

Australian Government Registered Organisations Commission

16 March 2018

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia

Dear Mr Sheldon,

### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the NSW Branch of the Transport Workers' Union of Australia.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the <u>list of Registered</u> <u>Organisations</u>.

Date of lodgement	Branch	Change	Within prescribed period (Yes/No).
			If No, how many days late
			uays late
05/02/2018	NSW	New office holder	No, 32 days

#### Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

### Late notification

The notification from your organisation indicates that changes occurred more than 35 days prior to its lodgement. Please ensure that future notifications of change are lodged within 35 days of the change occurring.

### Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests. Details are contained in the RO Act and are summarised in the ROC's <u>Disclosures Fact Sheet</u>.

GPO Box 2983, Melbourne VIC 3001 Telephone: 1300 341 665 | Email: regorgs@roc.gov.au Website: www.roc.gov.au

### **Mandatory training**

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management to undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for your correspondence.

Yours faithfully,

Declan Clifford Registered Organisations Commission



# Transport Workers' Union of Australia, NSW Branch

Richard Olsen State Secretary | P: 02 9912 0700 | F: 02 9891 4953 | E: info@twunsw.org.au | W: www.twunsw.org.au

ABN: 24 302 560 296

5 February 2018

Mr Mark Bielecki Registered Organisations Commissioner Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001

By email: regorgs@roc.gov.au

cc: Michael Kaine, National Assistant Secretary

Dear Sir

### Re: Changes to Officeholders - TWU of Australia, NSW Branch

Attached to this correspondence are recent changes made to officeholders of the TWU of Australia, NSW Branch.

In terms of the officeholder change contained in the attached, being the appointment of Mark Trevillian as Branch Vice-President, as can be seen the change falls outside of the 35 days notification period.

This was an inadvertent error and the information should have been included on the notifications sent to your office on or around 15 December 2017 as the appointment was made at the same Branch Committee of Management meeting held on 30 November 2017 that Charles McKay was appointed as Branch President.

The error was picked up only when we received the response from your office on 23 January 2018 which did not contain a record of the appointment of Mr Trevillian as Branch Vice-President. After checking our records we discovered that Mr Trevillian's appointment had been mistakenly left off this notification.

I trust that this correspondence and the attached corrects this oversight.

Please do not hesitate to contact the undersigned should you wish to discuss this further.

Yours sincerely,

N.MOL

Nick McIntosh Official

### AUSTRALIA'S STRONGEST UNION, GIVING TRANSPORT WORKERS A POWERFUL VOICE SINCE 1888

PARRAMATTA 31 Cowper Street, Parramatta NSW 2150

PO Box 649 Parramatta NSW 2124

**NEWCASTLE** 5 Canavan Drive, Beresfield NSW 2322 P: 02 4969 3900 F: 02 4962 2614 WOLLONGONG 59 Princes Highway, West Wollongong NSW 2500 P: 02 4229 1753 F: 02 4228 5129

CANBERRA

3/289 Canberra Avenue, Fyshwick NSW 2609 P: (02) 6280 9353 F: (02) 6280 9373 NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations)* Regulations 2009

I, Richard Olsen, being the Branch Secretary of the Transport Workers' Union of Australia, NSW Branch, declare the following:

- 1. I am authorised to make this declaration.
- 2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and

forms part of this declaration. Signed:

Dated: 5 February 2018

### ANNEXURE A

• Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW	30.11.2017	Branch Vice- President	Charles McKay	Mark Trevillian	c/- TWU NSW Branch, PO Box 649, Parramatta NSW 2124	Truck Driver



Australian Government

**Registered Organisations Commission** 

23 January 2018

Mr Richard Olsen Transport Workers' Union of Australia-New South Wales Branch Email: twu.legal@twunsw.org.au

CC: Mr Anthony Sheldon, National Secretary Transport Workers' Union of Australia, twu@twu.com.au

Dear Mr Olsen,

### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the <u>list of Registered</u> <u>Organisations</u>.

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
15/12/2017	NSW	Branch Committee of Management Sydney and Central Sub-Branch Representative	Yes
15/12/2017	NSW	Branch President	Yes
15/12/2017	NSW	National Councillor	Yes

### Time frames

Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

### Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which are contained in the RO Act and are summarised in the ROC's <u>Disclosures Fact Sheet</u>.

### Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management must undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for your correspondence.

Yours faithfully,

Michael Moutevelis Registered Organisations Commission

### svc-adlib5

From:	Nick McIntosh <nick.mcintosh@twunsw.org.au></nick.mcintosh@twunsw.org.au>
Sent:	Friday, 15 December 2017 1:46 PM
То:	ROC - Registered Org Commission
Cc:	'Michael Kaine'
Subject:	AR2017/82 TWU NSW Branch Change to Officeholders
Attachments:	171215 ROC Changes.pdf

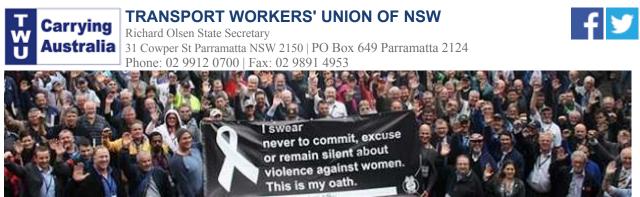
Dear Commissioner,

Please find attached changes to officeholders of the above organisation.

Please do not hesitate to contact me should you have any questions.

Regards,

Nick McIntosh | Assistant State Secretary T+61 2 9912-0715 | M 0418667058 E Nick.McIntosh@twunsw.org.au | W www.twunsw.org.au



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# Transport Workers' Union of Australia, NSW Branch

Richard Olsen State Secretary | P: 02 9912 0700 | F: 02 9891 4953 | E: info@twunsw.org.au | W: www.twunsw.org.au

ABN: 24 302 560 296

15 December 2017

Mr Mark Bielecki Registered Organisations Commissioner Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001

By email: regorgs@roc.gov.au

cc: Michael Kaine, National Assistant Secretary

Dear Sir

### Re: Changes to Officeholders - TWU of Australia, NSW Branch

Attached to this correspondence are recent changes made to officeholders of the TWU of Australia, NSW Branch.

Please do not hesitate to contact Assistant Secretary Nick McIntosh should you wish to discuss this further.

Yours sincere

Richard Olsen Branch Secretary-Treasurer Transport Workers' Union of Australia, NSW Branch

### AUSTRALIA'S STRONGEST UNION, GIVING TRANSPORT WORKERS A POWERFUL VOICE SINCE 1888

PARRAMATTA

31 Cowper Street, Parramatta NSW 2150 PO Box 649 Parramatta NSW 2124 NEWCASTLE 5 Canavan Drive, Beresfield NSW 2322 P: 02 4969 3900 F: 02 4962 2614 WOLLONGONG 59 Princes Highway,

West Wollongong NSW 2500

P: 02 4229 1753 F: 02 4228 5129

**CANBERRA** 

3/289 Canberra Avenue, Fyshwick NSW 2609 P: (02) 6280 9353 F: (02) 6280 9373 NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 Fair Work (Registered Organisations) Act 2009 and regulation 147 Fair Work (Registered Organisations) Regulations 2009

I, Richard Olsen, being the Branch Secretary of the Transport Workers' Union of Australia, NSW Branch, declare the following:

- 1. I am authorised to make this declaration.
- 2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Fair Work (Registered Organisations) Act 2009:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

huhl Oh 15 December 2017

Dated:

### ANNEXURE A

• Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW	17.11.2017	Branch Committee of Management Sydney and Central Sub- Branch Representative	George Clarke	Vacant	c/- TWU NSW Branch, PO Box 649, Parramatta NSW 2124	N/A
	17.11.2017 (resigned) 30.11.17 (appointed)	Branch President	George Clarke	Charles McKay	As above	Cash in Transit Employee
	17.11.2017	National Councillor	George Clarke	Vacant	As above	N/A



Australian Government Registered Organisations Commission

29 November 2017

Mr Michael Kaine National Assistant Secretary Transport Workers' Union of Australia legal@twu.com.au

CC: New South Wales Branch, richard.olsen@twunsw.org.au

Dear Mr Kaine,

### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the Transport Workers' Union of Australia.

This information was lodged with the Registered Organisations Commission (the ROC) pursuant to the notification requirements in section 233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly. The document can be viewed on the Website through the <u>list of Registered</u> <u>Organisations</u>.

Date of lodgement	Branch	Change	Within prescribed period (Yes/No). If No, how many days late
28/11/2017	NSW	Change of Branch Committee of Management South Coast and Southern Sub-Branch Representative (1)	Yes

### Late notifications

Please note that Regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009* prescribes that a notification of change pursuant to section 233(2) of the RO Act must be lodged within 35 days of the change occurring. I note that this is a civil penalty provision and non-compliance may leave your organisation open to Federal Court proceedings.

### Mandatory disclosures

Please ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which are contained in the RO Act and are summarised in the ROC's <u>Disclosures Fact Sheet</u>.

### Mandatory training

Please also note that Section 293K of the RO Act requires each officer with duties that relate to the financial management must undertake approved training that covers the duties within 6 months after the person begins to hold office. Please ensure that relevant officers are advised of the

GPO Box 2983, Melbourne VIC 3001 Telephone: 1300 341 665 | Email: regorgs@roc.gov.au Website: <u>www.roc.gov.au</u> requirement to undertake approved training. Please also ensure that they undertake the training within the required timeframe.

