



25 June 2019

Daniel Walton
National Secretary; NSW Branch Secretary
Australian Workers' Union
Sent via email: members@nat.awu.net.au

cc: Victorian Branch
Victoria@awu.net.au

South Australian Branch
membership@awusa.asn.au

Dear Daniel Walton

Notification of changes made to records under s.233(1) RO Act - Annual obligation to lodge information (AR2018/70)

I acknowledge receipt of a Notification of Change to the office holders of the Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
21/03/2019	Victorian Branch	Branch Vice-President - vacant	Yes
21/03/2019	South Australian Branch	Branch President	Yes
21/03/2019	South Australian Branch	Organiser - Branch Executive committee	Yes
21/03/2019	South Australian Branch	Branch Executive committee member	Yes
21/03/2019	South Australian Branch	National Conference Delegate	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

The kit should not be printed as it is updated regularly and some of the documents are interactive. The ROC strongly recommends that all new officers, and officers who are seeking more information on their obligations and duties, are given the link to the officer induction kit. Please direct your new officers to the kit which is on the ROC's [fact sheets, templates and webinars page](#), under Officers.

Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe. Further information about training is provided in our [officer financial training fact sheet](#).

Thank you for your correspondence.

Yours faithfully,

Andrea O'Halloran
Registered Organisations Commission

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Daniel Walton, being the National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.

2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

A handwritten signature in black ink, appearing to be 'D. Walton', written in a cursive style.

Dated: 21 March 2019

ANNEXURE A

Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
Victoria	18.3.2019	Branch Vice President (Honorary)	Shannon Threfall-Clarke	Vacant		
South Australia	15.03.2019	Branch President	Wayne Hanson	Gary Henderson	GPO Box 2577, Adelaide SA 5001	Union Official
	15.03.2019	Organiser / Br. Executive Committee	Gary Henderson	Nathan Crack	GPO Box 2577, Adelaide SA 5001	Union Official
	21.2.2019	Branch Executive Committee Member	Nathan Crack	Shane Karger	GPO Box 2577, Adelaide SA 5001	Steel Worker
	19.2.2019	National Conference Delegate	Gary Henderson	Martin Hilton	GPO Box 2577, Adelaide SA 5001	Steel Worker
	15.03.2019	Organiser who is not a member of Branch Executive	Vacant	Gary Henderson	GPO Box 2577, Adelaide SA 5001	Union Official



24 June 2019

Daniel Walton
National Secretary; NSW Branch Secretary
Australian Workers' Union

Sent via email: members@nat.awu.net.au

cc: Victorian Branch - victoria@awu.net.au
South Australian Branch - membership@awusa.asn.au

Dear Daniel Walton

Notification of changes made to records under s.233(1) RO Act - Annual obligation to lodge information (AR2018/70)

I acknowledge receipt of a Notification of Change to the office holders of the Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
1 March 2019	National office	National Vice President (2)	Yes
1 March 2019	South Australian Branch	Branch President	Yes
1 March 2019	Victorian Branch	National Conference Delegates (3) - vacancy	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

GPO Box 2983, Melbourne VIC 3001
Telephone: 1300 341 665 | Email: regorgs@roc.gov.au
Website: www.roc.gov.au

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

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Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe. Further information about training is provided in our [officer financial training fact sheet](#).

Thank you for your correspondence.

Yours faithfully,

Andrea O'Halloran
Registered Organisations Commission

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Daniel Walton, being the National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.

2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

A handwritten signature in black ink, appearing to be 'D. Walton', written over a horizontal line.

Dated: 1 March 2019

ANNEXURE A

Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
National	30.1.19	National Vice President	Wayne Phillips	Paul Farrow	PO Box 20 Granville NSW 2142	Union Official
National	14.2.19	National Vice President	Wayne Hanson	Gary Henderson	GPO Box 2577 Adelaide SA 5001	Union Official
South Australian	14.2.19	Branch President	Wayne Hanson	Vacant		
Victoria	11.2.19	National Conference Delegate	Geoffrey Terrill	Vacant		
Victoria	20.2.19	National Conference Delegate	Peter King	Vacant		
Victoria	27.2.19	National Conference Delegate	Jim Ward	Vacant		



26 March 2019

Stephen Baker
Qld Branch Secretary
The Australian Workers' Union

Via email: Jacki.Power@awu.org.au

cc: Daniel Walton
National Secretary

Via email: Debra.Bushell@nat.awu.net.au

Dear Stephen Baker,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of a notification of change to the address of the Queensland Branch of the Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
21/02/2019	Queensland	Office address changed to: Level 13, 333 Adelaide Street, Brisbane Qld	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Thank you for the notification.

Yours faithfully,

Andrea O'Halloran
Registered Organisations Commission

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return)
required to be kept in accordance with section 230 *Fair Work (Registered Organisations)
Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, STEPHEN KENNETH BAKER, being the BRANCH SECRETARY of the AUSTRALIAN WORKERS' UNION, QLD, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - On 18 February 2019 the address of the organisation changed to Level 13, 333 Adelaide St, Brisbane, Queensland.¹

Signed: 
Secretary

Dated: 21 /02/2019

[PLEASE NOTE: This declaration must be submitted to the Registered Organisations Commission within 35 days of the change. It can be submitted to regorgs@roc.gov.au.]

¹ s.230(1)(d); reg.147(d)



23 January 2018

Mr Michael Zelinsky
Acting National Secretary
The Australian Workers' Union

Email: debra.bushell@nat.awu.net.au

cc: Mr Ben Davis, Victorian Branch Secretary

Email: stephen.crawford@nat.awu.net.au

Dear Mr Zelinsky,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of a Notification of Change to the office holders of the Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
18/01/2019	National office	National Vice President - resignation	No – 4 days late
20/12/2018	Victorian Branch	Victorian Branch Assistant Secretary - resignation	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Late notification

The notification from your organisation in respect to the resignation of the National Vice President indicates that changes occurred more than 35 days prior to its lodgement. Please ensure that future notifications of change are lodged within 35 days of the change occurring.

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

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Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for the notifications.

Yours faithfully,

Andrea O'Halloran
Registered Organisations Commission

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Michael Zelinsky, being the Acting National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

A handwritten signature in black ink, appearing to be 'Michael Zelinsky', written in a cursive style.

Dated: 18 January 2019

ANNEXURE A

Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
National	10.12.2018	National Vice President	Liam O'Brien	Vacant		

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Ben Davis, being the Branch Secretary of The Australian Workers' Union, Victorian Branch, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b),(c),and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

Ben Davis

Dated:

20 December 2018

ANNEXURE A

- Changes to Offices and Office Holders in the Organisation and its Branches

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Reason for Change	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
<i>Victorian</i>	<i>10.12.2018</i>	<i>Victorian Branch Assistant Secretary</i>	<i>Liam O'Brien</i>	<i>Resigned</i>			



23 January 2019

Mr Daniel Walton
National Secretary
The Australian Workers' Union

Email: debra.bushell@nat.awu.net.au

cc: Mike Zoetbrood
WA Branch Secretary

Email: mike.zoetbrood@awuwa.asn.au

Dear Mr Walton,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of a Notification of Change to the office holders of the West Australian Branch of the Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
10/12/2018	West Australian Branch	WA Branch Vice President – casual vacancy election	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

The kit should not be printed as it is updated regularly and some of the documents are interactive. The ROC strongly recommends that all new officers, and officers who are seeking more information on their obligations and duties, are given the link to the officer induction kit. Please direct your new officers to the kit which is on the ROC's [fact sheets, templates and webinars page](#), under Officers.

Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for the notification.

Yours faithfully,

Andrea O'Halloran
Registered Organisations Commission

5 December 2018

Mr Daniel Walton
National Secretary
The Australian Workers' Union
Level 10, 377-383 Sussex Street
SYDNEY NSW 2000

Dear Daniel

**Re: The Australian Workers' Union, West Australia Branch
Notice of changes made to records kept under s 230 (1) (c)**

Please find attached declarations of changes of elected positions within The Australian Workers' Union, West Australian Branch.

Yours faithfully

MJ Zoetbrood

Mike Zoetbrood
Branch Secretary
West Australian Branch

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return)
required to be kept in accordance with section 230 *Fair Work (Registered Organisations)
Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Mike Zoetbrood, being the Branch Secretary of The Australian Workers' Union West Australian Branch, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at "Annexure A" and forms part of this declaration.

