

NATIONAL TERTIARY
EDUCATION INDUSTRY UNION
UNIVERSITY OF NEW SOUTH WALES
BRANCH

FINANCIAL ACCOUNTS

AND

CERTIFICATES

FOR THE YEAR ENDED

30 JUNE 2002

AUDITORS REPORT

I report that I have inspected and audited the accounting records of **National Tertiary Education Industry Union – University of New South Wales Branch** in relation to the financial year of the organisation ended 30 June 2002 and report that in my opinion satisfactory accounting records were kept by the organisation in relation to the year, including:

(a) (i) records of the sources and nature of the income of the organisation (including income from members); and

(ii) records of the nature and purposes of the expenditure of the organisation; and

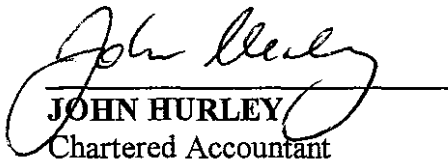
in my opinion the accounts and other statements prepared under section 273 of the Workplace Relations Act 1996 in relation to the year were properly drawn up so as to give a true and fair view of:

(b) (i) the financial affairs of the organisation as at the end of the year; and

(ii) the income and expenditure, and any surplus or deficit, of the organisation for the year; and

(c) (i) all information, being information which was reasonably wanted for the purpose of this audit, which was required and sought by me or by any person authorised by me for the purpose of the audit, was provided.

I also understand that to the extent that there was any deficiency, failure or shortcoming in relation to the matters referred to above, I must report particulars and that those particulars, if any, which form part of this report, are attached.


JOHN HURLEY
Chartered Accountant

Registered Company Auditor Number 15722
Level 4, 83 Mount Street
NORTH SYDNEY NSW 2059

SIGNED at Sydney this day of

CERTIFICATE BY SECRETARY OF THE NTEU – UNIVERSITY OF NEW SOUTH WALES BRANCH

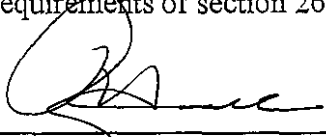
I, **Ralph Hall**, Branch Secretary of the **National Tertiary Education Industry Union – University of New South Wales Branch** hereby certify that the documents lodged herewith are true copies of the accounts, auditor's report and certificates presented to the:

- general meeting of members of the organisation held on 13 November 2022
and made available to all members who were informed that the above-mentioned documents were to be viewed on the Branch's web site for non-attending members from 6 November 2022
and/or
 the committee of management meeting held on 25 October 2022

in respect of the financial year of the organisation ending 30 June 2002.

The documents lodged herewith are:

- (i) copies of the accounts prepared in accordance with the requirements of section 273 of the Workplace Relations Act 1996; and
- (ii) a copy of the certificate given by the accounting officer of the organisation; and
- (iii) a copy of the certificate given by the committee of management; and
- (iv) a copy of the report of the auditor of the organisation prepared; and
- (v) a statement by an officer of the organisation in respect of loans, grants or donations by the organisation which are notifiable in accordance with the requirements of section 269 of the Workplace Relations Act 1996.

Signed: 

RALPH HALL

Dated: 25 October 2022

CERTIFICATE BY THE ACCOUNTING OFFICER OF THE NTEU –UNIVERSITY OF NEW SOUTH WALES BRANCH

I, **Roslynn O'Grady**, the accounting officer of the **National Tertiary Education Industry Union –University of New South Wales Branch**, hereby certify that to the best of my knowledge and belief that:

there were 1071 persons that were members of the organisation at the end of the financial year ended 30 June 2002

and in my opinion,

- (i) the attached accounts show a true and fair view of the financial affairs of the organisation as at the end of the financial year; and
- (ii) the accompanying audit report, accounts and Certificate of Committee of Management are copies of the documents presented to a meeting in accordance with section 279 (6) of the Workplace Relations Act 1996; and
- (iii) a record has been kept of the money paid by, or collected from, members of the organisation, and all money so paid or collected has been credited to the bank account or accounts to which the money is to be credited, in accordance with the rules of the organisation; and
- (iv) before any expenditure was incurred by the organisation, approval of the incurring of the expenditure was obtained in accordance with the rules of the organisation; and
- (v) no payment was made out of a fund referred to in the Regulations for a purpose other than the purpose for which the fund was operated; and
- (vi) all loans or other financial benefits granted to persons holding office in the organisation were authorised in accordance with the rules of the organisation; and
- (vii) the register of members of the organisation was maintained in accordance with the Act.