Thank you for your correspondence.

Yours faithfully,

Christine Hibberd Registered Organisations Commission



# Transport Workers' Union of Australia, NSW Branch

Richard Olsen State Secretary | P: 02 9912 0700 | F: 02 9891 4953 | E: info@twunsw.org.au | W: www.twunsw.org.au

ABN: 24 302 560 296

28 November 2017

Mr Mark Bielecki **Registered Organisations Commissioner Registered Organisations Commission GPO Box 2983 MELBOURNE VIC 3001** 

By email: regorgs@roc.gov.au

cc: Michael Kaine, National Assistant Secretary

Dear Sir

### Re: Changes to Officeholders - TWU of Australia, NSW Branch

At a meeting of the Branch Committee of Management on 10 November 2017 changes of officeholders were made by appointment as per the attached.

Please do not hesitate to contact Assistant Secretary Nick McIntosh should you wish to discuss this further.

Your sincere

**Richard Olsen Branch Secretary-Treasurer** Transport Workers' Union of Australia, NSW Branch

### AUSTRALIA'S STRONGEST UNION, GIVING TRANSPORT WORKERS A POWERFUL VOICE SINCE 1888

### PARRAMATTA

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3/289 Canberra Avenue, Fyshwick NSW 2609 P: (02) 6280 9353 F: (02) 6280 9373 NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 Fair Work (Registered Organisations) Act 2009 and regulation 147 Fair Work (Registered Organisations) Regulations 2009

I, Richard Olsen, being the Branch Secretary of the Transport Workers' Union of Australia, NSW Branch, declare the following:

- 1. I am authorised to make this declaration.
- 2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Fair Work (Registered Organisations) Act 2009:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed:

28 November 2017

Dated:

### ANNEXURE A

• Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW	10.11.2017	Branch Committee of Management South Coast and Southern Sub- Branch Representative	Don McPherson	Jason Larfield	c/- TWU NSW Branch, PO Box 649, Parramatta NSW 2124	Truck Driver

From: Sent:	ROC - Registered Org Commission Thursday, 12 October 2017 3:40 PM
Subject:	Education and advice campaign - notification of changes to records within prescribed timeframe [SEC=UNCLASSIFIED]
Attachments:	Letter to orgs_Notifications of Change.pdf; Fact Sheet_Notification of changes.pdf; Guidance Note_notifications of change.pdf; Legislative Summary_Notifications of Change.pdf

Good afternoon,

Please find attached correspondence for the Secretary or other prescribed office holder, providing education and advice regarding the obligation to notify the Registered Organisations Commission (the ROC) of changes to the records of a registered organisation.

Kind regards,

Office of the Registered Organisations Commission

Tel: 1300 341 665 regorgs@roc.gov.au

GPO Box 2983, MELBOURNE VIC 3001 | 414 Latrobe Street, Melbourne Victoria 3000



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12 October 2017

Dear Secretary (or prescribed office holder),

# Education and advice campaign - the obligation to notify the ROC of changes to records within the prescribed statutory time frame

I write on behalf of the Registered Organisations Commissioner to advise you about an education and advice campaign being conducted by the Registered Organisations Commission (ROC) which is designed to encourage all registered organisations and their office holders to comply with the statutory obligation to lodge timely notifications of changes to records, contained in section 233(2) of the *Fair work (Registered Organisations) Act 2009* (the RO Act).

The ROC has become increasingly concerned about systemic contraventions of s.233(2) in which the lodgement of notifications are repeatedly weeks, months or even years late, or have not been lodged at all. Contraventions of this provision can give rise to risks for registered organisations and their members as a result of reduced transparency and accountability, and can expose the organisation to potential civil penalty proceedings.

As an independent regulator, the ROC's approach seeks to be fair, proportionate, objective and evidencebased. The ROC considers that compliance with statutory obligations is essential to ensure that registered organisations function efficiently, effectively and transparently.

### The importance of timely notifications

The statutory obligation on registered organisations to notify the ROC of particular changes to records under s.233(2) of the RO Act has been part of the regulatory scheme in various forms for over 100 years and is currently a civil penalty provision, which means that registered organisations or their prescribed office holders may be potentially liable for any breaches.

The obligation is essential for a number of reasons including that:

- It assists members to participate in the democratic functioning and control of their organisations;
- Enables the regulator to publish information about who current office holders are; and
- It promotes accountability of office holders who are managing the funds and assets of registered organisations.

Registered organisations represent the interests of approximately 2 million members nationally. It is essential that timely information about the identity of relevant office holders is lodged in compliance with the RO Act so that the regulator and each organisation's members can be aware of which office holders are exercising management and financial responsibilities in their organisations.

The ROC and its predecessors have published the lists of office holders of registered organisations on the relevant agency's public website since at least 2003. The ROC also publishes all notifications about changes to the branches, office addresses and office holders of registered organisations lodged under s.233(2) of the RO Act. The publication of this information provides transparency to members and the general public and facilitates accountability.

### Educational reference documents

The ROC is committed to providing education, assistance and advice to registered organisations as part of the functions set out in s.329AB of the RO Act. Our primary educational goal is to maximise voluntary compliance with statutory obligations under the RO Act, to promote the effective governance, accountability and transparency of registered organisations and to thereby reduce the need for enforcement action.

As one part of a strategy to assist organisations to increase voluntary compliance with the s.233(2) obligation, the ROC has recently produced some new educational guides which are **enclosed**:

- <u>Guidance Note</u> a quick reference guide in the form of a table, using scenarios where organisation staff and office holders may be unsure if they are obliged to notify changes. The table contains references to all relevant legislative provisions;
- <u>Fact Sheet</u> a separate fact sheet outlining the types of changes that need to be notified and what needs to be included with the notification;
- <u>Legislative Summary</u> a brief summary of the history of the legislative provision to notify changes, included for the purpose of understanding the historical context of the obligation.

In addition, the ROC will continue its ongoing practice of providing courtesy reminder correspondence about the requirement to notify changes to office holders, whenever we are provided with election results from the Australian Electoral Commission (**AEC**). Further, the ROC proposes to audit and continue to review compliance with this important statutory obligation.

Should you have any questions or feedback in relation to this correspondence, I can be contacted on 1300 341 665 or via regorgs@roc.gov.au.

Yours faithfully,

Chris Enright Executive Director Registered Organisations Commission



# Fact sheet

## Notification of changes

The *Fair Work (Registered Organisations)* Act 2009 requires organisations of employers and employees to annually lodge certain information to the Registered Organisations Commission (the ROC). In addition, whenever any changes occur in relation to certain records, registered organisations are required to lodge a notification of change to the ROC. The change must be lodged within 35 days after the change occurs.

### **Records requiring notifications of any changes**

If any of the following records change, the organisation must notify the ROC of the change within 35 days after the change occurs:

- the list of offices in the organisation or in a branch of the organisation
- the name, postal address or occupation of a person holding office
- the name of a branch of the organisation
- the commencement or cessation of a branch of the organisation
- the address of the office of the organisation, or the address of the office of a branch of the organisation

## What does the ROC do with the information?

Records including the above information are required to be lodged by each organisation by 31 March in each year in the Annual Return of Information. Annual Returns are published under the corresponding organisation on the ROC website. This information can be found by clicking on the 'Find a Registered Organisation' tab. Notifications of changes are published in descending date order within the corresponding year's annual return document. This enables members to view the changes with reference to the complete list of office holders.

## When changes must be notified

Details of any changes must be notified within 35 days after the change occurs, as prescribed by regulation 151 of the *Fair Work (Registered Organisations) Regulations 2009.* 

## What needs to be included?

# <u>NOTE:</u> The ROC recommends use of the sample Notification of Changes template, as provided below, to help ensure that all of the required details are included in a notification of change

• The notification must be lodged with a declaration signed by the Secretary or another office holder whose duties under the rules of the organisation or branch include lodging required information to

the statutory body. The declaration must contain a statement that the information lodged is a correct statement of the changes made.

- The notification must include details of each relevant office/office holder/branch to which the changes have occurred.
- The notification must include the date the change occurred and clearly specify what the change was.
- The notification must indicate the date on which the changes occurred, as this pertains to the obligation to lodge the notification within 35 days of the change.
- <u>For changes to office holders</u> the following details are also required:
  - The title of the relevant office
  - The name of each new or former office holder
  - the postal address of each new office holder. For privacy reasons, the ROC recommends using the postal address of the relevant organisation/branch, rather than the residential address of the person.
  - The occupation of each new office holder
- For new branches the street address (not just a postal address) must be included.

## Who can sign the declaration?

The declaration must be signed by the Secretary or another office holder whose duties under the rules of the organisation or branch include lodging required information to the statutory body. A sample declaration template is provided below under 'sample notification of change template'.

If an organisation is divided into branches or divisions, the notification of change may be made by a national office holder or the relevant branch or division office holder, depending on who has authority under the rules of the organisation to do so.

## **Sample Notification of Changes Template**

The sample notification of change template provided below includes a sample declaration template and a sample notification table. The ROC recommends use of these documents to help ensure that all of the required information is provided.

• <u>Sample notification of change template</u>

### Find out more

- Guidance Note ROC Quiz Answers Notifications of Change
- Legislative Summary Notifications of Change
- ROC Internal Checklist Notifications of change
- Fact Sheet Records to be kept by registered organisations
- Fact Sheet Records to be lodged annually by registered organisations

For instructions on how to lodge a notification of changes with the ROC, please refer the <u>Lodging</u> <u>Documents</u> section of our website.