Signed: Mike Zoetbrood

Dated: 05/12/2018

"ANNEXURE A"

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Reason for Change	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
West Australian	26/11/18	Branch Vice-President			Alana HERBERT	C/O PO Box 8122 Perth Business Centre Perth WA 6849	Branch Organiser

M. Scotland
05/12/18



Reference: AWAK1 W18/99

Mr Mike ZOETBROOD
Secretary
Australian Workers' Union, The
LEVEL 3 25 BARRACK STREET
PERTH WA 6000

Dear Mr ZOETBROOD

**Western Australian Branch, Australian Workers' Union
Casual Vacancy Election
E2018/120**

The declaration of the election for Contested offices is attached.

The election is now complete. A copy of the Declaration has been forwarded to all candidates.

Yours sincerely

A Cooper

Amber Cooper
Returning Officer

4 December 2018

**Australian Workers' Union, The
Western Australian Branch,
DECLARATION OF RESULTS - E2018/120
Contested Offices**

Casual Vacancy Election

The results of the election for the following offices conducted in accordance with the provisions of the *Fair Work (Registered Organisations) Act 2009* and the rules of the organisation are:

West Australian Branch

VOTING MATERIAL ISSUED	
Total number of people on the roll of voters (a)	5,853
Number of voters issued with voting material	5,853
Total number of voters issued with replacement voting material	2
Total number of voting material packs issued	5,855
VOTING MATERIAL RETURNED	
Total number of envelopes returned for scrutiny by closing date of ballot (b)	1,221
Number of declaration envelopes rejected at preliminary scrutiny (minus)	57
Number of ballot papers returned outside declaration envelopes (minus)	0
COUNT	
Total ballot papers admitted to the count	1,164
LATE OR UNRETURNED VOTING MATERIAL	
Voting material returned as unclaimed mail by closing date of ballot	122
Voting material packs not returned by voters by closing date of the ballot	4,512
Percentage of voting material packs returned by voters to number of people on the roll of voters (b/a)	21 %

Branch Vice President (Honorary) (1)

<u>Candidates</u>	<u>First Preference Votes</u>	<u>Final Votes</u>
DUFFY, Andy	574	574
HERBERT, Alana	587	587

Total ballot papers admitted for this office	1,164
Formal Ballot papers	1,161
Informal Ballot papers	3

I declare the following elected:

- HERBERT, Alana

A Cooper

Amber Cooper Returning Officer
Telephone: 08 6363 8011 Email: waelections@aec.gov.au
26/11/2018



14 November 2018

Mr Daniel Walton
National Secretary
Australian Workers' Union
members@nat.awu.net.au

CC: Queensland Branch, secretary@awu.org.au

Dear Mr Walton,

Notification of changes made to records [AR2018/70]

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07/11/2018	Queensland	Organisers (2), Delegate to Delegates Meeting	Yes

Time frames

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Mandatory training

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Thank you for your correspondence.

Yours faithfully,

Kylie Ngo
Registered Organisations Commission

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return)
required to be kept in accordance with section 230 *Fair Work (Registered Organisations)
Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, STEPHEN KENNETH BAKER, being the BRANCH SECRETARY of the AUSTRALIAN WORKERS' UNION, QLD, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
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Signed:

Dated: 7/11/18.

*[PLEASE NOTE: This declaration must be submitted to the Registered Organisations Commission within **35 days** of the change. It can be submitted to regorgs@roc.gov.au.]*

ANNEXURE A

- Changes to Offices and Office Holders in the Organisation and its Branches:

Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
<i>Qld</i>	<i>31.10.2018</i>	<i>Organiser</i>	<i>Marina Chambers (resigned 10 October 2018)</i>	<i>Terry Osmond (appointed 30 Oct 2018)</i>	<i>c/- The Australian Workers' Union, Qld Branch, GPO Box 88 Brisbane QLD 4000</i>	<i>Paid official</i>
	<i>31.10.2018</i>	<i>Delegate to Delegates Meeting</i>	<i>Luke Mangano (resigned 10 October 2018)</i>	<i>David Moyle (appointed 30 Oct 2018)</i>	<i>As above</i>	<i>Paid official</i>
	<i>31.10.2018</i>	<i>Organiser</i>	<i>Luke Mangano (resigned 10 October 2018)</i>	<i>David Moyle (appointed 30 Oct 2018)</i>	<i>As above</i>	<i>Paid official</i>



30 October 2018

Mr Daniel Walton
National Secretary
Australian Workers' Union
daniel.walton@nat.awu.net.au

CC: Queensland Branch, steve.baker@awu.org.au

Dear Mr Walton,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of a Notification of Change to the office holders of the Australian Workers' Union.

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Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
12/10/2018	Queensland	Far Northern District Secretary, Far Northern District Branch Executive Committee Member	Yes
12/10/2018	Queensland	National Conference Delegate	Yes
12/10/2018	Queensland	Northern District Secretary, Northern District Committee Member	Yes
12/10/2018	Queensland	National Conference Delegate	Yes
12/10/2018	Queensland	Metals & Construction District Secretary, Metal & Construction District Branch Executive Committee Member	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

The kit should not be printed as it is updated regularly and some of the documents are interactive. The ROC strongly recommends that all new officers, and officers who are seeking more information on their obligations and duties, are given the link to the officer induction kit. Please direct your new officers to the kit which is on the ROC's [fact sheets, templates and webinars page](#), under Officers.

Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for your correspondence.

Yours faithfully,



Kylie Ngo
Registered Organisations Commission

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, STEPHEN KENNETH BAKER, being the BRANCH SECRETARY of the AUSTRALIAN WORKERS' UNION, QLD, declare the following:

1. I am authorised to make this declaration.
2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

A handwritten signature in blue ink that reads "Steve Baker". The signature is written in a cursive style.

Dated: 12 October 2018

*[PLEASE NOTE: This declaration must be submitted to the Registered Organisations Commission within **35 days** of the change. It can be submitted to regorgs@roc.gov.au.]*

ANNEXURE A

- Changes to Offices and Office Holders in the Organisation and its Branches:

Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
Qld	9.10.2018	Far Northern District Secretary	David Fixter (resigned 21 September 2018)	David Hearse (appointed 9 Oct 2018)	c/- The Australian Workers' Union, Qld Branch, GPO Box 88 Brisbane QLD 4000	Paid official
	9.10.2018	Far Northern District Branch Executive Committee Member	David Fixter (resigned 21 September 2018)	David Hearse (appointed 9 Oct 2018)	As above	Paid official
	9.10.2018	National Conference Delegate	David Fixter (resigned 21 September 2018)	David Hearse (appointed 9 Oct 2018)	As above	Paid official
	9.10.2018	Northern District Secretary	Bede Harding (resigned 31 Aug 2018)	Luke Mangano (appointed 9 Oct 2018)	As above	Paid official
	9.10.2018	Northern District Committee Member	Bede Harding (resigned 31 Aug 2018)	Luke Mangano (appointed 9 Oct 2018)	As above	Paid official
	10.10.2018	Metals & Construction District Secretary	Troy Spence (resigned 3 September 2018)	Marina Chambers (appointed 10 Oct 2018)	As above	Paid official
	10.10.2018	National Conference Delegate	Troy Spence (resigned 3 September 2018)	Alan Bolton (appointed 10 Oct 2018)	As above	Paid official
	10.10.2018	Metals & Construction	Troy Spence	Jim Loftus (appointed 10 Oct 2018)	As above	Wardsperson

		<i>District Branch Executive Committee Member</i>	<i>(resigned 3 September 2018)</i>			
--	--	---	--	--	--	--



2 August 2018

Mr Daniel Walton
National Secretary; NSW Branch Secretary
Australian Workers' Union
members@nat.awu.net.au

CC: South Australian Branch, plamps@awusa.asn.au
Queensland Branch, steve.baker@awu.org.au

Dear Mr Walton,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of a Notification of Change to the office holders of the Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
23/07/2018	South Australian	Branch Organiser vacancy	Yes
25/07/2018	Queensland	Branch Secretary, National Executive Member (2), National Conference Delegate (3), Branch Vice President, Southern District Secretary, Western District Secretary, Western District Branch Executive Committee Member	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

The kit should not be printed as it is updated regularly and some of the documents are interactive. The ROC strongly recommends that all new officers, and officers who are seeking more information on their obligations and duties, are given the link to the officer induction kit. Please direct your new officers to the kit which is on the ROC's [fact sheets, templates and webinars page](#), under Officers.

Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for your correspondence.

Yours faithfully,

Christine Hibberd
Registered Organisations Commission

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return)
required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Daniel Walton, being the National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.

2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

A handwritten signature in black ink, appearing to read 'D. Walton', written in a cursive style.