ROSSLYN O'GRADY

SIGNED at Sydney this *31st* day of *OCTOBER* *2002*

CERTIFICATE BY COMMITTEE OF MANAGEMENT OF THE NTEU –UNIVERSITY OF NEW SOUTH WALES BRANCH

We, the undersigned members of the committee of management of the **National Tertiary Education Industry Union –University of New South Wales Branch** hereby certify in respect of the financial year ended 30 June 2002 that:

- (i) in the opinion of the committee of management the accounts prepared show a true and fair view of the financial affairs of the organisation as at the end of the financial year to which they relate; and
- (ii) in the opinion of the committee of management, during the financial year ended 30 June 2002, meetings of the committee of management were, in the opinion of the committee, held in accordance with the rules of the organisation; and
- (iii) to the knowledge of any member of the committee, there have not been, during the financial year to which the accounts relate, instances where records of the organisation or other documents (not being documents containing information made available to a member of the organisation under sub-section 274 (2) of the Workplace Relations Act 1996, or copies of those records or other documents, or copies of the rules of the organisation, have not been furnished, or made available, to members of the organisation in accordance with the Workplace Relations Act 1996, this Regulation or the rules of the organisation, as the case may be; and
- (iv) the Branch has complied with sub-sections 279 (1) and (6) of the Workplace Relations Act 1996 in relation to the financial accounts in respect of the previous financial period and the Auditors Report thereon.

This certificate is in accordance with a resolution passed by the committee of management of the organisation in relation to the matters to be stated in the certificate and is signed on behalf of the committee of management by at least 2 members of the committee.



BRUCE MILTHORPE

Dated: 31/10/02



JOHN O'BRIEN

Dated: 31/10/02

NOTICE TO MEMBERS
NTEU –UNIVERSITY OF NEW SOUTH WALES
BRANCH
FOR THE YEAR ENDED 30 JUNE 2002

Subsections (1) and (2) of section 512 of the *Industrial Relations Act 1991*, preserved as regulations under section 282(3) *Industrial Relations Act 1996*, provide that which read as follows:

- s.512. (1) A member of an organisation, or the Industrial Registrar, may apply to the organisation for specified information prescribed by the regulations in (2) relation to the organisation. [Industrial Relations Regulation 1992, clause 60]
- (2) An organisation must, on the making of such an application, make the specified information available to the member or the Industrial Registrar in the manner, and within the time, prescribed by the regulations.

NTEU-UNSW Branch

Room 401
Mathews Building
UNSW

Analyse Balance Sheet

June 2002

13/9/02
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	This Year	Last Year
Assets		
Current Assets		
Cash On Hand		
Redifund Account S10	\$201,715.47	\$144,616.10
Petty Cash	\$109.18	\$109.50
Labelling Imprest	\$400.00	\$400.00
Trade Debtors	\$15,438.00	\$14,626.18
Total Cash On Hand	<u>\$217,662.65</u>	<u>\$159,751.78</u>
Non-Current Assets		
Plant & Equipment		
Plant & Equipment at cost	\$18,371.36	\$17,158.36
Plant & Equipment Acc Depn	(\$8,808.70)	(\$5,767.00)
Total Plant & Equipment	<u>\$9,562.66</u>	<u>\$11,391.36</u>
Total Non-Current Assets	<u>\$9,562.66</u>	<u>\$11,391.36</u>
Total Assets	<u>\$227,225.31</u>	<u>\$171,143.14</u>
Liabilities		
Current Liabilities		
Trade Creditors	\$46,053.69	\$9,838.20
GST Liabilities		
Liability GST National Office	\$2,979.54	\$3,755.48
Total GST Liabilities	<u>\$2,979.54</u>	<u>\$3,755.48</u>
Other Current Liabilities	\$20,038.00	\$11,299.42
Prepaid Membership Fees	\$1,107.38	\$1,192.28
Annual Leave Provision	\$16,446.00	\$9,715.00
Total Current Liabilities	<u>\$86,624.61</u>	<u>\$35,800.38</u>
Total Liabilities	<u>\$86,624.61</u>	<u>\$35,800.38</u>
Net Assets	<u>\$140,600.70</u>	<u>\$135,342.76</u>
Equity		
Retained Earnings	\$135,342.76	\$149,763.75
Current Year Surplus/Deficit	\$5,257.94	(\$14,420.99)
Total Equity	<u>\$140,600.70</u>	<u>\$135,342.76</u>