# **Guidance** Note

## **Notifications of Change ROC Quiz**

Question	<u>Answer</u>	<u>Reference</u> * <u>RO Act</u> = Fair Work (Registered Organisations) Act 2009; * <u>RO Regs</u> = Fair Work (Registered Organisations) Regulations 2009).
<ol> <li>Do organisations need to lodge a notification of changes <u>IF</u>:</li> </ol>		
a) An elected office holder resigned but the term of office was almost over	<b>YES</b> – any change to the list of office holders of the organisation or a branch of the organisation must be notified within 35 days of the change.	<ul> <li>Section 233(2) of the RO Act;</li> <li>Section 230(1)(b) of the RO Act;</li> <li>Reg.151 of the RO Regs</li> </ul>
<ul> <li>b) A person leaves an office but the person replacing them will not commence for a few weeks</li> </ul>	YES – this requires <u>two</u> notifications – one for the office holder leaving, and one for the person replacing them. If both changes can be notified within 35 days of the earliest change, they can be included together in just one notification, however, we recommend notifying each change as soon as possible after it occurs in order to ensure compliance.	<ul> <li>Section 233(2) of the RO Act;</li> <li>Reg.151 of the RO Regs</li> </ul>
c) An elected national office holder relocated to a different state	<b>YES</b> – any change to the address of an office holder must be notified within 35 days of the change	<ul> <li>Section 233(2) of the RO Act;</li> <li>Section 230(1)(c) of the RO Act;</li> <li>Reg.151 of the RO Regs</li> </ul>
d) A branch relocated to different premises	YES – any change to the office name or address of the organisation, or of a branch of the organisation, must be notified within 35 days of the change.	<ul> <li>Section 233(2) of the RO Act;</li> <li>Section 230(1)(d) of the RO Act;</li> <li>Reg.147(d)(ii) of the RO Regs;</li> <li>Reg.151 of the RO Regs</li> </ul>
e) The Secretary's telephone number or email address changed	Not required under the legislation – however, it is beneficial to update us with any changes to contact details so we can provide alerts for upcoming lodgement dates and other important information. You can email regorgs@roc.gov.au to advise changes to telephone and email addresses.	N/A
f) A Branch office changed its' telephone number or	Not required under the legislation – however, it is beneficial to keep	N/A

	preferred email address	us updated with relevant contact	
		details to facilitate ROC alerts for	
		upcoming lodgement dates and	
		other important information	
		updates. You can email regorgs@roc.gov.au to advise	
		changes to telephone and email	
		addresses.	
	g) The AEC has recently	YES – the organisation is still	• Section 233(2) of the RO Act;
	provided a declaration of	required to formally notify the	• Section 230(1)(c) of the RO Act;
	results for an election to	ROC of any <u>changes</u> to the list of	• Reg.151 of the RO Regs
	the organisation and the	office holders in the organisation	
	email shows that it was also	or any of its' branches. If an	
	sent to the ROC by the AEC	election results in any changes to	
		the people holding offices, those changes must be formally notified	
		within 35 days of the change.	
	<b>h)</b> An entire branch is	<b>YES</b> - the organisation is required	• Section 233(2) of the RO Act;
	abolished	to notify the ROC of any changes	<ul> <li>Section 230(2) of the RO Act,</li> <li>Sections 230(1)(b), (c) and (d) of</li> </ul>
		to its' list of branches; any changes	the RO Act;
		to the list of offices in a branch of	• Regs.147(a), (c) and (e)(ii) of the
		the organisation; and any changes	RO Regs;
		to the list of names, postal	<ul> <li>Reg.151 of the RO Regs</li> </ul>
		addresses and occupations of	
	i) A parson is re-alasted to	people holding those offices	N/A
	<ul> <li>A person is re-elected to the same office they</li> </ul>	NO – only changes need to be notified to the ROC	N/A
	already held, and they are		
	listed in the most recent		
	annual return list of office		
	holders		
	j) A person is re-elected, but	YES – any <u>changes</u> to the list of	<ul> <li>Section 233(2) of the RO Act;</li> </ul>
	to a different office	office holders in the organisation	• Section 230(1)(c) of the RO Act;
		or any of its' branches needs to be	• Reg.151 of the RO Regs
	k) A Committee of	notified to the ROC within 35 days. YES – members of the Committee	• Section 233(2) of the RO Act;
	Management Member	of Management are officers as	<ul> <li>Section 233(2) of the RO Act;</li> <li>Section 9 of the RO Act;</li> </ul>
	resigned, but they are not	defined in the Act. Any changes in	<ul> <li>Reg.151 of the RO Regs</li> </ul>
	the President, Secretary,	relation to such offices must be	
	Assistant Secretary or	lodged within 35 days of the date	
	Treasurer	the change took place.	
	I) A person resigned but it	NOT YET – a notification of change	• Section 233(2) of the RO Act;
	won't take effect for three	needs to be lodged within 35 days	• Reg.151 of the RO Regs
	months	after the change takes effect. This means it must be lodged between	
		the day it takes effect and 35 days	
		later.	
	m) A person has been elected	NOT YET – a notification of change	• Section 233(2) of the RO Act;
	but they won't take office	needs to be lodged after the	• Reg.151 of the RO Regs
	until a set date in the	change takes effect. It must be	
	future	lodged between the date it takes	
		effect and 35 days later.	
	2 What waada ta ba	A potification of change products	<ul> <li>Continue 222/2) of the DO A d</li> </ul>
1	<ol><li>What needs to be included in the</li></ol>	A notification of change needs to include relevant details of the	<ul> <li>Section 233(2) of the RO Act;</li> <li>Section 230(1)(c) of the RO Act;</li> </ul>
1	notification?	change. In relation to a change of	<ul><li>Section 230(1)(c) of the RO Act;</li><li>Reg.147 of the RO Regs</li></ul>
1			

		office holder, this will include the	
		date the change occurred, the title	
		of the relevant office, the name of	
		the relevant person/people,	
		whether they have ceased or	
		commenced office, the postal	
		address of the person / people (we	
		recommend using the relevant organisation/branch address for	
		privacy reasons), and the title of	
		the occupation of the person /	
		people. We recommend using the	
		Notification of Changes template,	
		which is available on the	
		Notification of Changes page of	
		the ROC website.	
Do org	anisations need to lodge:		
	amended list of all of the	NO – only details of the changes	• Section 233(2) of the RO Act
	cers	need to be lodged. If you lodge	
		the entire list again and do not	
		identify the changes staff of the	
		ROC will ask you to specify what	
		the changes are and when they	
		occurred	
Offi	icers' names	YES	• Section 233(2) of the RO Act;
			<ul> <li>Section 230(1)(c) of the RO Act</li> </ul>
Offi	icers' occupation titles	YES	<ul> <li>Section 233(2) of the RO Act;</li> </ul>
			<ul> <li>Section 230(1)(c) of the RO Act</li> </ul>
Offi	icers' home addresses	NO – please do not lodge their	N/A
	iers nome addresses	home addresses. The ROC	
		publishes all of the returns on the	
		website – please list their	
		professional addresses	
Offi	icers' professional addresses	YES – this can be the address of	• Section 233(2) of the RO Act;
		the Union or Employer	<ul> <li>Section 230(1)(c) of the RO Act</li> </ul>
		Association, or the relevant branch	
ONI	LY the changes to the	<b>NO</b> – notifications of change are	• Section 233(2) of the RO Act;
	sident, Treasurer, Vice	required in relation to all offices in	<ul> <li>Section 230(1)(c) of the RO Act;</li> </ul>
	sident and Secretary	which a change has occurred.	<ul> <li>Section 9 of the RO Act;</li> </ul>
	1		
		Offices include Committee of	
		Offices include Committee of Management Members (however	
		Management Members (however	
A sc	oft copy by email and a hard	Management Members (however named - including, for example,	N/A
	oft copy by email and a hard by by post	Management Members (however named - including, for example, Directors of the Board)	
		Management Members (however named - including, for example, Directors of the Board) <b>NO</b> – the ROC only requires one	
		Management Members (however named - including, for example, Directors of the Board) <b>NO</b> – the ROC only requires one lodgement and email is the	
		Management Members (however named - including, for example, Directors of the Board) <b>NO</b> – the ROC only requires one lodgement and email is the preferred method. The email	
сор		Management Members (however named - including, for example, Directors of the Board) NO – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications	
сор	y by post	Management Members (however named - including, for example, Directors of the Board) <b>NO</b> – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications is <u>regorgs@roc.gov.au</u> .	N/A
сор	y by post	Management Members (however named - including, for example, Directors of the Board) NO – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications is regorgs@roc.gov.au. NO – proof of leaving office is only	N/A
сор	y by post	Management Members (however named - including, for example, Directors of the Board) NO – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications is <u>regorgs@roc.gov.au</u> . NO – proof of leaving office is only required for evidence in relation to	N/A
сор	y by post	Management Members (however named - including, for example, Directors of the Board) NO – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications is regorgs@roc.gov.au. NO – proof of leaving office is only required for evidence in relation to casual vacancy election matters.	N/A
Сор	y by post	Management Members (however named - including, for example, Directors of the Board) NO – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications is regorgs@roc.gov.au. NO – proof of leaving office is only required for evidence in relation to casual vacancy election matters. Evidence is not required in relation	N/A N/A
Сор	by by post	Management Members (however named - including, for example, Directors of the Board) NO – the ROC only requires one lodgement and email is the preferred method. The email address to lodge the notifications is <u>regorgs@roc.gov.au</u> . NO – proof of leaving office is only required for evidence in relation to casual vacancy election matters. Evidence is not required in relation to notifications of changes.	N/A