Dated: 25 July 2018

ANNEXURE A

- Changes to Offices and Office Holders in the Organisation and its Branches:

Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
QLD	20/07/2018	Branch Secretary	Ben Swan	Steve Baker	GPO Box 88, Brisbane 4001	Union Official
QLD	20/07/2018	National Executive Member	Ben Swan	Steve Baker	GPO Box 88, Brisbane 4001	Union Official
QLD	20/07/2018	National Conference Delegate	Ben Swan	Steve Baker	GPO Box 88, Brisbane 4001	Union Official
QLD	20/07/2018	Branch Vice President	Steve Baker	Mark Raguse	GPO Box 88, Brisbane 4001	Union Official
QLD	20/07/2018	Southern District Secretary	Steve Baker	Mark Raguse	GPO Box 88, Brisbane 4001	Union Official
QLD	20/07/2018	National Conference Delegate	Steve Baker	Mark Raguse	GPO Box 88, Brisbane 4001	Union Official
QLD	20/07/2018	National Executive Member	Steve Baker	Tony Beers	PO Box 5097, Gladstone 4680	Union Official
QLD	12/07/2018	Western District Secretary	Terry Cross	Larry Bernie	PO Box 82, Charleville 4470	Union Official
QLD	19/07/2018	National Conference Delegate	Terry Cross	Daele O'Connor	GPO Box 88, Brisbane 4001	Union Official
QLD	12/07/2018	Western District Branch Executive Committee Member	Terry Cross	Larry Bernie	PO Box 82, Charleville 4470	Union Official

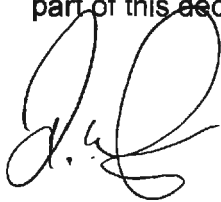
**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return)
required to be kept in accordance with section 230 *Fair Work (Registered Organisations)
Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Daniel Walton, being the National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.

2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:



Dated: 20 July 2018

ANNEXURE A

THIS IS A PUBLIC DOCUMENT THAT WILL BE PUBLISHED ON THE WEBSITE

Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
SA	5.07.2018	Branch Organiser	Joseph Kane	Vacant	Vacant	Vacant



11 July 2018

Mr Daniel Walton
National Secretary
The Australian Workers' Union
Email: members@nat.awu.net.au

Dear Mr Walton,

Declaration and information lodged under subsection 233(1) [Annual Return] for 2018 [AR2018/70]

I acknowledge receipt by the Registered Organisations Commission (the ROC) on 28 March and 10 July 2018 of your organisation's Annual Return which provides information under subsection 233(1) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

Thank you for providing your Annual Return.

The documents lodged provide the required information for the 2018 Annual Return of The Australian Workers' Union.

The Annual Return has been filed. Annual returns are publicly available on the website through the [List of Registered Organisations](#).

Notifications of Change

Any changes to these records must be notified to the ROC within 35 days of the change. A template Notification of Change Declaration is available on the [fact-sheets](#) page of our website. The Australian Workers' Union is encouraged to use this template if any changes occur.

The ROC will accept notifications of change from the relevant branches or from the national office. If the national office of The Australian Workers' Union does NOT intend to file notifications of change, please ensure that all branches are aware of their continuing obligation to notify changes and the requisite time frames within which that must happen.

If a branch lodges a notification of change with the ROC, the branch should also provide the information to the national body in order to ensure that the organisation is able to comply with its obligations under section 233 of the RO Act.

Notification of changes made to records

I acknowledge receipt of Notification of Changes to the office holders.

This information was lodged with the ROC pursuant to the notification requirements in section 233(2) of the RO Act.

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No).
3 July 2018	Victorian	Victorian Branch Executive Committee Member (Honorary) (1)	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Officer Induction Kit

The ROC has created an [Officer Induction Kit](#) to assist new officers. The Kit includes a number of resources that will help an officer to understand their general duties as an officer and their specific duties as an officer in your organisation. It also includes tools to guide an officer's understanding of compliance dates and other reporting obligations.

The kit should not be printed as it is updated regularly and some of the documents are interactive. The ROC strongly recommends that all new officers, and officers who are seeking more information on their obligations and duties, are given the link to the officer induction kit. Please direct your new officers to the kit which is on the ROC's [fact sheets, templates and webinars page](#), under Officers.

Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's [Disclosures Fact Sheet](#).

Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for your correspondence.

If you have any queries regarding this correspondence I can be contacted on 1300 341 665 or by email at regorgs@roc.gov.au

Yours faithfully

Michael Moutevelis
Registered Organisations Commission

**STRONGER
TOGETHER**

NATIONAL OFFICE
Level 1, 16-20 Good Street Granville NSW 2142
T: (02) 8005 3333
E: members@nat.awu.net.au
W: www.awu.net.au
Daniel Walton National Secretary



ABN 28 853 022 982

10 July 2018

General Manager
Registered Organisations Commission
GPO Box 2983
MELBOURNE VIC 3001

Via email: regorgs@roc.gov.au

Dear General Manager,

**Re: The Australian Workers' Union
Annual Return of Information for 2018 (AR2018/70)**

Further to my previous correspondence and declaration dated 28 March 2018, please find attached an additional declaration regarding The Australian Workers' Union's Annual Return of Information for the 2017 calendar year.

Yours sincerely,

A handwritten signature in black ink, appearing to be 'D. Walton', written over the typed name.

Daniel Walton
NATIONAL SECRETARY

ANNUAL RETURN OF INFORMATION (organisation with branches) in accordance with Regulation 147 Fair Work (Registered Organisations) Regulations 2009 and Sections 230 and 233 Fair Work (Registered Organisations) Act 2009

I, DANIEL WALTON, being the NATIONAL SECRETARY of THE AUSTRALIAN WORKERS' UNION ("AWU"), declare the following:

1. I am authorised to make this declaration.
2. I have previously made a declaration for the purposes of the AWU's 2017 Annual Return on 28 March 2018. This declaration provides additional information to that contained in my 28 March 2018 declaration.
3. Based on membership figures reported to me by AWU Branches after the application of the terms of the 'AWU Policy – Membership Register', the AWU's total membership figure for 31 December 2017 was 69,786.

Signed:



DANIEL WALTON
NATIONAL SECRETARY
THE AUSTRALIAN WORKERS' UNION

Dated:

10/7/18

**STRONGER
TOGETHER**

NATIONAL OFFICE
Level 1, 16-20 Good Street Granville NSW 2142
T: (02) 8005 3333
E: members@nat.awu.net.au
W: www.awu.net.au
Daniel Walton National Secretary



ABN 28 853 022 982

29 June 2018

General Manager
Registered Organisations Commission
GPO Box 2983
MELBOURNE VIC 3001

VIA EMAIL: reorgs@roc.gov.au

Dear Sir / Madam

**Re: The Australian Workers' Union
Notification of Changes to Records**

Please find attached declaration of recent changes of elected positions within The Australian Workers' Union Victorian Branch.

Yours faithfully

A handwritten signature in black ink, appearing to read 'D. Walton', written in a cursive style.

Daniel Walton
NATIONAL SECRETARY

**NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return)
required to be kept in accordance with section 230 *Fair Work (Registered Organisations)
Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009***

I, Daniel Walton, being the National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.

2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:



Dated: 29 June 2018

ANNEXURE A

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
Victorian	07.06.2018	Victorian Branch Executive Committee Member (Honorary) (1)	David Bellaart	Keys, John	c/- The Australian Workers' Union, Victorian Branch 685 Spencer Street West Melbourne VIC 3003	Construction Worker



25 June 2018

The National Secretary
Australian Workers' Union
members@nat.awu.net.au

CC: Queensland Branch, secretary@awu.org.au

Dear National Secretary,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of notification of the extension of Steve Baker's tenure as Queensland Acting Branch Secretary until the 2 July 2018, when Secretary Ben Swan will now return from leave.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Thank you for your correspondence.

Yours faithfully,

Christine Hibberd
Registered Organisations Commission

From: PO Box - AWU Queensland Branch
To: [Brisbane Registry](#)
Cc:
Subject: Australian Workers' Union Acting Branch Secretary
Date: Thursday, 21 June 2018 2:09:23 PM
Attachments:

Please see below a resolution from our Branch Executive which you have previously been advised of. Ben Swan has now extended his leave and will return on 2nd July 2018.

Please take this as confirmation that Mr Steve Baker will remain Acting Secretary until 2nd July 2018.

I intend to take annual leave effective from 12 February to 1 June 2018 (inclusive). Due to my intended absence from work, it is recommended that Steve Baker be appointed by the Branch Executive as Acting Branch Secretary for the above period of time, subject to any alteration/s that may be advised by me regarding my intended annual leave.

