident</u>		
PARNHAM, Neville	(Horse Trainer)	c/- Branch Office postal address
<u>Secretary</u>		
PIKE, Jeff	(Horse Trainer)	c/- Branch Office postal address
<u>Committee</u>		
HARVEY, Todd	(Horse Trainer)	c/- Branch Office postal address

b)

A copy of the record of names of each branch of the organisation for 2019 are:

Australian Trainers' Association (Federal)

Australian Trainers' Association (Victorian Branch)

Australian Trainers' Association (South Australian Branch)

Australian Trainers' Association (Western Australian Branch)

Australian Trainers' Association (Tasmanian Branch)

Australian Trainers' Association (Queensland Branch)

Addresses for each branch:

Australian Trainers' Association Federal 400 Epsom Road FLEMINGTON VIC 3031

Australian Trainers' Association Victorian Branch 400 Epsom Road FLEMINGTON VIC 3031

Australian Trainers' Association South Australian Branch

Australian Trainers' Association Western Australian Branch

Australian Trainers' Association Tasmanian Branch

Australian Trainers' Association Queensland Branch PO Box 1570 TOOMBUL QLD 4012

c)

Declaration certifying number of members and register maintained

I, Robbie Griffiths, President of the Australian Trainers' Association (Federal Branch), 400 Epsom Road, Flemington, do solemnly and sincerely declare that it is my constitutional duty to maintain the register of members of the Association. Membership records have been kept and maintained during the immediately preceding calendar year, as required by paragraph 230(1)(a) and subsection 230(2) of the Act, and the number of financial members on 31 December 2018 was 926.

d)

Elections required under the rules of the organisation

Elections are required in respect of the following office(s).

Federal

i)	President
ii)	Vice Presidents (1)
iii)	6 Executive Officers (Qld [1], SA [1], Tas [2], Vic [1], WA [1])

State Branches

South Australia

- i) President
- ii) Vice President
- iii) Secretary
- iv) 4 members of Committee

Victoria

- i) President
- ii) Vice President
- iii) Secretary
- iv) 3 Members of Committee

Western Australia

- i) President
- ii) Vice President
- iii) Secretary
- iv) 1 Members of Committee

Tasmania

- i) President
- ii) Vice President
- iii) Secretary
- iv) 3 Members of Committee

New South Wales

No elections required

Queensland

- i) President
- ii) Vice President
- iii) Secretary
- iv) 4 Members of Committee

I trust this information satisfies regulations as required under the Fair Work (Registered Organisations) Act 2009 (s.189).

Dated this **21**st day of **March 2019**.

ROBBIE GRIFFITHS President Australian Trainers' Association (Federal)