3

<b>3.</b>	Elections and Notifications of change If an officer resigns do organisations need to lodge the Prescribed Information for a casual vacancy election	correct statement of the changes made. The declaration must be signed by the Secretary or another current officer whose duties under the rules include lodging required information to the statutory body. YES – the notification process is completely separate to the election process. Notification of a vacant office does not cause an election process to automatically	• Section 233(2) of the RO Act;
	separately to the notification of changes?	start. For information about lodging Prescribed Information for elections, see the <u>Elections page</u> of the ROC website.	
b)	Do organisations need to advise the ROC about changes even when the ROC has been provided with the declaration of results for an election by the AEC?	YES – it is one of the statutory obligations of organisations to notify the ROC, in writing, of any changes to the records the organisation has lodged. The AEC declaration of results provides the names of office holders elected, but does not indicate whether this constitutes a change to the list of office holders that the organisation lodged with the annual return.	<ul> <li>Section 233(2) of the RO Act;</li> <li>Section 230(1)(c) of the RO Act</li> </ul>
c)	If evidence of a vacancy has already been provided to the organisation for an election matter, is it still necessary to lodge a notification of the change?	YES – the notification of changes process and requirements are completely separate to those of the election process.	• Section 233(2) of the RO Act;



# **Guidance** Note

### Summary and Historical Context of the Legislative Provisions

A brief explanation of the historical context surrounding the reporting obligation to notify changes is provided below. This information is intended to promote a better understanding of the reporting obligation, as well as an understanding of some of the purposes of the obligation.

As an overview, the statutory requirement for federally registered organisations to notify the relevant regulator of changes to records:

- appears to have been part of the relevant legislative scheme for over a century,
- has varied in terms of the time periods allowed for the changes to be notified to the relevant regulator,
- is an essential, fundamental and appropriate statutory obligation which provides transparency to members and the regulator.

### Summary of the current provisions relevant to notifications of changes

'RO Act' means Fair Work (Registered Organisations) Act 2009.

'RO Regs' means Fair Work (Registered Organisations) Regulations 2009.

### Subsection 233(2) of the RO Act provides that:

An organisation must, within the prescribed period, lodge with the Commissioner notification of any change made to the records required to be kept under paragraphs 230(1)(b), (c) and (d), certified by declaration signed by the secretary or other prescribed officer of the organisation to be a correct statement of the changes made.

Civil penalty: 60 penalty units.

### Subsections 230(1)(b), (c) and (d) of the RO Act provide that:

- (1) An organisation must keep the following records:
  - (b) a list of the offices in the organisation and each branch of the organisation;
  - (c) a list of the names, postal addresses and occupations of the persons holding the offices;
  - (d) such other records as are prescribed.

#### Regulation 147 of the RO Regs provides that:

For paragraph 230(1)(d) of the Act, the following records are prescribed in relation to an organisation:

- (a) a record of the name of each branch of the organisation;
- (b) a record of the name of each branch that commenced operation in the previous 12 months;
- (c) a record of the name of each branch that ceased operation in the previous 12 months;

- (d) a record of the address of:
  - (i) the office of the organisation; and
  - (ii) the office of each branch of the organisation;
- (e) a record of each election that must, under the rules of the organisation, be held during each year commencing 1 January:
  - (i) for an office in the organisation; and
  - (ii) for an office in a branch of the organisation;
- (f) a record of the number of members on 31 December in the previous year;
- (g) if the organisation has entered into an agreement mentioned in subsection 151(1) of the Act a record of the number of members of the organisation who were, on 31 December in the previous year, ineligible State members, in relation to the organisation, within the meaning of section 150 of the Act.

Reguation 151 of the RO Regs provides that:

For subsection 233(2) of the Act, for the lodgment of notification of any change to the records, the period of 35 days, beginning on the day after the day when the change is made, is prescribed.

### Section 5 of the RO Act provides that:

The standards set out in this Act:

- (a) ensure that employer and employee organisations registered under this Act are representative of and accountable to their members, and are able to operate effectively; and
- (b) encourage members to participate in the affairs of the organisations to which they belong; and
- (c) encourage the efficient management of organisations and high standards of accountability of organisations to their members; and
- (d) provide for the democratic functioning and control of organisations; and
- (e) facilitate the registration of a diverse range of employer and employee organisations.

### Brief chronological history of the legislative provision

- <u>1913</u> The history of the provision for registered organisations to notify changes to relevant statutory body can be traced back to 1913. The *Conciliation and Arbitration Regulations 1913*, contained the 'Returns of Officers' provision at regulation 19, which required updates of lists of office holders to be provided within 14 days of any change.
- <u>1928</u> The *Conciliation and Arbitration Regulations 1928* contained requirements relating to records at regulation 20 and this requirement bears similarity to the obligation set out in s 233(2) of the current RO Act. From 1928, the statutory requirement in accordance with regulation 23 was that changes to the records of registered organisations were to be filed with the relevant regulator within 14 days.
- <u>1989</u> In 1989, the obligation on a registered organisation to notify the relevant regulator of changes to office holders was found in regulation 131, and notifications of changes were required to be lodged within 35 days. The requirement transitioned into the *Industrial Relations Act 1988* by virtue of section 268(4) taking effect in March 1989.
- <u>1988</u> The Industrial Relations Act 1988 was replaced by the Workplace Relations Act 1996.
- <u>2002</u> The Workplace Relations Amendment (Registration and Accountability of Organisations) Act 2002 placed the reporting obligations of registered organisations into a separate schedule. This amendment introduced Schedule 1B to the Workplace Relations Act 1996, which was the original RAO (Registration and Accountability of Organisations) Schedule. The RAO schedule included section 233 which is very similar to the provision in s.233(2) of the current RO Act.
- 2009 The RAO schedule later became the Fair Work (Registered Organisations) Act 2009.



Australian Government Registered Organisations Commission

8 September 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia twu@twu.com.au

CC: Victorian/Tasmanian Branch, info@twu.asn.au

Dear Mr Sheldon,

### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the Transport Workers' Union of Australia.

This information was lodged with the Registered Organisations Commission (the ROC) in accordance with s.233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act). The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Website through the list of Registered Organisations.

Date of lodgement	Branch	Change
19/07/2017	Victoria/Tasmania	George Pantelidis resigned as Branch Committee of Management Member
27/07/2017	Victoria/Tasmania	Dean Sloan appointed as Branch Committee of Management Member

Section 293K of the RO Act requires each officer with financial management duties to undertake approved training that covers those duties within 6 months after the person begins to hold office. Please ensure that relevant officers undertake approved training within the required timeframe.

Please also ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which are contained in the RO Act.

Thank you for the notification.

Yours faithfully,

Christine Hibberd Registered Organisations Commission

> GPO Box 2983, Melbourne VIC 3001 Telephone: 1300 341 665 | Email: regorgs@roc.gov.au Website: <u>www.roc.gov.au</u>



### TRANSPORT WORKERS UNION OF AUSTRALIA VICTORIAN/TASMANIAN BRANCH ABN 47 634 608 538

President: Allan Taylor Vice President: James Hughes Branch Secretary: John Berger Branch Assistant Secretary: Chris Fennell Trustees: Mick Wirrick, Kevin Whelan

52-56 Rouse Street, Port Melbourne PO Box 203, Port Melbourne, Vic., 3207 Tel: 1300 727 614 Fax: (03) 9646 1792 E-mail: info@twu.asn.au www.twu.asn.au

8 September 2017

Mr Mark Bielecki **Registered Organisations Commissioner Registered Organisations Commission GPO Box 2983** Melbourne VIC 3001 By email: regorgs@roc.gov.au

Dear Commissioner,

I, John Berger, being the Secretary of the Transport Workers' Union (Victorian/Tasmanian Branch), declare the following:

- 1. I am authorised to make this declaration.
- 2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Fair Work (Registered Organisations) Act 2009:
  - A list of changes to office holders and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Yours sincerely,

In be

**John Berger Branch Secretary** 

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
VIC/TAS	20 June 2017	Branch Committee of Management Member	George Pantelidis		c/- TWU Vic Tas Branch PO Box 203, Port Melbourne VIC 3207	Airline Services Operator
VIC/TAS	27 July 2017	Branch Committee of Management Member		Dean Sloan	c/- TWU Vic Tas Branch PO Box 203, Port Melbourne VIC 3207	Airline Services Operator



Australian Government Registered Organisations Commission

14 July 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia twu@twu.com.au

CC: Mr Richard Olsen, Transport Workers' Union of Australia-New South Wales Branch richard.olsen@twunsw.org.au

Dear Mr Sheldon,

### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the Transport Workers' Union of Australia.

This information was lodged with the Registered Organisations Commission (the ROC) in accordance with s.233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act). The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Website through the list of Registered Organisations.