That the Branch Executive confirms the favourable result of the postal ballot dated 8 February 2018, sent to members of the Branch Executive regarding the appointment of Steve Baker as Acting Branch Secretary for the period of 12 February to 1 June 2018 (inclusive) while Secretary Ben Swan is on approved annual leave.

Regards

Erica Kurth
PA to Branch Secretary & IT Coordinator
The Australian Workers' Union
Level 12, 333 Adelaide Street
Brisbane Qld 4000

www.qld.awu.net.au



I currently work part time hours and do not work on a Monday.

Important Notice: This email message does not constitute formal correspondence from the AWU and is for advance information purposes only. Any correspondence will be posted to you under the signature of the Branch Secretary. All formal correspondence with the AWU must be addressed in writing to -

Ben Swan
Secretary, Queensland AWU
GPO Box 88
BRISBANE QLD 4001

This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this email in error please notify the system manager. This message contains confidential information and is intended only for the



21 May 2018

Mr Daniel Walton
National Secretary
The Australian Workers' Union
Level 10, 377 - 383 Sussex Street
SYDNEY NSW 2000

By email: members@nat.awu.net.au

Dear Mr Walton,

AWU Membership figures – AR2016/161

I refer to previous correspondence regarding the membership figures of the AWU lodged in purported accordance with its statutory obligations under the *Fair Work (Registered Organisations) Act 2009 (Cth) (RO Act)*, most recently my letter dated 9 May 2018 and your letter dated 18 May 2018.

Membership audit

I welcome your advice that the membership audit by RSM Australia Pty Ltd (**RSM**) has been completed and I note from your letter that the RSM report identified that AWU Branches had different approaches to the maintenance of membership registers.

While I have not of course seen the report, it raises the question about the extent to which the different approaches you refer to may have had the effect that membership numbers submitted to the regulator (previously FWC and now the ROC) as part of the Annual Return (AR) and Financial Reporting (FR) processes may have been inaccurate.

I am aware that amended operating reports have been lodged by some AWU Branches where a discrepancy had been identified in membership numbers previously declared. Noting that the RSM report was completed after the amended operating reports were lodged, it is not clear what the different approaches to membership registers had, or may have had, on those amended operating reports.

AWU 2008 Annual Return

I note that the obligation in sections 230(1)(d) and 233(1)(b) of the RO Act and Regulation 147(f) of the *Fair Work (Registered Organisations) Regulations 2009* to lodge with the ROC 'a record of the number of members on 31 December in the previous year'

I also note that your declaration in the AWU's 2018 Annual Return, in relation to reporting of membership numbers, includes the following observation:

A register of members has, during the immediately preceding calendar year, been kept and maintained ... However, a recent external review process ... has identified some inconsistencies among practices adopted by AWU Branches in terms of their register of members. I intend to urgently submit an additional declaration once I am satisfied these issues have been resolved.

It is this statement that causes me the concern I have expressed above about the implications on the accuracy of amended operating reports already lodged with the ROC.

Your letter dated 18 May 2018 also enclosed a draft 'AWU Policy – Membership Register'. While I very much welcome the AWU's engagement with the ROC in relation to the draft Policy, the ROC currently has little or no information about the particular findings that gave rise to the proposed amendments.

As I have previously indicated, it would therefore add significant value to the contribution the ROC can make if the AWU were to provide the ROC with a copy of the RSM report.

I will be in Sydney in the morning and early afternoon of **Thursday 24 May 2018** and both Mr Bill Steenson and I would be pleased to make ourselves available to meet with you and any relevant AWU representatives in relation to this matter if that is convenient.

Yours sincerely,

A handwritten signature in blue ink, appearing to be 'CE', with a small horizontal line to the right.

Chris Enright
Executive Director



10 April 2018

Mr Daniel Walton
National Secretary
The Australian Workers' Union
By email: members@nat.awu.net.au

Dear Mr Walton,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of a Notification of Change to the address of the National Office of The Australian Workers' Union.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Website through the [list of Registered Organisations](#).

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
23/02/2018	National Office	Address	Yes

Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

Thank you for the notification.

Yours faithfully,

Carolyn Moloney
Registered Organisations Commission

**STRONGER
TOGETHER**

NATIONAL OFFICE
Level 1, 16-20 Good Street Granville NSW 2142
T: (02) 8005 3333
E: members@nat.awu.net.au
W: www.awu.net.au
Daniel Walton National Secretary



ABN 28 853 022 982

28 March 2018

General Manager
Registered Organisations Commission
GPO Box 2983
MELBOURNE VIC 3001

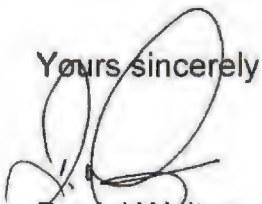
Via email: regorgs@roc.gov.au

Dear General Manager

**Re: The Australian Workers' Union
Annual Return of Information for 2018 (AR2018/70)**

Please find attached the annual return of information pursuant to the Fair Work (Registered Organisations) Act 2009 and the Fair Work (Registered Organisations) Regulations 2009.

Yours sincerely



Daniel Walton
NATIONAL SECRETARY

ANNUAL RETURN OF INFORMATION (organisation with branches) in accordance with Regulation 147 Fair Work (Registered Organisations) Regulations 2009 and Sections 230 and 233 Fair Work (Registered Organisations) Act 2009

I, DANIEL WALTON, being the NATIONAL SECRETARY of THE AUSTRALIAN WORKERS' UNION, declare the following:

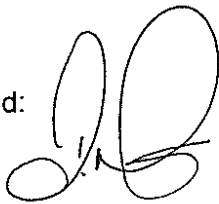
1. I am authorised to make this declaration.
2. A register of members has, during the immediately preceding calendar year, been kept and maintained by The Australian Workers' Union ("AWU"). However, a recent external review process, which has not yet concluded, has identified some inconsistencies amongst practices adopted by AWU Branches in terms of their register of members. I intend to urgently submit an additional declaration once I am satisfied these issues have been resolved. The Registered Organisations Commission ("ROC") is aware of this external review.
3. The following is a correct statement of the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Act:
 - The address of the organisation is 16-20 Good Street, Granville NSW 2142.
 - The name and address of each branch of the organisation is:
 1. AWU Queensland Branch: 333 Adelaide Street Brisbane QLD 4000
 2. AWU New South Wales Branch: 16-20 Good Street Granville NSW 2142
 3. AWU Victorian Branch: 685 Spencer Street West Melbourne VIC 3003
 4. AWU Tasmanian Branch: 6 Lefroy Street North Hobart TAS 7002
 5. AWU South Australian Branch: 22-24 Main Street Mawson Lakes SA 5095
 6. AWU West Australian Branch: 25 Barrack Street Perth WA 6000
 - Given the current external review process described in paragraph [2] above, I will urgently submit an additional declaration confirming the number of AWU members as at 31 December 2017 when I am satisfied I have an accurate figure.
 - A list of offices and the names, postal addresses and occupations of persons holding those offices as at the date of this declaration is attached at Annexure A and forms part of this declaration.

- Under the rules of the organisation, the following elections must be held between 1 January and 31 December of 2018:
 - There are no elections scheduled THIS YEAR (this does not include casual vacancy elections).

- During the previous 12 months:
 - NO branches COMMENCED or CEASED operation.

- The organisation has not entered into an agreement under s.151(1) of the Act with a state union.

Signed:

A handwritten signature in black ink, appearing to be 'D. Walton', written in a cursive style.