NTEU-UNSW Branch

Room 401
Mathews Building
UNSW

Profit & Loss Statement

July 2001 through June 2002

13/9/02
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	This Year	Last Year
Income		
Membership Fees	\$588,446.96	\$581,886.57
Miscellaneous Income	\$0.00	\$125.00
Interest Received	\$6,765.96	\$7,254.50
Refund of Donation	\$0.00	\$1,500.00
Total Income	<u>\$595,212.92</u>	<u>\$590,766.07</u>
Cost of Sales		
Gross Profit	<u>\$595,212.92</u>	<u>\$590,766.07</u>
Expenses		
Accounting & Auditing Fees	\$2,800.00	\$3,660.00
Bank Charges	\$749.51	\$1,225.93
Capitation Fees		
Capitation Fees - NTEU	\$207,170.91	\$209,253.67
Capitation Fees - NSW	\$202,453.67	\$200,349.79
Capitation Fees - ACT	\$23,268.90	\$21,923.85
Computer Expenses	\$940.77	\$375.84
Conference Expenses	\$983.76	\$1,264.53
Consulting Fees	\$0.00	\$500.00
Depreciation	\$3,041.70	\$3,323.00
Donations	\$0.00	\$1,550.00
Equipment Rental Expense	\$2,029.02	\$2,133.20
Enterprise Bargaining Expenses	\$193.44	\$0.00
General Expenses	\$1,157.91	\$1,875.24
Functions	\$2,167.87	\$0.00
Labelling Imprest	\$1,170.91	\$1,380.00
Maintenance	\$163.64	\$0.00
Meeting Expenses	\$5,448.72	\$3,464.95
Office Supplies	\$797.36	\$1,358.73
Office Equipment	\$386.36	\$0.00
Photocopying	\$154.09	\$207.67
Stationery	\$2,273.93	\$4,715.85
Printing	\$972.34	\$0.00
Telephone	\$1,366.51	\$2,443.67
Travel & Entertainment	\$596.56	\$1,220.62
Employment Expenses		
Staff Amenities & Training	\$0.00	\$144.09
Wages & Salaries	\$77,591.48	\$82,398.69
LSL Provision	\$1,939.93	\$1,021.29
Holiday Provision	\$6,731.00	\$9,715.00
Workers' Compensation	\$735.16	\$1,088.35
Payroll Tax	\$6,125.64	\$3,296.99
B/B Superannuation	\$2,202.96	\$1,593.84
E/ER Superannuation	\$11,449.92	\$5,312.68
Leave Loading	\$1,061.01	\$939.59
Total Employment Expenses	<u>\$107,837.10</u>	<u>\$105,510.52</u>
Total Expenses	<u>\$568,124.98</u>	<u>\$567,737.06</u>
Operating Profit	<u>\$27,087.94</u>	<u>\$23,029.01</u>
Other Income		
Other Expenses		
Trns NSW Div - Payroll Tax	\$6,830.00	\$22,450.00
Trns NSW Div Solidarity Fund	\$15,000.00	\$15,000.00
Total Other Expenses	<u>\$21,830.00</u>	<u>\$37,450.00</u>

Net Surplus / (Deficit)

\$5,257.94

(\$14,420.99)



AUSTRALIAN INDUSTRIAL REGISTRY

Level 35, Nauru House
80 Collins Street, Melbourne, VIC 3000
GPO Box 1994S, Melbourne, VIC 3001
Fax: (03) 9654 6672

Mr. R. Hall
Branch Secretary
National Tertiary Education Industry Union
University of New South Wales NTEU Office
Room 401, Matthews Building
University of New South Wales
KENSINGTON NSW 2052

Dear Mr. Hall,

**Re: Financial documents for year ended 30 June 2002
FR 2002/464**

Reference is made to the financial documents of the University of New South Wales Branch of the National Tertiary Education Industry Union for the year ended 30 June 2002 which were lodged in the Industrial Registry on 22 November 2002.