Date of lodgement	Branch	Change
10/07/2017	NSW	Jim Mitropoulos replaced Barry Faucett as Sydney & Central Sub-Branch Executive Committee Chairman. Six new Members in NSW Sub-Branch Executive Committees.

Section 293K of the RO Act requires each officer with financial management duties to undertake approved training that covers those duties within 6 months after the person begins to hold office. Please ensure that relevant officers undertake approved training within the required timeframe.

Please also ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which are contained in the RO Act.

Thank you for the notification.

Yours faithfully,

Christine Hibberd Registered Organisations Commission

> GPO Box 2983, Melbourne VIC 3001 Telephone: 1300 341 665 | Email: regorgs@roc.gov.au Website: www.roc.gov.au



## **Transport Workers' Union of Australia, NSW Branch**

Richard Olsen State Secretary | P: 02 9912 0700 | F: 02 9891 4953 | E: info@twunsw.org.au | W: www.twunsw.org.au

ABN: 24 302 560 296

10 July 2017

Registered Organisations Commission

By email: regorgs@roc.gov.au

cc: Michael Kaine, National Assistant Secretary

Dear Sir/ Madam

### Re: Changes to Officeholders - TWU of Australia, NSW Branch

At a meeting of the Branch Committee of Management on 30 June 2017 changes of officeholders were made by appointment as per the attached.

Please do not hesitate to contact Assistant Secretary Nick McIntosh should you wish to discuss this further.

Yours sincerely.

Richard Olsen Branch Secretary-Treasurer Transport Workers' Union of Australia, NSW Branch

### AUSTRALIA'S STRONGEST UNION, GIVING TRANSPORT WORKERS A POWERFUL VOICE SINCE 1888

### PARRAMATTA

31 Cowper Street, Parramatta NSW 2150 PO Box 649 Parramatta NSW 2124 NEWCASTLE 5 Canavan Drive, Beresfield NSW 2322 P: 02 4969 3900 F: 02 4962 2614 WOLLONGONG 59 Princes Highway, West Wollongong NSW 2500 P: 02 4229 1753 F: 02 4228 5129 CANBERRA

3/289 Canberra Avenue, Fyshwick NSW 2609 P: (02) 6280 9353 F: (02) 6280 9373 NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009* 

I, Richard Olsen, being the Branch Secretary of the Transport Workers' Union of Australia, NSW Branch, declare the following:

- 1. I am authorised to make this declaration.
- 2. The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the *Fair Work (Registered Organisations) Act 2009*:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

Signed: Kuhul Oh

Dated: 10-7-17

### ANNEXURE A

• Changes to Offices and Office Holders in the Organisation and its Branches:

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW	30.6.2017	Sydney and Central Sub- Branch Executive Committee Chairman	Barry Faucett	Jim Mitropoulos	c/- TWU NSW Branch, PO Box 649, Parramatta NSW 2124	Airline Service Operator
NSW	30.6.2017	Sydney and Central Sub- Branch Executive Committee Member	Jim Mitropoulos	Jeff Payne	As above	Truck Driver
NSW	30.6.2017	Sydney and Central Sub- Branch Executive Committee Member	Scott Brinley	Surjeet Dosanjh	As above	Dockhand
NSW	30.6.2017	Sydney and Central Sub- Branch Executive Committee Member	Vacant	Jeffrey Oudsen	As above	Waste Driver
NSW	30.6.2017	South Coast and Southern Sub- Branch Executive Committee Member	Stephen Boyd	Roberto Serafini	As above	Truck Driver
NSW	30.6.2017	Newcastle and Northern Sub- Branch Executive	Joe Brugnoni	Todd Marselos	As above	Bus Driver

NOTIFICATION OF CHANGE TO RECORDS

		Committee Member				
NSW	30.6.2017	Newcastle and Northern Sub- Branch Executive Committee Member	Peter Meldrum	Dylan Thompson	As above	Waste Driver



Australian Government Registered Organisations Commission

5 July 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia twu@twu.com.au

Dear Mr Sheldon,

### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the Transport Workers' Union of Australia.

This information was lodged with the Registered Organisations Commission (the ROC) in accordance with s.233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act). The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Website through the list of Registered Organisations.

Date of lodgement	Branch	Change
20/06/2017	National	WA Branch Secretary Timothy Dawson elected as National Vice-President
20/06/2017	National	NSW Assistant State Secretary Nick McIntosh elected as a National Trustee, replacing Richard Olsen

Please note for future notifications that the occupation of the office holders should be included.

Section 293K of the RO Act requires each officer with financial management duties to undertake approved training that covers those duties within 6 months after the person begins to hold office. Please ensure that relevant officers undertake approved training within the required timeframe.

Please also ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which are contained in the RO Act.

Thank you for the notification.

Yours faithfully,

Christine Hibberd Registered Organisations Commission



# Transport Workers' Union National Office

388-390 Sussex St, Sydney 2000 NSW • p: (02) 8114 6500 • f: (02) 9267 3222 www.twu.com.au • twu@twu.com.au • ABN: 18 559 030 246

### **Tony Sheldon National Secretary**

20 June 2017

Mr Mark Bielecki Registered Organisations Commissioner Registered Organisations Commission GPO Box 2983 Melbourne VIC 3001

Dear Commissioner,

By email: regorgs@roc.gov.au

#### NOTIFICATION OF CHANGE TO RECORDS - SECTION 233(2)

In accordance with s.233(2) of the *Fair Work (Registered Organisatons) Act 2009* (the RO Act) notification is hereby given that the records for the Transport Workers' Union of Australia (the Union) required to be kept under 230(1)(b), (c) have changed.

On 15 May 2017 at the Esplanade Hotel by Rydges, Freemantle, WA the AEC conducted Election E2017/66. A Declaration of Results for Uncontested Offices was made on 16 May 2016.

Timothy Dawson, WA Branch Secretary, C/O TWU WA Branch, 3<sup>rd</sup> Floor, 82 Beaufort Street, Perth WA 6000 was elected to the vacant position of National Vice-President.

Nick McIntosh, NSW Assistant State Secretary, C/O TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124 was elected to one of three positions of National Trustee replacing Richard Olsen.

I certify that the above statement is a correct statement of the changes to be made to the records required to be kept under paragraphs 230(1)(b), (c) and (d) and confirm that I am an officer of the organisation who is authorised to make this statement.

Yours faithfully,

Anthony Sheldon National Secretary Transport Workers' Union of Australia

NSW State Secretary Richard Olsen Vic/Tas State Secretary John Berger Qld State Secretary Peter Biagini WA State Secretary Timothy Dawson

SA/NT State Secretary lan Smith



13 April 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia

Sent via email: twu@twu.com.au

Dear Mr Sheldon,

#### Annual Return of Information for 2017 [AR2017/82]

I acknowledge receipt on 30 March 2017 of your Declaration providing information in accordance with subsection 233(1) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act).

The documents lodged cover most of the required information for the 2017 Annual Return of Information of the Transport Workers' Union. However, the documents have not been filed as there have been omissions in the documents that prevent filing.

The address that has been provided for the New South Wales and Queensland Branches lists the postal address rather than the street address of the Branches. Regulation 147(d)(ii) of the Fair Work (Registered Organisations) Regulations 2009 states that a record of the address of the office of each Branch of the organisation must be lodged with the Fair Work Commission (the Commission) under section 233(2) of the RO Act. I also note that only the postal addresses were initially lodged with the Commission in last years' Annual Return. Can you please provide the street address of the Branches at your earliest convenience.

If you have any queries regarding this letter please feel free to contact me any time.

Yours sincerely

Sam Gallichio Adviser Regulatory Compliance Branch

11 Exhibition Street Melbourne VIC 3000 GPO Box 1994 Melbourne VIC 3001 Telephone: (03) 8661 7777 Email : <u>orgs@fwc.gov.au</u> Internet : www.fwc.gov.au



13 April 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia

Sent via email: twu@twu.com.au

Dear Mr Sheldon,

#### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the Transport Workers' Union of Australia.

This information was lodged with the Fair Work Commission (the Commission) in accordance with s.233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act). The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Commission Website through the list of Registered Organisations - <u>https://www.fwc.gov.au/registered-organisations/find-registered-organisations</u>

Date of lodgement	Branch	Change
30 March 2017 30 March 2017	National Office National Office	Peter Biagini became National President Ray Wyatt no longer held National President Office
30 March 2017	National Office	Peter Biagini no longer held the position of National Vice-President

Please note that the RO Act requires that a notification of change must be lodged within 35 days of the change occurring. The notification indicates that changes occurred more than 35 days prior to its lodgement. Please ensure that future notifications of change are lodged within 35 days of the change occurring.

Section 154D(1) of the RO Act requires the rules of organisations (and branches of organisations) to require each officer with financial management duties to undertake approved training that covers those duties within 6 months after the person begins to hold office. Please ensure that relevant officers undertake approved training within the required timeframe.

11 Exhibition Street Melbourne VIC 3000 GPO Box 1994 Melbourne VIC 3001 Telephone: (03) 8661 7777 Email: <u>orgs@fwc.gov.au</u> Facsimile: (03) 9655 0410 Internet: www.fwc.gov.au Please also ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which should be in your organisation's rules.

Thank you for the notification.