DANIEL WALTON
NATIONAL SECRETARY
THE AUSTRALIAN WORKERS' UNION

Dated: 28/3/18

Annexure "A"				
Branch	Name of Office	Office Holder	Postal Address of Office Holder	Occupation
National	National President	Marina Chambers	GPO Box 88 Brisbane QLD 4000	Union Official
	National Vice President	Wayne Phillips	PO Box 1506 Wollongong NSW 2500	Union Official
	National Vice President	Brad Gandy	PO Box 8122 PBC Perth WA 6849	Union Official
	National Vice President	Wayne Hanson	GPO Box 2577 Adelaide SA 5001	Retired Union Official
	National Vice President	Liam O'Brien	685 Spencer Street West Melbourne VIC 3000	Union Official
	National Vice President	Keith Ballin	PO Box 1057 Bundaberg QLD 4000	Union Official
	National Secretary	Daniel Walton	L10, 377-383 Sussex St Sydney NSW 2000	Union Official
	Assistant National Secretary	Misha Zelinsky	L10, 377-383 Sussex St Sydney NSW 2000	Union Official
Queensland	Branch Secretary	Ben Swan	GPO Box 88 Brisbane QLD 4001	Union Official
	Branch President	Marina Chambers	GPO Box 88 Brisbane QLD 4001	Union Official
	Branch Vice President	Keith Ballin	PO Box 1057 Bundaberg QLD 4670	Union Official
	Branch Vice President	Steve Baker	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Vice President	Tracey Sharpe	PO Box 508 Toowoomba 4035	Union Official
	Central District Secretary	Keith Ballin	PO Box 1057 Bundaberg QLD 4670	Union Official
	Far Northern District Secretary	David Fixter	PO Box 854 Cairns 4870	Union Official
	Metals & Construction District Secretary	Troy Spence	GPO Box 88 Brisbane QLD 4001	Union Official
	Metals & Construction District President	Jim Wilson	GPO Box 88 Brisbane QLD 4001	Union Official
	Metals & Construction District Vice President	Paul Cradden	GPO Box 88 Brisbane QLD 4001	Union Official
	Northern District Secretary	Bede Harding	PO Box 1035 Townsville 4810	Union Official
	South District Secretary	Steve Baker	GPO Box 13 Brisbane QLD 4001	Union Official
	South Western District	Tracey Sharpe	PO Box 508 Toowoomba 4035	Union Official
	Western District Secretary	Terry Cross	PO Box 240 Longreach QLD 4730	Union Official
	National Executive Member	Tracey Sharpe	PO Box 508 Toowoomba 4035	Union Official
	National Executive Member	Steve Baker	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Organiser	Alan Bolton	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Organiser	Cathy Janetzki	PO Box 508 Toowoomba 4035	Union Official
	Branch Organiser	Craig Sell	PO Box 289 Rockhampton 4700	Union Official
	Branch Organiser	Gavin Duncan	PO Box 1057 Bundaberg QLD 4670	Union Official
	Branch Organiser	Jason Shepherd	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Organiser	Jim Wilson	GPO Box 88 Brisbane QLD 4001	Union Official
	Branch Organiser	Kurt Neumann	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Organiser	Craig Barber	PO Box 115 Mackay 4740	Union Official
	Branch Organiser	Luke Mangano	PO Box 1035 Townsville 4810	Union Official
	Branch Organiser	Paul Cradden	GPO Box 88 Brisbane QLD 4001	Union Official
	Branch Organiser	Paul Robertson	PO Box 50 Mount Isa QLD 4825	Union Official

	Branch Organiser	Sharon Winn	PO Box 854 Cairns 4870	Union Official
	Branch Organiser	Tom Harding	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Organiser	Tony Beers	PO Box 5097 Gladstone 4680	Union Official
	Branch Organiser	Marina Chambers	GPO Box 88 Brisbane QLD 4001	Union Official
	Branch Organiser	Zac Beers	PO Box 5097 Gladstone 4680	Union Official
	Branch Executive Committee Member	David Fixter	PO Box 854 Cairns 4870	Union Official
	Branch Executive Committee Member	Paul Craig	C/- GPO Box 13 Brisbane QLD 4001	Health Industry Worker
	Branch Executive Committee Member	Bede Harding	PO Box 1035 Townsville 4810	Union Official
	Branch Executive Committee Member	Troy Spence	GPO Box 88 Brisbane QLD 4001	Union Official
	Branch Executive Committee Member	Terry Cross	PO Box 240 Longreach QLD 4730	Union Official
	Branch Executive Committee Member	Neta Tuitasi	GPO Box 13 Brisbane QLD 4001	Union Official
	Branch Executive Committee Member	Melinda Chisholm	GPO Box 88 Brisbane QLD 4001	Union Official
	National Conference Delegate	Bede Harding	PO Box 1035 Townsville 4810	Union Official
	National Conference Delegate	Ben Swan	GPO Box 88 Brisbane QLD 4001	Union Official
	National Conference Delegate	Cathy Janetzki	PO Box 508 Toowoomba 4035	Union Official
	National Conference Delegate	Paul Robertson	PO Box 50 Mount Isa QLD 4825	Union Official
	National Conference Delegate	Steve Baker	GPO Box 13 Brisbane QLD 4001	Union Official
	National Conference Delegate	Terry Cross	PO Box 240 Longreach QLD 4730	Union Official
	National Conference Delegate	Tony Beers	PO Box 5097 Gladstone 4680	Union Official
	National Conference Delegate	Tracey Sharpe	PO Box 508 Toowoomba 4035	Union Official
	National Conference Delegate	Troy Spence	GPO Box 88 Brisbane QLD 4001	Union Official
	National Conference Delegate	Zac Beers	PO Box 5097 Gladstone 4680	Union Official
	National Conference Delegate	David Fixter	PO Box 854 Cairns 4870	Union Official
	Delegate to Branch Delegates Meeting	Alan Bolton	GPO Box 13 Brisbane QLD 4001	Union Official
	Delegate to Branch Delegates Meeting	Cathy Janetzki	PO Box 508 Toowoomba 4035	Union Official
	Delegate to Branch Delegates Meeting	Gavin Duncan	PO Box 1057 Bundaberg QLD 4670	Union Official
	Delegate to Branch Delegates Meeting	Jason Shepherd	GPO Box 13 Brisbane QLD 4001	Union Official
	Delegate to Branch Delegates Meeting	Jim Wilson	GPO Box 88 Brisbane QLD 4001	Union Official
	Delegate to Branch Delegates Meeting	Craig Sell	PO Box 289 Rockhampton 4700	Union Official
	Delegate to Branch Delegates Meeting	Luke Mangano	PO Box 1035 Townsville 4810	Union Official
	Delegate to Branch Delegates Meeting	Paul Robertson	PO Box 50 Mount Isa QLD 4825	Union Official
	Delegate to Branch Delegates Meeting	Tony Beers	PO Box 5097 Gladstone 4680	Union Official
	Delegate to Branch Delegates Meeting	Zac Beers	PO Box 5097 Gladstone 4680	Union Official
	Delegate to Branch Delegates Meeting	Paul Cradden	GPO Box 88 Brisbane QLD 4001	Union Official
	Delegate to Branch Delegates Meeting	Tom Harding	GPO Box 13 Brisbane QLD 4001	Union Official
NSW	Branch Secretary	Daniel Walton	PO Box 20 Granville NSW 2142	Union Official
	Branch President	Lorna Fairless	PO Box 20 Granville NSW 2142	Aircraft Worker
	Branch Assistant Secretary	Paul Noack	PO Box 20 Granville NSW 2142	Union Official