Receipt is also acknowledged of the statement pursuant to section 269 of the Workplace Relations Act 1996, relating to particulars of a donation and loan made by the branch for the financial year ended 30 June 2002. The statement has been placed on a file which is not available to the public.

The following matter concerning the financial reporting requirements of the Workplace Relations Act 1996 ('the Act'), requires your further attention; your written response to this matter is requested by Monday, 27 January 2003.

1. Auditor's Report

The auditor's report is undated.

As the date of the auditor's report sets in train the timescales in which the financial documents are to be distributed to members, presented to a meeting and lodged with the Registrar it is an essential element of the report.

Would you please request the auditor to forward a dated and signed copy of the auditor's report to this office.

The following matters are referred for assistance when preparing future financial documents; no further action is requested in respect of these:

2. Loans, Grants and Donations

Section 269 of the Act requires an organisation shall, as soon as practicable after the financial year, lodge with the Registrar a statement showing the relevant particulars in relation to each loan, grant or donation of an amount exceeding \$1,000 made by the organisation during the financial year.

Subsection 269(4) of the Act provides:

"The relevant particulars, in relation to a loan made by an organisation, are:

- (a) the amount of the loan;
- (b) the purpose for which the loan was required;
- (c) the security given in relation to the loan; and
- (d) except where the loan was made to relieve a member of the organisation, or a dependant of a member of the organisation, from severe financial hardship - the name and address of the person to whom the loan was made and the arrangements made for the repayment of the loan.

Subsection 269(5) of the Act provides:

"The relevant particulars, in relation to a grant or donation made by an organisation, are:

- (a) the amount of the grant or donation
- (b) the purpose for which the grant or donation was made; and
- (c) except where the grant or donation was made to relieve a member of the organisation, or a dependant of a member of the organisation, from severe financial hardship - the name and address of the person to whom the grant or donation was made.

The statement lodged under section 269 did not provide the purposes for which the loan and donation were made as required by subsections 269(4)(b) and (5)(b).

In future, would you please ensure all the information as required by section 269 is provided when lodging section 269 statements.

3. Prescribed Information Notice

The notice included in the accounts drawing attention to provisions of the Act that prescribed information is available to members on request has set out the provisions of subsections (1) and (2) of section 512 of the Industrial Relations Act 1991.

The prescribed information notice should set out the requirements of subsections 274(1), (2) and (3) of the Workplace Relations Act 1996.

Should you wish to discuss this letter or if you require further information on the financial reporting requirements of the Act, I may be contacted on (03) 8661 7993.

Yours sincerely,



Larry Powell
Statutory Services Branch

10 January 2003

Level 4 - 83 Mount Street
North Sydney NSW 2060
Post Office Box 1306
North Sydney NSW 2059

AUDITORS REPORT

Telephone: (02) 9954 3843
Facsimile: (02) 9956 8452
Email: hurleyco@ozemail.com.au
ABN: 33 374 535 922

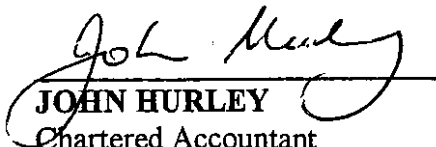
I report that I have inspected and audited the accounting records of **National Tertiary Education Industry Union –University of New South Wales Branch** in relation to the financial year of the organisation ended 30 June 2002 and report that in my opinion satisfactory accounting records were kept by the organisation in relation to the year, including:

- (a) (i) records of the sources and nature of the income of the organisation (including income from members); and
- (ii) records of the nature and purposes of the expenditure of the organisation; and

in my opinion the accounts and other statements prepared under section 273 of the Workplace Relations Act 1996 in relation to the year were properly drawn up so as to give a true and fair view of:

- (b) (i) the financial affairs of the organisation as at the end of the year; and
- (ii) the income and expenditure, and any surplus or deficit, of the organisation for the year; and
- (c) (i) all information, being information which was reasonably wanted for the purpose of this audit, which was required and sought by me or by any person authorised by me for the purpose of the audit, was provided.

I also understand that to the extent that there was any deficiency, failure or shortcoming in relation to the matters referred to above, I must report particulars and that those particulars, if any, which form part of this report, are attached.