Yours sincerely,

Gon Milis

Sam Gallichio Adviser Regulatory Compliance Branch

11 Exhibition Street Melbourne VIC 3000 GPO Box 1994 Melbourne VIC 3001 Telephone: (03) 8661 7777 Email: orgs@fwc.gov.au Facsimile: (03) 9655 0410 Internet: www.fwc.gov.au Transport Workers' Union of Australia





www.twu.com.au National Secretary Tony Sheldon

30 March 2017

Ms Bernadette O'Neill General Manager Fair Work Commission

#### Attn: Catherine Bebbington

#### By email: orgs@fwc.gov.au

#### NOTIFICATION OF CHANGE TO RECORDS - SECTION 233(2)

In accordance with s.233(2) of the *Fair Work (Registered Organisatons) Act 2009* (the RO Act) notification is hereby given that the records for the Transport Workers' Union of Australia (the Union) required to be kept under 230(1)(b), (c) have changed.

Pursuant to s.146 of the RO Act the rules of an organisation may make provision for the filling of causal vacancies. Rule 32 of the Union's rules provides for the filling of a casual vacancy occurring in the office of National President.

On 14 February 2017 Ray Wyatt no longer assumed the office of National President and in accordance with rule 32 Peter Biagini filled the position of National President. Upon filling the vacancy of President Mr Biagini no longer held the position of National Vice-President. That position remains vacant until the next National Council to be held in May 2017.

I certify that the above statement is a correct statement of the changes to be made to the records required to be kept under paragraphs 230(1)(b), (c) and (d) and confirm that I am an officer of the organisation who is authorised to make this statement.

Yours faithfully

Michael Kaine National Assistant Secretary Transport Workers' Union of Australia

ANNUAL RETURN OF INFORMATION (organisation with branches) in accordance with Regulation 147 Fair Work (Registered Organisations) Regulations 2009 and Sections 230 and 233 Fair Work (Registered Organisations) Act 2009

I, Michael Kaine, being the Assistant National Secretary of the Transport Workers' Union of Australia, declare the following:

- 1. I am authorised to make this declaration.
- The register of members has, during the immediately preceding calendar year, been kept and maintained as required by s.230(1)(a) and s.230(2) of the *Fair Work (Registered Organisations) Act 2009* (the Act).
- The following is a correct statement of the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Act:
  - The address of the organisation is Level 2, 388-390 Sussex Street, Sydney NSW 2000.<sup>1</sup>
  - The name and address of each branch of the organisation is:<sup>2</sup>
    - Transport Workers' Union of Australia New South Wales Branch P.O. Box 649, Parramatta NSW 2124
    - Transport Workers' Union of Australia Victorian/Tasmanian Branch 52-56 Rouse Street, Port Melbourne, VIC 3207
    - Transport Workers' Union of Australia South Australia/Northern Territory Branch

25 Chief Street, Brompton, SA 5007

- Transport Workers' Union of Australia Western Australia Branch 3<sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000
- Transport Workers' Union of Australia Queensland Branch TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160
- On 31 December in the previous year the number of members was 68,985.<sup>3</sup>
- A list of offices and the names, postal addresses and occupations of persons holding those offices as at the date of this declaration is attached at Annexure A and forms part of this declaration.

<sup>&</sup>lt;sup>1</sup> s.230(1)(d); reg.147(d)

<sup>&</sup>lt;sup>2</sup> s.230(1)(d); reg.147(a) & (d)

<sup>3</sup> s.230(1)(d); reg.147(f)

- Under the rules of the organisation, the following elections must be held between 1 January and 31 December of THIS YEAR:<sup>4</sup>
  - 1. Organisation elections

President (1), Vice-President (1), Trustees (3)

- During the previous 12 months:<sup>5</sup>
  - NO branches COMMENCED or CEASED operation.
- The organisation: [Delete the dot point that does not apply]
  - Has not entered into an agreement under s.151(1) of the Act with a state union.

12 Signed:

Dated: 30 March 2017

[PLEASE NOTE: This declaration must be lodged with the Fair Work Commission by 31 March. It can be submitted to <u>orgs@fwc.gov.au</u>. If at any time in the year this information changes a Notification of Changes must be lodged with the Commission within **35 days** of the change.<sup>6</sup>]

<sup>&</sup>lt;sup>4</sup> s.230(1)(d); reg.147(e)

<sup>&</sup>lt;sup>5</sup> s.230(1)(d); reg.147(b) & (c)

<sup>&</sup>lt;sup>6</sup> s.233(2); reg. 151

#### ANNEXURE A

Offices and Office Holders in the Organisation and its Branches:

Branch	Name of Office (include any offices that are vacant)	Name of Office Holder	Postal Address of Office Holder	Occupation of Office Holder
National	National Secretary	Tony Sheldon	c/- TWU, Level 2, 388-390 Sussex Street, Sydney, NSW 2000	Union Official
	National Assistant Secretary	Michael Kaine	c/- TWU, Level 2, 388-390 Sussex Street, Sydney, NSW 2000	Union Official
	National President	Peter Biagini	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Union Official
	National Vice- President	Vacant		
	National Trustee	Chris Fennel	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
	National Trustee	Margaret Harvey	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Fleet Controller
	National Trustee	Richard Olsen	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
NSW	Branch Secretary- Treasurer	Richard Olsen	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Branch Assistant Secretary	Mick Pieri	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Branch President	George Clarke	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Vice President	Charles McKay	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Cash in Transit Employee
	Branch Trustee	Brian Beckwith	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Trustee	Paul Eid	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Forklift Driver
	Branch Trustee	Mick Forbes	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	National Councillor	George Clark	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	National Councillor	Mick Pieri	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	National Councillor	Nick McIntosh	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Female National Councillor	Margaret Harvey	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Fleet Controller

ANNUAL RETURN OF INFORMATION (organisation with branches)

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Canberra Sub- Branch	Secretary	Klaus Pinkas	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Chairman	David Longin	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Cash in Transit Employee
	Vice-Chairman	Stephen Mitchell	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Ambulance Officer
	Sub-Branch Executive Committee Member	John Chick	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Courier
	Sub-Branch Executive Committee Member	Rick Cockburn	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Sub-Branch Executive Committee Member	Paul Elford	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Courier
	Sub-Branch Executive Committee Member	Andrew Gergely	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Cash in Transit Employee
	Sub-Branch Executive Committee Member	Robert Le Lievre	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Courier
	Sub-Branch Executive Committee Member	Joe Mikola	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Sub-Branch Executive Committee Member	Peter Oreskovic	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Sub-Branch Executive Committee Member	Rob Sharpe	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Waste employee
	Branch Committee of Management Member	Rick Cockburn	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Branch Committee of Management Member	Klaus Pinkas	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
Newcastle &	Secretary	Mick Forbes	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official

ANNUAL RETURN OF INFORMATION (organisation with branches)

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Northern Sub-				
Branch	Chairman	Doug Fox	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Vice-Chairman	Trevor Binskin	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Joe Brugnoni	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Les Fetch	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Plant Operator
	Sub-Branch Executive Committee Member	Scott Leighton	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Operator/Driver
	Sub-Branch Executive Committee Member	Gary Low	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Roger Maynier	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
1 · · · · · · · · · · · · · · · · · · ·	Sub-Branch Executive Committee Member	Peter Meldrum	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Mick Walliker	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Cash in Transit Employee
	Sub-Branch Executive Committee Member	Mark Wilkinson	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Committee of Management Member	Trevor Binskin	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Committee of Management Member	Mick Forbes	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Branch Committee of Management	Doug Fox	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver

ANNUAL RETURN OF INFORMATION (organisation with branches)

	Member			
	Branch Committee of Management Member	Steve Pettiford	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Cash in Transit Employee
South Coast & Southern Sub- Branch	Secretary	Robert Pirc	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Chairman	Gary Jorgenson	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Vice-Chairman	Brian Beckwith	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Patrick Armstrong	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Garry Avnell	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Stephen Boyd	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	David Cole	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Sub-Branch Executive Committee Member	Phil Jennings	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Steven Malamas	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Jeffrey Moses	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Jon Soper	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Committee of Management Member	Brian Beckworth	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver

ANNUAL RETURN OF INFORMATION (organisation with branches) Pag

	Branch Committee of Management Member	Robert Pirc	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Branch Committee of Management Member	Don McPherson	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Committee of Management Member	Richard Olsen	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
Sydney & Central Sub- Branch	Secretary	Mick Pieri	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Chairman	Barry Faucett	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Vice Chairman	Margaret Harvey	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Fleet Controller
	Sub-Branch Executive Committee Member	Jasen Benson	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Scott Brinley	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Barry Hupton	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Sub-Branch Executive Committee Member	Jim Mitropoulos	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Airline Service Operator
	Sub-Branch Executive Committee Member	Dave Pola	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Bus Driver
	Sub-Branch Executive Committee Member	Keith Stone	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch Executive Committee Member	Glen Stutsel	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Sub-Branch	John Waltis	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver

ANNUAL RETURN OF INFORMATION (organisation with branches) Page 7 of 14

	Executive Committee Member			
	Branch Committee of Management Member	Ray Childs	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Committee of Management Member	George Clarke	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
	Branch Committee of Management Member	Paul Eid	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Forklift Driver
	Branch Committee of Management Member	Charles McKay	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Cash in Transit Employee
	Branch Committee of Management Member	George Oei	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Airline Services Operator
0	Branch Committee of Management Member	Mick Pieri	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Union Official
	Branch Committee of Management Member	Mark Trevillian	c/- TWU NSW Branch, P.O. Box 649, Parramatta NSW 2124	Truck Driver
VIC/TAS	Branch Secretary	John Berger	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
	Branch Assistant Secretary	Chris Fennell	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
	Branch President	Allan Taylor	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Truck Driver
	Branch Vice President	James Hughes	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Bus Driver
	Branch Trustee	Mick Wirrick	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
	Branch Trustee	Kevin Whelan	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port	Truck Driver