Branch Assistant Secretary	Anthony Callinan	PO Box 226 Mayfield NSW 2304	Union Official
Branch Assistant Secretary	Wayne Phillips	PO Box 1506 Wollongong NSW 2500	Union Official
Branch Senior Vice President	Paul Farrow	PO Box 20 Granville NSW 2142	Union Official
Branch Senior Vice President	Paul Delaney	PO Box 226 Mayfield NSW 2304	Union Official
Branch Senior Vice President	David Hancock	PO Box 1506 Wollongong NSW 2500	Union Official
Branch Vice-President	Ron Cowdrey	PO Box 20 Granville NSW 2142	Union Official
Branch Vice-President	Jeff Buhler	PO Box 20 Granville NSW 2142	Union Official
Branch Vice-President	John Boyd	PO Box 226 Mayfield NSW 2304	Union Official
Branch Vice-President (honorary)	Wayne Pringle	PO Box 226 Mayfield NSW 2304	Union Official
Branch Vice-President (honorary)	Less Millar	PO Box 1506 Wollongong NSW 2500	Storeman
Branch Elected Official & Branch Committee			Union Official
Member	Mark Hughes	PO Box 226 Mayfield NSW 2304	Union Official
Branch Executive Committee Member	Brett Slavin	PO Box 226 Mayfield NSW 2304	Truck Driver
Branch Executive Committee Member	Craig Mason	PO Box 20 Granville NSW 2142	Gas Supply Technician
Branch Executive Committee Member	Darren Halloran	PO Box 20 Granville NSW 2142	Senior Field Supervisor
Branch Executive Committee Member	David Boreland	PO Box 20 Granville NSW 2142	Steel Worker
Branch Executive Committee Member	Evan Lawless	PO Box 20 Granville NSW 2142	Engineer
Branch Executive Committee Member	Geoffrey Dawson	PO Box 20 Granville NSW 2142	Road Construction Worker
Branch Executive Committee Member	Glenn Leake	PO Box 1506 Wollongong NSW 2500	Production Worker
Branch Executive Committee Member	Gregg Davies	PO Box 1506 Wollongong NSW 2500	Production Worker
Branch Executive Committee Member	Ian Morley	PO Box 20 Granville NSW 2142	Process Technician
Branch Executive Committee Member	Ian Thomas	PO Box 20 Granville NSW 2142	Glassworker
Branch Executive Committee Member	John Scott	PO Box 20 Granville NSW 2142	Tool Storeman
Branch Executive Committee Member	Lance Turner	PO Box 1506 Wollongong NSW 2500	Production Worker
Branch Executive Committee Member	Larry Redman	PO Box 226 Mayfield NSW 2304	Labourer
Branch Executive Committee Member	Yan Kallina	PO Box 20 Granville NSW 2142	Grounds Keeper
Branch Executive Committee Member	Peter Armstrong	PO Box 1506 Wollongong NSW 2500	Production Worker
Branch Executive Committee Member	Robert Dunn	PO Box 20 Granville NSW 2142	Road Construction Supervisor
Branch Executive Committee Member	Ross Hillary	PO Box 20 Granville NSW 2142	Senior Asset Field Officer
Branch Executive Committee Member	Saso Stojanoski	PO Box 1506 Wollongong NSW 2500	Production Worker
Branch Executive Committee Member	Thomas Matheson	PO Box 226 Mayfield NSW 2304	Labourer
Branch Executive Committee Member	William McGuinness	PO Box 226 Mayfield NSW 2304	Operator
National Executive Member	Daniel Walton	PO Box 20 Granville NSW 2142	Union Official
National Executive Member	Paul Noack	PO Box 20 Granville NSW 2142	Union Official
National Executive Member	Anthony Callinan	PO Box 226 Mayfield NSW 2304	Union Official
National Conference Delegate	Anthony Callinan	PO Box 226 Mayfield NSW 2304	Union Official
National Conference Delegate	Peter Armstrong	PO Box 1506 Wollongong NSW 2500	Production Worker
National Conference Delegate	Lorna Fairless	PO Box 20 Granville NSW 2142	Aircraft Worker
National Conference Delegate	Jamila Gherjestani	PO Box 20 Granville NSW 2142	Union Official

	National Conference Delegate	Glenn Leake	PO Box 1506 Wollongong NSW 2500	Production Worker
	National Conference Delegate	Paul Farrow	PO Box 20 Granville NSW 2142	Union Official
	National Conference Delegate	Paul Noack	PO Box 20 Granville NSW 2142	Union Official
	National Conference Delegate	Daniel Walton	PO Box 20 Granville NSW 2142	Union Official
	National Conference Delegate	Wayne Pringle	PO Box 226 Mayfield NSW 2304	Operator
Victoria	Branch Secretary	Ben Davis	685 Spencer Street West Melbourne VIC 3003	Union Official
	Branch President	Jimmy Mastrandonakis	685 Spencer Street West Melbourne VIC 3003	Union Official
	Branch Assistant Secretary	Liam O'Brien	685 Spencer Street West Melbourne VIC 3003	Union Official
	Branch Vice President	Colin Heath	685 Spencer Street West Melbourne VIC 3003	Union Official
	Branch Vice President (Honorary)	Shannon Threlfall Clarke	685 Spencer Street West Melbourne VIC 3003	Union Official
	Branch Vice President (Honorary)	Prabanjamurthi (Percy) Pillai	685 Spencer Street West Melbourne VIC 3003	Union Official
	Organiser and Branch Executive Member	Ronald Hayden	685 Spencer Street West Melbourne VIC 3003	Union Official
	Organiser and Branch Executive Member	Patrick Wood	685 Spencer Street West Melbourne VIC 3003	Union Official
	Organiser and Branch Executive Member	Jeff Sharpe	685 Spencer Street West Melbourne VIC 3003	Union Official
	Organiser and Branch Executive Member	Gavin Penn	685 Spencer Street West Melbourne VIC 3003	Union Official
	Organiser and Branch Executive Member	Rita Nasr	685 Spencer Street West Melbourne VIC 3003	Union Official
	Branch Executive Member	Harry Lumanovski	685 Spencer Street West Melbourne VIC 3003	Glass Industry Worker
	Branch Executive Member	Megan Andrews	685 Spencer Street West Melbourne VIC 3003	
	Branch Executive Member	Joel Archer	685 Spencer Street West Melbourne VIC 3003	Paper Industry Worker
	Branch Executive Member	Neill Tacey	685 Spencer Street West Melbourne VIC 3003	Gas/Oil Industry Worker
	Branch Executive Member	Patricia Rothville	685 Spencer Street West Melbourne VIC 3003	Government Sector Worker
	Branch Executive Member	Paul Spear	685 Spencer Street West Melbourne VIC 3003	Steel Industry Worker
	Branch Executive Member	Peter King	685 Spencer Street West Melbourne VIC 3003	Aluminium Industry Worker
	Branch Executive Member	David Bellaart (resigned 20.3.18)	position vacant pending election	
	Branch Executive Member	Shane Burd	685 Spencer Street West Melbourne VIC 3003	Steel Industry Worker
	Branch Executive Member	Vanessa Garbett	685 Spencer Street West Melbourne VIC 3003	Manufacturing Worker
	National Executive Member	Jimmy Mastrandonakis	685 Spencer Street West Melbourne VIC 3003	Union Official
	National Conference Delegate	Ben Davis	685 Spencer Street West Melbourne VIC 3003	Union Official
	National Conference Delegate	Andy Pearce	685 Spencer Street West Melbourne VIC 3003	
	National Conference Delegate	Joel Archer	685 Spencer Street West Melbourne VIC 3003	Paper Industry Worker
	National Conference Delegate	Tany Harrington	685 Spencer Street West Melbourne VIC 3003	
	National Conference Delegate	Jim Ward	685 Spencer Street West Melbourne VIC 3003	Union Official
	National Conference Delegate	Peter King	685 Spencer Street West Melbourne VIC 3003	Aluminium Industry Worker
	National Conference Delegate	Geoffrey Terrill	685 Spencer Street West Melbourne VIC 3003	Steel Industry Worker
Tasmania	Branch Secretary	Ian Wakefield	C/- PO Box 129 North Hobart TAS 7002	Union Official
	Branch President	Laurence Gregson	C/- PO Box 129 North Hobart TAS 7002	Field Officer
	Branch Assistant Secretary	Robert Flanagan	C/- PO Box 129 North Hobart TAS 7002	Union Official

	Branch Vice President	Jill Reid	C/- PO Box 129 North Hobart TAS 7002	Process Worker
	Branch Organiser, Branch Executive			
	Committee Member	Kevin Midson	C/- PO Box 129 North Hobart TAS 7002	Union Official
	Branch Executive Member	Stephen Dowling	C/- PO Box 129 North Hobart TAS 7002	Process Worker
	Branch Executive Member	Alanah McLean	C/- PO Box 129 North Hobart TAS 7002	Process Worker
	Branch Executive Member	Phillip Garth	C/- PO Box 129 North Hobart TAS 7002	Process Worker
	Branch Executive Member	William (Bill) Lowe	C/- PO Box 129 North Hobart TAS 7002	Life Member
	Branch Executive Member	Ross Richardson	C/- PO Box 129 North Hobart TAS 7002	Production Worker
	Branch Executive Member	Andrew Sutcliffe	C/- PO Box 129 North Hobart TAS 7002	Filter
	National Conference Delegate	Ian Wakefield	C/- PO Box 129 North Hobart TAS 7002	Union Official
	National Conference Delegate	Robert Flanagan	C/- PO Box 129 North Hobart TAS 7002	Union Official
South Australia	Branch Secretary	Peter Lamps	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch President	Wayne Hanson	GPO Box 2577 Adelaide SA 5001	Retired Union Official
	Branch Assistant Secretary	Frank Mateos	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Vice President	Wayne Rae (per E2017/14)	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Vice President	Terry Bails	GPO Box 2577 Adelaide SA 5001	Glass Worker
	Organiser/Br. Executive Committee	Gary Henderson	GPO Box 2577 Adelaide SA 5001	Union Official
	Organiser/Br. Executive Committee	Michael Hopgood	GPO Box 2577 Adelaide SA 5001	Union Official
	Organiser/Br. Executive Committee	Scott Martin	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Executive Committee Member	Ian Ashmore	GPO Box 2577 Adelaide SA 5001	Council Worker
	Branch Executive Committee Member	Nathan Crack	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Executive Committee Member	Cleofe Vinas-Wilson	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Executive Committee Member	Grant Petagna	GPO Box 2577 Adelaide SA 5001	Manufacturing Worker
	Branch Executive Committee Member	Martin Hilton	GPO Box 2577 Adelaide SA 5001	Steel Worker
	Branch Executive Committee Member	Trish Stringer	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Executive Committee Member	James Phelan	GPO Box 2577 Adelaide SA 5001	Filter & Turner
	Branch Executive Committee Member	Stacy Ware	GPO Box 2577 Adelaide SA 5001	Union Official
	Branch Executive Committee Member	Russell Webb	GPO Box 2577 Adelaide SA 5001	Ship Builder
	Branch Organiser, who is not a			
	member of Branch Executive	Joseph Kane	GPO Box 2577 Adelaide SA 5001	Union Official
	National Conference Delegate	Peter Lamps	GPO Box 2577 Adelaide SA 5001	Union Official
	National Conference Delegate	Gary Henderson	GPO Box 2577 Adelaide SA 5001	Union Official
	National Conference Delegate	Michael Hopgood	GPO Box 2577 Adelaide SA 5001	Union Official
South Australia				
West Australia	Branch Secretary	Mike Zoebrood	PO Box 8122 PBC Perth WA 6849	Union Official
	Branch President	Andy Hacking	PO Box 8122 PBC Perth WA 6849	Refinery Worker
	Branch Assistant Secretary	Brad Gandy	PO Box 8122 PBC Perth WA 6849	Union Official
	Branch Vice President	Jake Pascoe	PO Box 8122 PBC Perth WA 6849	Hydro blaster