JOHN HURLEY
Chartered Accountant

Registered Company Auditor Number 15722
Level 4, 83 Mount Street
NORTH SYDNEY NSW 2059

SIGNED at Sydney this 21st day of OCTOBER 2002

3.4



AUSTRALIAN INDUSTRIAL REGISTRY

Level 35, Nauru House
80 Collins Street, Melbourne, VIC 3000
GPO Box 1994S, Melbourne, VIC 3001
Fax: (03) 9654 6672

Mr. R. Hall
Branch Secretary
National Tertiary Education Industry Union
University of New South Wales NTEU Office
Room 401, Matthews Building
University of New South Wales
KENSINGTON NSW 2052

Dear Mr. Hall,

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FR 2002/464**

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The following matter concerning the financial reporting requirements of the Workplace Relations Act 1996 ('the Act'), requires your further attention; your written response to this matter is requested by Monday, 27 January 2003.

1. Auditor's Report

The auditor's report is undated.

As the date of the auditor's report sets in train the timescales in which the financial documents are to be distributed to members, presented to a meeting and lodged with the Registrar it is an essential element of the report.

✓ Would you please request the auditor to forward a dated and signed copy of the auditor's report to this office.

The following matters are referred for assistance when preparing future financial documents; no further action is requested in respect of these:

2. Loans, Grants and Donations

Section 269 of the Act requires an organisation shall, as soon as practicable after the financial year, lodge with the Registrar a statement showing the relevant particulars in relation to each loan, grant or donation of an amount exceeding \$1,000 made by the organisation during the financial year.

Subsection 269(4) of the Act provides:

"The relevant particulars, in relation to a loan made by an organisation, are:

- (a) the amount of the loan;
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- (c) the security given in relation to the loan; and
- (d) except where the loan was made to relieve a member of the organisation, or a dependant of a member of the organisation, from severe financial hardship - the name and address of the person to whom the loan was made and the arrangements made for the repayment of the loan.

Subsection 269(5) of the Act provides:

"The relevant particulars, in relation to a grant or donation made by an organisation, are:

- (a) the amount of the grant or donation
- (b) the purpose for which the grant or donation was made; and
- (c) except where the grant or donation was made to relieve a member of the organisation, or a dependant of a member of the organisation, from severe financial hardship - the name and address of the person to whom the grant or donation was made.

The statement lodged under section 269 did not provide the purposes for which the loan and donation were made as required by subsections 269(4)(b) and (5)(b).

In future, would you please ensure all the information as required by section 269 is provided when lodging section 269 statements.

3. Prescribed Information Notice

The notice included in the accounts drawing attention to provisions of the Act that prescribed information is available to members on request has set out the provisions of subsections (1) and (2) of section 512 of the Industrial Relations Act 1991.

The prescribed information notice should set out the requirements of subsections 274(1), (2) and (3) of the Workplace Relations Act 1996.

Should you wish to discuss this letter or if you require further information on the financial reporting requirements of the Act, I may be contacted on (03) 8661 7993.

Yours sincerely,



Larry Powell
Statutory Services Branch

10 January 2003



AUSTRALIAN INDUSTRIAL REGISTRY

Level 35, Nauru House
80 Collins Street, Melbourne, VIC 3000
GPO Box 1994S, Melbourne, VIC 3001
Fax: (03) 9654 6672

Mr. R. Hall
Branch Secretary
National Tertiary Education Industry Union
University of New South Wales NTEU Office
Room 401, Matthews Building
University of New South Wales
KENSINGTON NSW 2052

Dear Mr. Hall,

**Re: Financial documents for year ended 30 June 2002
FR 2002/464**

Thank you for forwarding additional documentation being a copy of an amended auditor's report in relation to the financial documents of the University of New South Wales Branch of the National Tertiary Education Industry Union for the year ended 30 June 1992.

The financial documents have now been filed.

The following matter is referred for assistance when preparing future financial documents; no further action is requested in respect of this:

Auditor's Report

The auditor in his report is required to give an opinion in respect of accounts and statements prepared in accordance with section 273 of the Act; such accounts include the certificates of the accounting officer and the committee of management.

The dates of the certificates supplied in respect of your Branch would indicate preparation after the auditor made his report. Would you please bring this matter to your auditor's attention.

Should you wish to discuss this letter or if you require further information on the financial reporting requirements of the Act, I may be contacted on (03) 8661 7993.

Yours sincerely,

Larry Powell
Statutory Services Branch

26 February 2003