ANNUAL RETURN OF INFORMATION (organisation with branches) Page 8 of 14

		Melbourne, VIC 3207	
Branch Committee of Management Member	Clive Dennis	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Driver
Branch Committee of Management Member	Kenneth Griffin	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Truck Driver
Branch Committee of Management Member	Kevin Hoey	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Truck Driver
Branch Committee of Management Member	Mike McNess	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
Branch Committee of Management Member	lan Munro	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Truck Driver
Branch Committee of Management Member	George Pantelidis	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Airline Services Operator
Branch Committee of Management Member	Sherajh Rahim	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Bus Driver
Branch Committee of Management Member	John Rowe	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Truck Driver
Branch Committee of Management Member	John Saggers	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Truck Driver
Branch Committee of Management Member	Mick Wirrick	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
National Councillor	Dissio Markos	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
National Councillor	Chris Fennell	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
National Councillor	Mick Wirrick	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port	Union Official

ANNUAL RETURN OF INFORMATION (organisation with branches) Page 9 of 14

			Melbourne, VIC 3207	
	National Councillor	Allan Taylor	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port	Truck Driver
	of Tasmania		Melbourne, VIC 3207	
	Female National Councillor	Vacant		
	Branch Organiser	Dissio Markos	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
	Branch Organiser	Mike McNess	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
1	Branch Organiser	John Parker	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
	Branch Organiser	Mick Wirrick	c/- TWU VIC/TAS Branch, 52-56 Rouse Street, Port Melbourne, VIC 3207	Union Official
SA/NT	Branch Secretary	Ian Smith	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Union Official
	Branch Assistant Secretary	Edward Lawrie	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Union Official
	Branch President	Bryan McIntosh	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Truck Driver
	Branch Vice- President	Peter Brammy	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Truck Driver
	Branch Trustee	Kate Robertson	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Union Official
	Branch Trustee	Edward Lawrie	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Union Official
	National Councillor	Bryan McIntosh	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Truck Driver
	National Councillor – Northern Territory	Jeremy Czoloszynski	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Forklift Driver
	Female National Councillor	Romana Hutchinson	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Clerk
	Branch Committee of Management Member	Scott Concannon	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Bus Driver
	Branch Committee of Management Member	Jeremy Czoloszynski	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Forklift Operator
	Branch Committee	Romana Hutchinson	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Clerk

ANNUAL RETURN OF INFORMATION (organisation with branches)

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	of Management Member			
	Branch Committee of Management Member	Frank Kesak	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Airline Services Operator
	Branch Committee of Management Member	Daniel McNicol	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Truck Driver
	Branch Committee of Management Member	Matthew Spring	c/- TWU SA/NT Branch, 25 Chief Street, Brompton, SA 5007	Union Official
WA	Branch Secretary	Tim Dawson	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Union Official
	Branch Assistant Secretary	Vacant		
	Branch President	Ray McMillan	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Front End Loader
1	Branch Vice President	Vacant		
	Branch Trustee	John Davis	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Branch Trustee	Deborah Dunbar	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Catering Assistant
	National Councillor	John Davis	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	National Councillor	Ray McMillan	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Front End Loader
	National Councillor	Bruce Spaul	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Female National Councillor	Deborah Dunbar	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Catering Assistant
	Branch Committee of Management Member	Michael Cook	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver

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	Branch Committee of Management Member	John Davis	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Branch Committee of Management Member	Deborah Dunbar	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Catering Assistant
	Branch Committee of Management Member	Peter Elliott	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Branch Committee of Management Member	Michael Lawson	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Branch Committee of Management Member	Bill Nuttall	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Branch Committee of Management Member	Andrew Payne	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
1	Branch Committee of Management Member	Ralph Roth	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
	Branch Committee of Management Member	Bruce Spaul	c/- TWU WA Branch, 3 <sup>rd</sup> Floor, 82 Beaufort Street, Perth WA, 6000	Truck Driver
 QLD	Branch Secretary	Peter Biagini	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Union Official
	Branch Assistant Secretary	Adam Carter	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Union Official
	Branch President	Brad Wyatt	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Union Official
	Branch Vice President	Grant Mitchell	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Airline Services Operator
	Branch Trustee	Michael Cardile	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Container Loader
	Branch Trustee	Darren White	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Freight Loader
	National Councillor	Michael Cardile	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Container Loader
	National Councillor	Gary Dickfos	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Bus Driver

ANNUAL RETURN OF INFORMATION (organisation with branches) Page 12 of 14

National Councillor	Grant Mitchell	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Airline Services Operator
Female National Councillor	Sue Cleary	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Cabin Crew Employee
Branch Committee of Management Member	Henry Adsett	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Bus Driver
Branch Committee of Management Member	Greg Delamotte	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Express Freight Driver
Branch Committee of Management Member	Gary Dickfos	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Bus Driver
Branch Committee of Management Member	Peter Ferguson	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Union Official
Branch Committee of Management Member	Agnes Green	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Express Driver
Branch Committee of Management Member	Brett Perry	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Truck Driver
Branch Committee of Management Member	Neil Conway	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Courier Driver
Branch Committee of Management Member	Ken Suesee	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Cash in Transit Employee
Branch Committee of Management Member	Darren White	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Freight Loader
Branch Committee of Management Member	Craig Williams	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Union Official
Branch Committee of Management	Adam Winters	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Truck Driver

ANNUAL RETURN OF INFORMATION (organisation with branches)

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Member			
Branch Committee of Management	Michael Cardile	c/- TWU QLD Branch, PO Box 452, Cannon Hill, QLD 4160	Container Loader
Member			



1 March 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia twu@twu.com.au

Dear Mr Sheldon,

#### Notification of changes made to records [AR2017/82]

I acknowledge receipt of a Notification of Change to the office holders of the Transport Workers' Union of Australia.

This information was lodged with the Fair Work Commission (the Commission) in accordance with s.233(2) of the *Fair Work (Registered Organisations) Act 2009* (the RO Act). The table below outlines the changes notified and when they were lodged. Our records have been updated accordingly.

The document can be viewed on the Commission Website through the list of Registered Organisations - <u>https://www.fwc.gov.au/registered-organisations/find-registered-organisations</u>

Date of lodgement	Branch	Change
21 February 2017	National	Councillor
24 February 2017	SA/NT	Branch Secretary

Section 154D(1) of the RO Act requires the rules of organisations (and branches of organisations) to require each officer with financial management duties to undertake approved training that covers those duties within 6 months after the person begins to hold office. Please ensure that relevant officers undertake approved training within the required timeframe.

Please also ensure that all new holders of office are advised of their obligations to make disclosures regarding remuneration, non-cash benefits and material personal interests, the details of which should be in your organisation's rules.

Thank you for the notification.

Yours sincerely,

Debbie Ball Regulatory Compliance Branch

> 11 Exhibition Street Melbourne VIC 3000 GPO Box 1994 Melbourne VIC 3001

Telephone: (03) 8661 7777 Email: <u>orgs@fwc.gov.au</u> Facsimile: (03) 9655 0410 Internet: www.fwc.gov.au

## Transport Workers' Union of Australia SA/NT Branch

Transport Workers Union of Australia SA/NT Change of Office Holder - Branch Secretary

Please be advised that the Transport Workers Union of Australia SA/NT Branch has recently had a change in management.

As of the 7 February 2017 Ray Wyatt has retired as the Branch Secretary and Ian Smith has been appointed to the position of Branch Secretary.

Ian Smith will now be the Office Holder therefore changes are to be made to the authoriser and signatory for all accounts held in the name of the Transport Workers Union of Australia SA/NT Branch.

Could you please update your records to reflect this change, all other details remain the same.

Postal Address: Transport Workers Union of Australia SA/NT Branch PO Box 137 WELLAND SA 5007 Ph: 08 8346 4177 Fax: 08 8346 8580

If you require any further information please do not hesitate to contact Administrative Officer, Kate Robertson, on phone: 08 8346 4177 or email: <u>kate.robertson@twusant.com.au</u>.

Yours sincerely,

lan Smith Branch Secretary



Transport Workers' Union of Australia SA/NT Branch POST PO Box 137 WELLAND SA 5007 w www.twusant.com.au

t 08 8346 4177 e info@twusant.com.au Branch Secretary Ian Smith f 08 8346 8580 ABN 31199 452868



### **Transport Workers' Union of Australia, NSW Branch**

Richard Olsen State Secretary | P: 02 9912 0700 | F: 02 9891 4953 | E: info@twunsw.org.au | W: www.twunsw.org.au

ABN: 24 302 560 296

21 February 2017

The General Manager Fair Work Commission

By email: orgs@fwc.gov.au

cc: Michael Kaine, National Assistant Secretary

Dear Sir/ Madam

#### Re: Changes to Officeholders - TWU of Australia, NSW Branch

At a meeting of the Branch Committee of Management on 10 February 2017 an appointment was made to fill an officeholder vacancy as per the attached.