Branch Vice President	Chris King	PO Box 8122 PBC Perth WA 6849	Mine Worker
Branch Committee Member	Rick Lynn	PO Box 8122 PBC Perth WA 6849	Forrest Worker
Branch Committee Member	Shane O'Reilly	PO Box 8122 PBC Perth WA 6849	Union Official
Branch Committee Member	Craig Ramirez	PO Box 8122 PBC Perth WA 6849	Concrete Worker
Branch Committee Member	Dene Cullen	PO Box 8122 PBC Perth WA 6849	Union Official
Branch Committee Member	Denise Solly	PO Box 8122 PBC Perth WA 6849	Laboratory Technician
Branch Committee Member	Daniel Connors	PO Box 8122 PBC Perth WA 6849	Union Official
Branch Committee Member	Nikola Pavlovic	PO Box 8122 PBC Perth WA 6849	Mine Worker
Branch Committee Member	Ron Woods	PO Box 8122 PBC Perth WA 6849	Process Operator
Branch Committee Member	Bishir Ahmed	PO Box 8122 PBC Perth WA 6849	Food Manufacturing Worker
Alcoa Pinjarra Refinery Sub-Branch			
Sub Branch President	Stuart Allen	PO Box 8122 PBC Perth WA 6849	Refinery Worker
Sub Branch Secretary	Will Hope	PO Box 8122 PBC Perth WA 6849	Refinery Worker
Sub Branch Vice President	Bazil D'Monte	PO Box 8122 PBC Perth WA 6849	Refinery Worker
Sub Branch Vice President	Adam Draper	PO Box 8122 PBC Perth WA 6849	Refinery Worker
National Conference Delegate	Mike Zoebrood	PO Box 8122 PBC Perth WA 6849	Union Official
National Conference Delegate	Andy Hacking	PO Box 8122 PBC Perth WA 6849	Refinery Worker
National Conference Delegate	Simon Price	PO Box 8122 PBC Perth WA 6849	Mine Worker
National Conference Delegate	Brad Gandy	PO Box 8122 PBC Perth WA 6849	Union Official



5 March 2018

Mr Daniel Walton
National Secretary
Australian Workers' Union

Sent via email: members@nat.awu.net.au

Dear Mr Walton,

Australian Workers' Union
Annual Return of Information for 2018 [AR2018/70]

I refer to our letter dated 1 February 2018 reminding you of the obligation to lodge an Annual Return of Information (Annual Return). Please ignore this letter if you have lodged the Annual Return in the last few days.

When must you lodge the Annual Return?

The Annual Return 2018 must be lodged no later than **31 March 2018**.

What must you lodge?

Two signed declarations certifying matters prescribed in the Fair Work (Registered Organisations) Act 2009 (the RO Act) must be lodged along with copies of some of your records. The required declarations and records are set out in the table that follows this letter.

Please refer to our previous correspondence which provides information about maintaining the register of members, a recommendation that private information not be provided and which explains who must sign the declarations.

Consider using the ROC's template

The ROC provides a template Annual Return for an organisation without branches and a template Annual Return for an organisation with branches on our [Factsheets, templates and webinars](#) page. We recommend that you use this template to ensure that you make the correct declarations and include all the required information.

Failure to comply

Failure to comply with these obligations exposes your organisation to financial penalties (up to \$63 000 for a body corporate and \$12 600 for an individual per contravention), under s.305 of the RO Act.

Need more information?

If you require further information about an Annual Return you can refer to the [Annual returns](#) page on our website and, in particular, our Webinar slides. You can also contact the ROC by phone on 1300 341 665 or by e-mail at regorgs@roc.gov.au.

Yours sincerely



Sam Gallichio
Adviser
Registered Organisations Commission

Obligation to lodge Annual Return of Information by 31 March

For full details see ss.230-233 of the *Fair Work (Registered Organisations) Act 2009* (the RO Act), and rr.147-151 of the *Fair Work (Registered Organisations) Regulations 2009* (the RO Regulations).

The following declarations and a copy of each of the following records must be lodged with the ROC between 1 January and 31 March each year.

Requirement	Details of requirement
Declaration regarding maintenance of Register of Members	A declaration by the Secretary or other prescribed officer certifying that the register of members has, during the immediately preceding calendar year, been kept and maintained as required by ss.230(1)(a) and (2) [s.233(1)(a)] Note: In maintaining the register of members, your attention is drawn to ss.171A and 230(2)(b) of the RO Act which sets out certain circumstances where membership ceases to exist and when their names must be removed from the register, and s.172 which sets out when unfinancial members must be removed from the register
Declaration that correct statement of	A declaration stating by the Secretary or other prescribed officer that the copy of the records is a correct statement of the information contained in the records [s.233(1)(b)]
List of Offices	A list of the offices in the organisation and each branch (note the definition of office and officer in ss.6 and 9) [s.230(1)(b)]
List of Office holders	A list of the names, postal addresses and occupations of the persons holding the offices (note the definition of office and officer in ss.6 and 9) [s.230(1)(c)]
List of Branches	A record of the name of each branch of the organisation [s.230(1)(d) and r.147(a)]
New Branches	A record of the name of each branch that commenced operation in the previous 12 months [s.230(1)(d) and r.147(b)]
Old Branches	A record of the name of each branch that ceased operation in the previous 12 months [s.230(1)(d) and r.147(c)]
Addresses of Organisation and Branches	A record of the address of the office of the organisation and the address of the office of each branch [s.230(1)(d) and r.147(d)]
Elections in Organisation and Branches	A record of each election that must, under the rules of the organisation, be held during the year commencing 1 January of the year in which the return is lodged, for any offices in the organisation and branches of the organisation. [s.230(1)(d) and r.147(e)] Note: this provision does not relieve an organisation or branch from the separate requirement to lodge prescribed information prior to each election, as required by s.189 of the RO Act
The number of members	A record of the number of members on 31 December in the previous year
The number of ineligible State members (if applicable)	If the organisation has entered into an agreement relating to members of State unions under s.151(1) of the RO Act, - a record of the number of members of the organisation who were (on 31 December in the previous year) ineligible State members in relation to the organisation within the meaning of s.150 of the RO Act. [s.230(1)(d) and r.147(g)]

Obligation to notify of changes within 35 days

If there are any changes to the records listed above an organisation must, within 35 days of the change, lodge with the ROC, a notification of such changes certified by declaration signed by the secretary or other prescribed officer of the organisation to be a correct statement of the changes made [RO Act s.233(2) and RO Regulations r.151].

**STRONGER
TOGETHER**

NATIONAL OFFICE
Level 1, 16-20 Good Street Granville NSW 2142
T: (02) 8005 3335
E: members@nol.awu.net.au
W: www.awu.net.au
Daniel Walton National Secretary



ABN 28 853 022 982

27 February 2018

Ms Carolyn Moloney
Education and Reporting
Registered Organisations Commission
Level 13
175 Liverpool Street
SYDNEY NSW 2000

VIA EMAIL: Carolyn.Moloney@roc.gov.au

Dear Ms Moloney

**Re: The Australian Workers' Union - Notification of Changes to Records
in accordance with Section 230 Fair Work (Registered Organisations) Act
2009 and regulation 147 Fair Work (Registered Organisations) Regulations
2009**

Please be advised The Australian Workers' Union National Office has relocated to Level
1, 16-20 Good Street Granville, New South Wales, 2142.