Please do not hesitate to contact my Chief of Staff, Nick McIntosh, should you wish to discuss this further.

Yours sincerel

Richard Olsen Branch Secretary-Treasurer Transport Workers' Union of Australia, NSW Branch

#### AUSTRALIA'S STRONGEST UNION, GIVING TRANSPORT WORKERS A POWERFUL VOICE SINCE 1888

#### PARRAMATTA

31 Cowper Street, Parramatta NSW 2150 PO Box 649 Parramatta NSW 2124

#### NEWCASTLE

5 Canavan Drive, Beresfield NSW 2322 P: 02 4969 3900 F: 02 4962 2614

#### WOLLONGONG

59 Princes Highway, West Wollongong NSW 2500 P: 02 4229 1753 F: 02 4228 5129

#### CANBERRA

3/289 Canberra Avenue, Fyshwick NSW 2609 P: (02) 6280 9353 F: (02) 6280 9373 NOTIFICATION OF CHANGES TO RECORDS (Changes to Records in the Annual Return) required to be kept in accordance with section 230 *Fair Work (Registered Organisations) Act 2009* and regulation 147 *Fair Work (Registered Organisations) Regulations 2009* 

I, Richard Olsen, being the NSW Branch Secretary-Treasurer of the Transport Workers' Union of Australia, declare the following:

- 1. I am authorised to make this declaration.
- The following is a correct statement of the changes made to the information contained in the records required to be kept by the organisation in accordance with s.230(1)(b), (c), and (d) of the Fair Work (Registered Organisations) Act 2009:
  - A list of changes to offices and the names, postal addresses and occupations of persons holding those offices is attached to this declaration at Annexure A and forms part of this declaration.

hllh Signed:

Dated: 21 February 2017

[PLEASE NOTE: This declaration must be submitted to the Fair Work Commission within 35 days of the change. It can be submitted to <u>orgs@fwc.gov.au.]</u>

#### ANNEXURE A

• Changes to Offices and Office Holders in the Organisation and its Branches [insert as many pages as required]:

Please note the 35 days begins at the earliest event (for instance when the officer retires) and an organisation may need to notify the events separately if the appointment or election process will take longer than 35 days.

Branch	Date of Change	Name of Office that has changed	Name of <u>Outgoing</u> Office Holder	Reason for Change	Name of <u>New</u> Office Holder	Postal Address of <u>New</u> Office Holder	Occupation of <u>New</u> Office Holder
NSW	10 February 2017	National Councillor	Mick Forbes	Resignation	Mick Pieri	c/- TWU NSW Branch, PO Box 649, Parramatta NSW 2124	Union official

NOTIFICATION OF CHANGE TO RECORDS

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20 January 2017

Mr Anthony Sheldon National Secretary Transport Workers' Union of Australia

By email: twu@twu.com.au

Dear Mr Sheldon,

#### Transport Workers' Union of Australia Annual Return of Information for 2017 [AR2017/82]

This is a courtesy letter to remind you of the obligation to lodge an Annual Return of Information for 2017 in respect of the Transport Workers' Union of Australia by **31 March 2017**. However, for the reasons explained below, we strongly recommend that organisations lodge the Annual Return of information **as soon as possible**.

#### The new Registered Organisations Commission

The Registered Organisations Commission (the ROC) will be created in 2017.

Annual Returns of Information must be lodged with the Fair Work Commission until the ROC is established. Once the ROC is established, Annual Returns of information must be lodged with the ROC.

It is not yet known when the ROC will be established. We strongly encourage you to lodge the Annual Return of Information with the Fair Work Commission **as soon as possible** to avoid any potential administrative delays which may be caused by new email and postal addresses and contact phone numbers with the newly created ROC.

The Fair Work Commission will be providing information on the transition to the ROC through its subscription service and its website. For details about the subscription service, go to <u>Subscriptions</u> and subscribe to the Registered organisations information service.

	Before the ROC is established	From establishment of the ROC
Where to lodge	Lodge your Annual Return of information with the Fair Work Commission	Lodge your Annual Return of information with the ROC
How to lodge	The easiest way to lodge your Annual Return of information is via email: orgs@fwc.gov.au	Lodgement methods are not yet known
Recommendation	Lodge with the Fair Work Commission as soon as possible to avoid potential delays	

#### Where to lodge your Annual Return of information

#### What must be lodged?

A signed and dated declaration certifying matters prescribed in the *Fair Work (Registered Organisations) Act 2009* (the RO Act) must be lodged with the Fair Work Commission (the Commission). The matters to be included in the declaration are set out in the attached checklist.

11 Exhibition Street Melbourne VIC 3000 GPO Box 1994 Melbourne VIC 3001 Telephone: (03) 8661 7777 Email : orgs@fwc.gov.au Internet : www.fwc.gov.au In maintaining the register of members, your attention is drawn to the circumstances where membership ceases to exist (s.230(2)(b) and s.171A of the RO Act).

Once an Annual Return has been lodged, a copy will be posted on our website at <u>List of Registered</u> <u>Organisations</u>. Therefore, to protect the privacy of the relevant office holders listed in the declarations, it is recommended that officers list their official mailing address rather than personal home address.

#### Who must sign the declaration?

The declaration must be signed by the Secretary, or where applicable, such other elected official who is required under the rules or by resolution of the organisation, to keep the relevant records (other prescribed officer). A declaration signed by a non-elected person does not meet this requirement.

#### Who lodges the Annual Return of information?

Section 233 places the onus of lodgement on the organisation and not on each individual branch. It is therefore the national body that is obliged to collate and lodge all of the required information. Annual Return templates are available on the Commission website at <u>Registered Organisations fact sheets</u>, one for an <u>organisation with branches</u> and one for an <u>organisation without branches</u>.

#### What happens if the information changes?

The Secretary, or other prescribed officer, must notify the Fair Work Commission (or the ROC when it is established) within 35 days of any changes to such records that may occur throughout the year.

**Failure to comply with these obligations is subject to a civil penalty provision** (up to \$54,000 for a body corporate and \$10,800 for an individual per contravention), under s.305 of the RO Act.

Please do not hesitate to contact the Commission by phone on (03) 8661 7777 or by e-mail at <u>orgs@fwc.gov.au</u> if you wish to discuss the requirements outlined in this correspondence.

Yours sincerely,

M. Kar

Marianne Kay Adviser Regulatory Compliance Branch

#### Obligation to lodge Annual Return of Information by 31 March

For full details see ss.230-233 of the Fair Work (Registered Organisations) Act 2009 (the RO Act), and rr.147-151 of the Fair Work (Registered Organisations) Regulations 2009 (the RO Regulations).

A copy of each of the following records must be lodged with the Fair Work Commission (the Commission) between 1 January and 31 March each year. They must be certified by a declaration stating that it is a correct statement of the information contained in that record, signed by the Secretary or such other elected official who is required under the rules, or by resolution of the organisation, to keep the relevant records (**other prescribed officer** see r.150 of the RO Regulations).

#### Failure to comply with these obligations is subject to a civil penalty provision – see s.305 of the RO Act.

Requirement	Details of requirement	
Maintenance of Register of Members	A declaration by the Secretary or other prescribed officer certifying that the register of members has, during the immediately preceding calendar year, been kept and maintained as required by ss.230(1)(a) and (2) [s.233(1)(a)] Note: In maintaining the register of members, your attention is drawn to s.171A of the RO Act that outlines the circumstances where membership ceases to exist.	
List of Offices	A list of the offices in the organisation and each branch (note the definition of <b>offic</b> and <b>officer</b> in ss.6 and 9) [s.230(1)(b)]	
List of Office holders	A list of the names, postal addresses and occupations of the persons holding the offices (note the definition of <b>office</b> and <b>officer</b> in ss.6 and 9) $[s.230(1)(c)]$	
List of Branches	A record of the name of each branch of the organisation [s.230(1)(d) and r.147(a)]	
New Branches	A record of the name of each branch that commenced operation in the previous 12 months $[s.230(1)(d) \text{ and } r.147(b)]$	
Old Branches	A record of the name of each branch that ceased operation in the previous 12 months [s.230(1)(d) and r.147(c)]	
Addresses of Organisation and Branches	A record of the address of the office of the organisation and the address of the office of each branch $[s.230(1)(d) \text{ and } r.147(d)]$	
Elections in Organisation and Branches	A record of each election that must, under the rules of the organisation, be held during the year commencing 1 January of the year in which the return is lodged, for any offices in the organisation and branches of the organisation . <i>[s.230(1)(d) and r.147(e)]</i> Note: this provision does not relieve an organisation or branch from the separate requirement to lodge prescribed information prior to each election, as required by s.189 of the RO Act	
Statement concerning number of members	A record of the number of members on 31 December in the previous year; and If the organisation has entered into an agreement relating to members of State unions under s.151(1) of the RO Act,- a record of the number of members of the organisation who were (on 31 December in the previous year) ineligible State members in relation to the organisation within the meaning of s.150 of the RO Act. [s.230(1)(d) and rr.147(f) and (g)]	

#### Obligation to notify of changes within 35 days

If there are any changes during the year to the offices, officeholders, branches (where relevant) or the address of the organisation and/or its branches an organisation must, within 35 days of the change, lodge with the Commission, a notification of such changes certified by declaration signed by the secretary or other prescribed officer of the organisation to be a correct statement of the changes made [*RO Act s.233(2)* and *RO Regulations r.151* refer].