Yours faithfully,

Daniel Walton
NATIONAL SECRETARY

**STRONGER
TOGETHER**

NATIONAL OFFICE
Level 1, 16-20 Good Street Granville NSW 2142
T: (02) 8005 3333
E: members@na.awu.net.au
W: www.awu.net.au
Daniel Walton National Secretary



ABN 28 853 022 982

23 February 2018

Ms Carolyn Moloney
Education and Reporting
Registered Organisations Commission
Level 13
175 Liverpool Street
SYDNEY NSW 2000

VIA EMAIL: Caroiyn.Moloney@roc.gov.au

Dear Ms Moloney

Re: NOTIFICATION OF CHANGES TO RECORDS (Changes to Records In the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

Please find attached a declaration with regards to change of address.

Yours faithfully,

Daniel Walton
NATIONAL SECRETARY

Attachment

NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009*

I, Daniel Walton, being the National Secretary of The Australian Workers' Union, declare the following:

1. I am authorised to make this declaration.

2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
 - On 6 February 2018 the address of the National Office of the organisation changed to: Level 1, 16-20 Good Street, Granville, New South Wales, 2142.

 - The former address of the National Office of the organisation was: Level 10, 377 Sussex Street, Sydney, New South Wales, 2000.

Signed:



Daniel Walton

National Secretary

Dated: 23 February 2018



Witness

Debra Bushell JP 147535

23 February 2018



13 February 2018

The National Secretary
Australian Workers' Union
members@nat.awu.net.au

CC: Queensland Branch, secretary@awu.org.au

Dear National Secretary,

Notification of changes made to records [AR2018/70]

I acknowledge receipt of notification of Steve Baker being elected to the position of Queensland Acting Branch Secretary until the 1st of June 2018, when Secretary Ben Swan will return from leave.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

Our records have been updated accordingly. The document can be viewed on the Website through the [list of Registered Organisations](#).

Thank you for your correspondence.

Yours faithfully,

Christine Hibberd
Registered Organisations Commission

STRONGER TOGETHER

AWUEQ
BRANCH OFFICE
Level 12, 333 Adelaide Street
BRISBANE, QLD 4000
GPO Box 88, BRISBANE, QLD, 4001
Members Hotline 1800 671 449
T: (07) 3221 8844 F: (07) 3221 8700
E: secretary@awu.org.au
Address all correspondence to the Secretary



ABN 54942536069

9 February 2018

Fair Work Australia
Registered Organisations Commission

Sent via email

Dear Sir/Madam,

Please be advised that I will be on annual leave from Monday 12 February to Friday 1 June 2018 (inclusive).

The Branch Executive of The Australian Workers' Union carried the following resolution via postal ballot to elect Steve Baker as the Acting Branch Secretary whilst I am on leave, and this postal ballot was approved and adopted on 9 February 2018.

"This Branch Executive agrees to pass the Postal Ballot Resolution provided on 8 February 2018, with respect to the appointment of Steve Baker as Acting Branch Secretary for the period 12 February to 1 June 2018, subject to any alteration advised by the Branch Secretary regarding the period of annual leave."

If you have any queries please don't hesitate to contact this office.

Regards



BEN SWAN
BRANCH SECRETARY

Secretary: Ben Swan

The Australian Workers' Union, Queensland Branch.
The Australian Workers' Union of Employees, Queensland.

www.qld.awu.net.au



1 February 2018

Mr Daniel Walton
The National Secretary
Australian Workers' Union

Sent via email: members@nat.awu.net.au

Dear Mr Walton,

**Australian Workers' Union
Annual Return of Information for 2018 [AR2018/70]**

This is a courtesy letter to remind you of the obligation to lodge an Annual Return of Information for 2018 in respect of the Australian Workers' Union by **31 March 2018**.

What must be lodged?

A signed and dated declaration certifying matters prescribed in the *Fair Work (Registered Organisations) Act 2009* (the RO Act) must be lodged with the Registered Organisations Commission (the Commission). The matters to be included in the declaration are set out in the attached checklist.

In maintaining the register of members, your attention is drawn to the circumstances where membership ceases to exist (s.230(2)(b) and s.171A of the RO Act).

Once an Annual Return has been lodged, a copy will be posted on our website at List of Registered Organisations. Therefore, to protect the privacy of the relevant office holders listed in the declarations, it is recommended that officers list their official mailing address rather than personal home address.

Who must sign the declaration?

The declaration must be signed by the Secretary, or where applicable, such other elected official who is required under the rules or by resolution of the organisation, to keep the relevant records (other prescribed officer). A declaration signed by a non-elected person does not meet this requirement.

Who lodges the Annual Return of information?

Section 233 places the onus of lodgement on the organisation and not on each individual branch. It is therefore the national body that is obliged to collate and lodge all of the required information. Annual Return templates are available on the Commission website at Registered Organisations fact sheets, one for an organisation with branches and one for an organisation without branches.

What happens if the information changes?

The Secretary, or other prescribed officer, must notify the Commission within 35 days of any changes to such records that may occur throughout the year.

Failure to comply with these obligations is subject to a civil penalty provision (up to \$63,000 for a body corporate and \$12,600 for an individual per contravention), under s.305 of the RO Act.

Please do not hesitate to contact the Commission by phone on 1300 341 665 or by e-mail at regorgs@roc.gov.au if you wish to discuss the requirements outlined in this correspondence.

Yours faithfully,



Sam Gallichio
Adviser
Registered Organisations Commission

Obligation to lodge Annual Return of Information by 31 March

For full details see ss.230-233 of the *Fair Work (Registered Organisations) Act 2009* (the RO Act), and rr.147-151 of the *Fair Work (Registered Organisations) Regulations 2009* (the RO Regulations).

A copy of each of the following records must be lodged with the Fair Work Commission (the Commission) between 1 January and 31 March each year. They must be certified by a declaration stating that it is a correct statement of the information contained in that record, signed by the Secretary or such other elected official who is required under the rules, or by resolution of the organisation, to keep the relevant records (**other prescribed officer** see r.150 of the RO Regulations).

Failure to comply with these obligations is subject to a civil penalty provision – see s.305 of the RO Act.

Requirement	Details of requirement
Maintenance of Register of Members	A declaration by the Secretary or other prescribed officer certifying that the register of members has, during the immediately preceding calendar year, been kept and maintained as required by ss.230(1)(a) and (2) [s.233(1)(a)] Note: In maintaining the register of members, your attention is drawn to s.171A of the RO Act that outlines the circumstances where membership ceases to exist.
List of Offices	A list of the offices in the organisation and each branch (note the definition of office and officer in ss.6 and 9) [s.230(1)(b)]
List of Office holders	A list of the names, postal addresses and occupations of the persons holding the offices (note the definition of office and officer in ss.6 and 9) [s.230(1)(c)]
List of Branches	A record of the name of each branch of the organisation [s.230(1)(d) and r.147(a)]
New Branches	A record of the name of each branch that commenced operation in the previous 12 months [s.230(1)(d) and r.147(b)]
Old Branches	A record of the name of each branch that ceased operation in the previous 12 months [s.230(1)(d) and r.147(c)]
Addresses of Organisation and Branches	A record of the address of the office of the organisation and the address of the office of each branch [s.230(1)(d) and r.147(d)]
Elections in Organisation and Branches	A record of each election that must, under the rules of the organisation, be held during the year commencing 1 January of the year in which the return is lodged, for any offices in the organisation and branches of the organisation . [s.230(1)(d) and r.147(e)] Note: this provision does not relieve an organisation or branch from the separate requirement to lodge prescribed information prior to each election, as required by s.189 of the RO Act
Statement concerning number of members	A record of the number of members on 31 December in the previous year; and If the organisation has entered into an agreement relating to members of State unions under s.151(1) of the RO Act,- a record of the number of members of the organisation who were (on 31 December in the previous year) ineligible State members in relation to the organisation within the meaning of s.150 of the RO Act. [s.230(1)(d) and rr.147(f) and (g)]

Obligation to notify of changes within 35 days

If there are any changes during the year to the offices, officeholders, branches (where relevant) or the address of the organisation and/or its branches an organisation must, within 35 days of the change, lodge with the Commission, a notification of such changes certified by declaration signed by the secretary or other prescribed officer of the organisation to be a correct statement of the changes made [RO Act s.233(2) and RO Regulations r.151 refer].